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Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Lil' Sprouts Child Care	Provider Information	CLR No: L359383
Provider Address: 100 Mimosa Dr, Suite B, Winchester, KY, 40391	Provider Type: LICENSED TYPE I	Capacity: 45
Owner(s): Guardian Angels Enterprise, LLC		Director(s): Butler, Jenny Lee

Inspection Type: Renewal Application	Inspection Information	Inspection No: 217755
Date Initiated: 12/13/2016 9:25 AM	Date Concluded: 12/13/2016 11:30 AM	
	No. of Children Present: 18	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance
265 - Health, Safety, Comfort	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (l) Provide for the health, safety, and comfort of each child;	
Findings: General: Based on Observation, the staff person in the infant room prepared a bottle at the diaper changing area. Also, in the one-year-old room there was a wax warmer on the edge of the hand washing sink. The wax warmer was not on at the time of the inspection but the cord was hanging down so that the children could pull it off the edge of the sink.	
Employee Records	Not In Compliance
300 - Background checks/left alone	Not In Compliance
922 KAR 2:090. Section 6. License Issuance. (5) An individual described in subsection (4) of this section shall: (a) Submit to background checks described in paragraph (b) of this subsection; (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a: 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470; 2. Criminal records check required by KRS 199.896(19); 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and 4. An address check of the Sex Offender Registry; and (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.	
Findings: General: Based on Review of Documentation, there were two (2) staff who did not have a criminal records check on file or evidence that the request for the criminal records check had been submitted. The hire dates for these staff were 12/12/16 and 7/16/16. Also, there were four (4) staff who did not have evidence the criminal records check request was submitted upon employment. The hire dates for these staff were 6/29/16, 8/9/16, 12/2/16, and 6/1/16.	

315 - Educational Requirements

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on Review of Documentation, there were two (2) staff who did not have evidence of meeting the education requirement on file. The hire dates for these staff were 12/2/16 and 12/12/16.

320 - TB Verification

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on Review of Documentation, there were three (3) staff who did not have documentation of being free of TB prior to employment. The hire dates for these staff were 12/2/16, 6/1/16, and 8/9/16.

340 - Training

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on Review of Documentation, a staff person hired 3/12/09 had only thirteen (13) hours of annual child development training for her current training year. Also, a staff person hired 7/16/16 had not yet completed the required orientation training. The ECE-Tris database was checked and confirmed these hours.

Programming

Not In Compliance

350 - Program of Activities Followed

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(4) The child-care center shall provide a daily planned program:

- (a) Posted in writing in a conspicuous location with each age group and followed;**
- (b) Of activities that are individualized and developmentally appropriate for each child served;**
- (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and**
- (d) That offers a variety of creative activities including the following:**
 - 1. Art;**
 - 2. Music;**
 - 3. Dramatic play;**
 - 4. Stories and books;**
 - 5. Science;**
 - 6. Block building;**
 - 7. Tactile activity;**
 - 8. Culture;**
 - 9. Indoor or outdoor play in which a child makes use of both small and large muscles;**
 - 10. A balance of active and quiet play, including group and individual activity;**
 - 11. An opportunity for a child to:**
 - a. Have some free choice of activities;**
 - b. If desired, play apart from the group at times;**

Findings:

General: Based on Observation, the daily schedule of activities posted in the preschool room was a schedule for infants and toddlers. The staff person in charge stated that the preschool group recently moved into that space.

Inspection Report**Premises****Not In Compliance****500 - Building Requirements****Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.****(5) The building shall be constructed to ensure the:****(a) Building is:**

1. Dry;
2. Adequately heated;
3. Ventilated; and
4. Well lit, including clean light fixtures that are:
 - a. In good repair in all areas; and
 - b. Shielded or have shattered proof bulbs installed; and

(b) Following are protected:

1. Windows;
2. Doors;
3. Stoves;
4. Heaters;
5. Furnaces;
6. Pipes; and
7. Stairs.

Findings:

General: Based on Observation, the large mirror mounted to the wall in the boys' bathroom was cracked almost the entire length of the mirror.

520 - Floors, Walls, Ceilings**Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.****(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.****Findings:**

General: Based on Observation, the carpet in the preschool room had a build up of a black grimey substance near the classroom's entry way. The staff person in charge stated that new carpet was going to be installed. In the school age room there was a build up of dirt and grime around the baseboards.

Hygienic Practices**Not In Compliance****600 - Staff Hygiene/Handwashing****Not In Compliance****922 KAR 2:120. Section 3. General Requirements.****(5) Staff shall:**

- (a) Maintain personal cleanliness;
- (b) Conform to hygienic practices while on duty; and
- (c) Wash their hands with liquid soap and running water:
 1. Upon arrival at the center;
 2. After toileting or assisting a child in toileting;
 3. Before and after diapering each child;
 4. After wiping or blowing a child's or own nose;
 5. After handling animals;
 6. After caring for a sick child;
 7. Before and after feeding a child or eating;
 8. Before dispensing medication; and
 9. If possible, before administering first aid.

Findings:

General: Based on Observation, a staff person in the infant room prepared a bottle without first washing her hands.

625 - Diaper Changing Area/Surface**Not In Compliance****922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.****(10) When a child is diapered, the child shall:****(b) Be placed on a surface that is:**

1. Clean;
2. Padded;
3. Free of holes, rips, tears, or other damage;
4. Nonabsorbent;
5. Easily cleaned; and
6. Free of any items not used for diaper changing.

Findings:

General: Based on Observation, the diaper changing mat in the infant room was torn at the edges. The diaper changing mat in the one-year-old classroom was also torn. Also, the diaper changing mat in the girls' bathroom was torn.

Inspection Report	
First Aid/Medication	Not In Compliance
670 - Medication	Not In Compliance
922 KAR 2:120. Section 7. First Aid and Medicine. (6) Medication, including refrigerated medication, shall be: (a) Stored in a separate and locked place, out of the reach of a child; (b) Kept in the original bottle; and (c) Properly labeled. (7) Medication shall not be given to a child if the expiration date on the bottle has passed.	
Findings: General: Based on Observation, the medicine box in the infant room contained a bottle of Benedryl that was not labeled with a name. There was also a container of burn gel labeled "day care".	
Outdoor Play Area	In Compliance
Equipment	Not In Compliance
755 - Toys/Equipment/Furniture	Not In Compliance
922 KAR 2:120. Section 11. Toys and Furnishings. (1) All toys, equipment, and furniture contacted by a child shall be: (a) Kept clean and in good repair; and (b) Free of peeling, flaking, or chalking paint.	
Findings: General: Based on Observation, the large vinyl block in the preschool room was torn at the edges. Also, in the pre-k room the cover was torn on the bench cushion near the book area.	
Transportation	Not Applicable
Food Service	Not In Compliance
990 - Bottle Preparation by Parent	Not In Compliance
922 KAR 2:120. Section 9. Food and Meal Requirements. (4) Formula or breast milk provided by the parent shall be prepared and labeled.	
Findings: General: Based on Observation, and interview it was found that the staff in the infant room sometimes makes bottles in the classroom from cans of formula provided by the parents.	
Children's Records	Not In Compliance
1075 - Enrollment Information	Not In Compliance
922 KAR 2:110. Section 3. Records. (1) A child-care center shall maintain: (b) A written record for each child: 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;	
Findings: General: Based on Review of Documentation, there were two (2) children enrolled on 12/15/16 who did not have a preferred hospital listed on the enrollment form. Also, not all children had individual enrollment information on file. It was found that sibling sets shared the same enrollment packets.	
Written Documentation	In Compliance
Posted Documentation	Not In Compliance
1165 - Daily Activities	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;	
Findings: General: Based on Observation, the daily plan of activities in the one-year-old room was not dated.	

Signature of Provider/Representative

Title

Date