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**Inspection Report**

<b>Provider Name:</b> Dorman Preschool Center	<b>Provider Information</b>	<b>CLR No:</b> L350717
<b>Provider Address:</b> 719 Burks Branch Road, Shelbyville, KY, 40065	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 49
<b>Owner(s):</b> Dorman Pre-school Center, Inc.		<b>Director(s):</b> Jeffries, Sarah Jo

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 218195
<b>Date Initiated:</b> 01/04/2017 8:20 AM	<b>Date Concluded:</b> 01/04/2017 1:05 PM	
	<b>No. of Children Present:</b> 30	

Inspection Report	
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>In Compliance</b>
<b>Director Requirements</b>	<b>Not In Compliance</b>
<b>265 - Health, Safety, Comfort</b>	<b>Not In Compliance</b>
<b>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</b> <b>(1) Effective with the adoption of this administrative regulation, a director shall:</b> <b>(l) Provide for the health, safety, and comfort of each child;</b>	
<b>Findings:</b>	
General: Based on Observation, the child care center director failed to assure compliance with regulatory requirements. Observation of the coyotes room revealed one (1) uncovered electrical outlet located by standing lamp.	
<b>Employee Records</b>	<b>Not In Compliance</b>
<b>315 - Educational Requirements</b>	<b>Not In Compliance</b>
<b>922 KAR 2:110. Section 5. Staff Requirements.</b> <b>(1) Child-care center staff:</b> <b>(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:</b> <b>1. High school diploma;</b> <b>2. GED or qualifying documentation from a comparable educational entity; or</b> <b>3. Commonwealth Child Care Credential as described in 922 KAR 2:250;</b>	
<b>Findings:</b>	
General: Based on Review of Documentation, the child care center failed to maintain employee records in accordance with regulatory requirements. Review of staff files revealed a staff hired 8/10/15 did not have a high school diploma, GED or Commonwealth Child Care Credential on file. Interview with the staff in charge revealed that they did not locate educational documentation for the staff member with hire date 8/10/15.	

**320 - TB Verification**

**Not In Compliance**

**922 KAR 2:110. Section 5. Staff Requirements.**

- (1) Child-care center staff:**  
**(b) Shall provide, prior to employment and every two (2) years thereafter:**  
 1. **A statement from a health professional that the individual is free of active tuberculosis; or**  
 2. **A copy of negative tuberculin results.**

**Findings:**

General: Based on Review of Documentation, and interview the child care center failed to maintain employee records in accordance with regulatory requirements. Review of the employee files presented revealed an employee with hire date 6/16/16 did not have a statement from a health professional that the individual is free of active tuberculosis. Interview with the staff in charge revealed that they were not able locate a current statement for the employee.

**340 - Training**

**Not In Compliance**

**922 KAR 2:110. Section 5. Staff Requirements.**

- (14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**  
**(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**  
**(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and**  
**(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.**

**Findings:**

General: Based on Review of Documentation, and interview the child care center failed to maintain employee records in accordance with regulatory requirements. Review of the employee files presented revealed an employee with hire date 1/1/16 had not obtained (15) fifteen hours of cabinet-approved early care and education training. Review of the ECE-TRIS report verified that staff members did not complete the fifteen (15) hours of cabinet approved training. Interview with the staff in charge revealed she was unable to locate documentation to verify that staff member had completed fifteen (15) hours of cabinet approved training. Continued review of presented staff files revealed a staff member with hire date 05/25/16 had not completed orientation training within the first ninety (90) days of employment.

**345 - Driver Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 12. Transportation.**

- (18) A driver of a vehicle transporting a child for a center shall:**  
**(a) Be at least twenty-one (21) years old;**  
**(b) Complete:**  
 1. **The background checks as described in 922 KAR 2:110; and**  
 2. **An annual check of the:**  
     **a. Kentucky driver history records in accordance with KRS 186.018; or**  
     **b. Driver history records through the state transportation agency that issued the driver's license;**  
**(c) Hold a current driver's license which has not been suspended or revoked during the last five (5) years; and**  
**(d) Not caused an accident which resulted in the death of a person.**

**Findings:**

General: Based on Review of Documentation, and interview the child care center failed to maintain employee records in accordance with regulatory requirements. Review of the employee files presented revealed child care center drivers with hire dates 6/19/00, 9/13/13 and 9/1/05 did not contain current Kentucky driver history records. Interview with the staff in charge revealed the Kentucky driver history records had not been completed since 12/1/15.

<b>Programming</b>	<b>In Compliance</b>
<b>Premises</b>	<b>Not In Compliance</b>

**520 - Floors, Walls, Ceilings**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

**Findings:**

General: Based on Observation, and interview the child care center failed to maintain the premises in accordance with regulatory requirements. Observation of the fawns room revealed a hole in the ceiling by the hanging clouds. Observation of the gross motor area revealed a hole in the wall exposing the drywall. Observation of the boys' restroom revealed a ceiling tile above the toilet stall that wasn't secure. Interview with the staff in charge revealed acknowledgement of the hole in the gross motor area.

**Inspection Report**

**Hygienic Practices**

**Not In Compliance**

**600 - Staff Hygiene/Handwashing**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

**(5) Staff shall:**

- (a) Maintain personal cleanliness;**
- (b) Conform to hygienic practices while on duty; and**
- (c) Wash their hands with liquid soap and running water:**
  - 1. Upon arrival at the center;**
  - 2. After toileting or assisting a child in toileting;**
  - 3. Before and after diapering each child;**
  - 4. After wiping or blowing a child's or own nose;**
  - 5. After handling animals;**
  - 6. After caring for a sick child;**
  - 7. Before and after feeding a child or eating;**
  - 8. Before dispensing medication; and**
  - 9. If possible, before administering first aid.**

**Findings:**

General: Based on Observation, the child care center failed to comply with hygienic practices in accordance with regulatory requirements. Observed revealed a staff member was in the Coyotes room sanitizing tables. She then put on gloves without washing her hands and began to serve breakfast. The aforementioned employee was observed rubbing a child's back and hair and then return to serving food without washing her hands.

**615 - Training Chair**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

**(7) If a toilet training chair is used, the chair shall be:**

- (a) Used over a surface that is impervious to moisture;**
- (b) Out of reach of other toilets or toilet training chairs;**
- (c) Emptied promptly; and**
- (d) Sanitized after each use.**

**Findings:**

General: Based on Observation, the child care center failed to comply with hygienic practices in accordance with regulatory requirements. A soiled potty seat was observed in the (2nd) second stall of the girl's restroom within reach of the toilet.

**First Aid/Medication**

**Not In Compliance**

**670 - Medication**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

**(6) Medication, including refrigerated medication, shall be:**

- (a) Stored in a separate and locked place, out of the reach of a child;**
- (b) Kept in the original bottle; and**
- (c) Properly labeled.**

**(7) Medication shall not be given to a child if the expiration date on the bottle has passed.**

**Findings:**

General: Based on Observation, the child care center failed to comply with the regulatory requirements regarding medication. Observation of the Fawns room revealed a tube of Desitin and diaper rash ointment located in the children's cubbies. Observation of the Sunflowers room revealed a tube of calmoseptine ointment and desitin located in children's cubbies instead of being stored in a locked place.

**Outdoor Play Area**

**Not In Compliance**

**690 - Playground Conditions**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(20) An outdoor play area shall be:**

- (d) Safe from foreseeable hazard;**
- (e) Well drained;**
- (f) Well maintained;**
- (g) In good repair; and**
- (h) Visible to staff at all times.**

**Findings:**

General: Based on Observation, the child care center failed to maintain an outdoor play area in accordance with regulatory requirements. Observation of the Infant/Toddler outside play area revealed black edging with metal pins that were not flush with the ground, therefore causing a foreseeable hazard.

**Inspection Report**

**Equipment**

**Not In Compliance**

**755 - Toys/Equipment/Furniture**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

**(1) All toys, equipment, and furniture contacted by a child shall be:**

- (a) Kept clean and in good repair; and**
- (b) Free of peeling, flaking, or chalking paint.**

**Findings:**

General: Based on Observation, the child care center failed to maintain equipment in accordance with regulatory requirements. Observation of the Wildcats room revealed a hole in the small, blue vinyl couch exposing the inside materials.

**760 - Indoor/Outdoor Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

**(2) Indoor and outdoor equipment shall:**

- (a) Be clean, safe, and in good repair;**
- (b) Meet the physical, developmental needs, and interests of children of different age groups;**
- (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**
- (d) Be designed to guard against entrapment or situations that may cause strangulation.**

**Findings:**

General: Based on Observation, the child care center failed to maintain equipment in accordance with regulatory requirements. Observation of the Owls room revealed a square wooden block with nails protruding from it lying on the floor in the block area.

**Transportation**

**Not In Compliance**

**820 - Vehicle Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 12. Transportation.**

**(4) A vehicle used to transport children shall be equipped with:**

- (a) A fire extinguisher;**
- (b) First aid supplies as described in Section 7 of this administrative regulation;**
- (c) Emergency reflective triangles; and**
- (d) A device to cut the restraint system, if necessary.**

**Findings:**

General: Based on Observation, and interview the child care center failed to comply with regulatory requirements regarding transportation. Observation of the van used to transport children revealed the child care center failed to have first aid supplies and a device to cut the restraint system. Interview with the staff in charge revealed she was unable to locate the items at the time of the survey.

**Food Service**

**Not In Compliance**

**980 - Food Protected From Contamination**

**Not In Compliance**

**922 KAR 2:120. Section 9. Food and Meal Requirements.**

**(1) Food shall be:**

**(h) Protected against contamination from:**

- 1. Dust;**
- 2. Flies;**
- 3. Rodents and other vermin;**
- 4. Unclean utensils and work surfaces;**
- 5. Unnecessary handling;**
- 6. Coughs and sneezes;**
- 7. Cuts in skin;**
- 8. Communicable disease;**
- 9. Flooding;**
- 10. Drainage; and**
- 11. Overhead leakage.**

**Findings:**

General: Based on Observation, the child care center failed to maintain food service in accordance with regulatory requirements. Observation in the coyotes room revealed a staff member touched a child's clothing and hair and then picked up raw apples with the same hand and served them to the children.

**1055 - Breakfast Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 9. Food and Meal Requirements.**

**(17) Breakfast shall include:**

- (a) Milk;**
- (b) Bread; and**
- (c) 1. Fruit;**
- 2. Vegetable; or**
- 3. 100 percent juice.**

**Findings:**

General: Based on Observation, the child care center failed to maintain food service in accordance with regulatory requirements. Observation in the coyotes room revealed a child who was served yogurt and milk. Another child was served apples and milk therefore all the meal requirements for breakfast were not met.

Inspection Report

Children's Records

Not In Compliance

1075 - Enrollment Information

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
- 2. Retained on file on the first day the child attends the child-care center; and
- 3. To contain:
  - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;
  - b. Contact information to enable a person in charge to contact the child's:
    - (i) Parent at the parent's home or place of employment;
    - (ii) Family physician; and
    - (iii) Preferred hospital;
  - c. The name of each person who is designated in writing to pick-up the child;
  - d. The child's general health status and medical history including, if applicable:
    - (i) Allergies;
    - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
    - (iii) Permission from the parent for third-party professional services in the child-care center;
  - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;
  - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on Review of Documentation, and interview the child care center failed to maintain children's records in accordance with regulatory requirements. Review of the presented children's files revealed (10) ten files that failed to contain the name of a preferred hospital. Further review of the presented children's files revealed a child with enrollment date 11/16/16 did not have verification of permission from the parent for third-party professional services in the child care center. Interview with the staff in charge revealed that they couldn't locate verification of permission from the parent after given time to find the documentation.

Written Documentation

In Compliance

Posted Documentation

In Compliance

Animals

In Compliance

Signature of Provider/Representative

Title

Date