



Andy Beshear
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511
Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Eric Friedlander
SECRETARY

Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Independence Learning Center	Provider Information	CLR No: L359106
Provider Address: 155 Court House Crossing, Independence, KY, 41051	Provider Type: LICENSED TYPE I	Capacity: 107
Owner(s): Independence Learning Center, LLC		Director(s): Rauch, Laura Beth

Inspection Type: Renewal Application	Inspection Information	Inspection No: 220810
Date Initiated: 07/10/2017 9:44 AM	Date Concluded: 07/10/2017 12:00 PM	
	No. of Children Present: 67	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance
100 - Plan of Correction/10 days	Not In Compliance

922 KAR 2:090. Section 9. Statement of Deficiency and Corrective Action Plans.

(2) Except for a violation posing an immediate threat as handled in accordance with KRS 199.896(5)(c), a child-care center shall submit a written corrective action plan to the cabinet or its designee within ten (10) calendar days of receipt of the statement of deficiency to eliminate or correct the regulatory violation.

Findings:

A PLAN OF CORRECTION WAS DUE ON 07/25/2017 AND AS OF 08/24/2017, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.

170 - Notification of Changes	Not In Compliance
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922 KAR 2:110. Section 6. Reports.

(4) Written notification of the following shall be made to the cabinet to allow for approval before implementation:

- (a) Change of ownership;
- (b) Change of location;
- (c) Increase in capacity;
- (d) Change in hours of operation;
- (e) Change of services in the following categories:
 - 1. Infant;
 - 2. Toddler;
 - 3. Preschool-age;
 - 4. School-age;
 - 5. Nontraditional hours; or
 - 6. Transportation; or
- (f) Addition to the square footage a child-care center's premises.

Findings:

General: Based on Observation, it was found that the Green Room (Toddlers) were using an outside playground that had not been approved as licensed space. It was also found that the Purple Room (younger school agers) were using outside play space that had not been approved as licensed space.

Inspection Report	
Director Requirements	Not In Compliance
260 - Staff Evaluation	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;	
Findings: General: Based on Review of Documentation, it was found that a staff person with a hire date of 06/14/2016 did not have documentation of an annual evaluation.	
265 - Health, Safety, Comfort	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (l) Provide for the health, safety, and comfort of each child;	
Findings: General: Based on Observation, it was found that there was plunger in a bucket on the floor in the restroom used by the children for toileting in the Blue Room. General: Based on Observation, it was found that the younger school age children had been playing outside of their classroom on a concrete area that had not been approved yet as outdoor play space. In an interview with a staff, she stated that the area will be the younger school agers playground but stated that the fence needed to be put up. The staff stated that the children had been doing water play on the structure. The concrete slab had a drop off on the side and is not fenced off.	
Employee Records	Not In Compliance
320 - TB Verification	Not In Compliance
922 KAR 2:110. Section 5. Staff Requirements. (1) Child-care center staff: (b) Shall provide, prior to employment and every two (2) years thereafter: 1. A statement from a health professional that the individual is free of active tuberculosis; or 2. A copy of negative tuberculin results.	
Findings: General: Based on Review of Documentation, it was found through a review of sixteen (16) staff files that two (2) staff files had no evidence of staff being free of TB. The dates of hire for the staff were 05/10/2017 and 03/21/2017. It was also found that a staff person with a hire date of 12/21/2016 did not have their TB Skin test read until 02/09/2017.	
340 - Training	Not In Compliance
922 KAR 2:110. Section 5. Staff Requirements. (14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following: (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment; (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.	
Findings: General: Based on Review of Documentation, it was found through a review of sixteen (16) staff files that two (2) staff files did not have documentation of the six (6) hours of cabinet-approved orientation within the first three (3) months of employment. The dates of hire for the staff were 11/30/2016 and 08/15/2016. A review of ECE-TRIS confirmed this. It was also found that a staff person with a hire date of 06/14/2016 did not have documentation of the fifteen (15) hours of cabinet-approved early care and education training or documentation of the one and one-half (1 1/2) hours of pediatric abusive head trauma training. A review of ECE-TRIS confirmed this.	
Programming	In Compliance
Premises	Not In Compliance
515 - Protected Openings	Not In Compliance
922 KAR 2:120. Section 4. Premises Requirements. (8) An opening to the outside shall be effectively protected against the entrance of vermin by: (a) Self-closing doors; (b) Closed windows; (c) Screening; (d) Controlled air current; or (e) Other effective means.	
Findings: General: Based on Observation, it was found that the door leading to the outside toddler playground located off of the Green Room was propped open.	
520 - Floors, Walls, Ceilings	Not In Compliance
922 KAR 2:120. Section 4. Premises Requirements. (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.	
Findings: General: Based on Observation, it was found that there were several areas of chipped paint on the walls in the Green Room, Blue Room, Yellow Room and Red Room. It was found that in the Yellow Room there was a vent cover in the ceiling that was covered in dust.	
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance

Inspection Report	
Equipment	In Compliance
Transportation	Not In Compliance
800 - Transportation Notification/Full Coverage Insurance	Not In Compliance
922 KAR 2:120. Section 12. Transportation. (3) Prior to transporting a child, a center providing transportation services of a child shall notify the cabinet or its designee in writing of the: (d) Full insurance coverage for each vehicle;	
Findings: General: Based on Review of Documentation, it was found that there was no documentation of full coverage insurance for the vehicle used to transport children.	
830 - Vehicle Inspection Documentation	Not In Compliance
922 KAR 2:120. Section 12. Transportation. (6) A vehicle used to transport children shall meet the following requirements: (a) A twelve (12) or more passenger vehicle shall display a current certification of inspection from the Transportation Cabinet on the designated window.	
Findings: General: Based on Review of Documentation, it was found that the facility did not have documentation of a current certificate of inspection from the Transportation Cabinet.	
Food Service	In Compliance
Children's Records	Not In Compliance
1075 - Enrollment Information	Not In Compliance
922 KAR 2:110. Section 3. Records. (1) A child-care center shall maintain: (b) A written record for each child: 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;	
Findings: General: Based on Review of Documentation, it was found through a review of ten (10) children's files that three (3) files did not contain the physician contact information. All three (3) children were enrolled on 11/16/2015.	
1080 - Documentation for Off Premise Trip	Not In Compliance
922 KAR 2:110. Section 3. Records. (1) A child-care center shall maintain: (b) A written record for each child: 3. To contain: g. A permission form for each trip off the premises signed by the child's parent in accordance with 922 KAR 2:120, Section 12;	
Findings: General: Based on Review of Documentation, it was found that there were no permission forms signed allowing the older school agers to play in a grassy area or in the facility parking lot. The school older school agers were observed to be going to the grassy area on 07/10/2017 but stayed in the facility parking area instead. The staff in charge stated that she would obtain written permission.	
Written Documentation	Not In Compliance
1105 - Professional Development	Not In Compliance
922 KAR 2:110. Section 3. Records. (1) A child-care center shall maintain: (f) A written annual plan for child-care staff professional development;	
Findings: General: Based on Review of Documentation, it was found that staff persons with a hire date of 06/14/2016 and 03/02/2015 did not have documentation of a professional development plan.	

Inspection Report

1145 - Earthquake/Tornado Drills

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(13) An earthquake drill and a tornado drill shall be conducted during hours of operation:

- (a) At least quarterly; and
- (b) Documented.

Findings:

General: Based on Review of Documentation, it was found that there was no documented record of earthquake drills being conducted by the facility.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date