



Andy Beshear
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511
Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Eric Friedlander
SECRETARY

Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Information		
Provider Name: Southern Hills Early Childhood Program	Provider Type: LICENSED TYPE I	CLR No: L354537
Provider Address: 2356 Harrodsburg Road, Lexington, KY, 40503		Capacity: 233
Owner(s): Southern Hills Early Childhood Program		Director(s): Fuqua, Ann Griffiths
Inspection Information		
Inspection Type: Renewal Application		Inspection No: 289187
Date Initiated: 05/29/2019 9:03 AM	Date Concluded: 05/29/2019 11:30 AM	
No. of Children Present: 170		

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	
Not In Compliance	
<p>922 KAR 2:280. Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
Based on observation and review of documentation, the child-care facility failed to meet his regulation. At approximately 9:10 a.m., the surveyor entered the Dalmatians classroom and observed two (2) staff and fourteen (14) children ages three-to-four (3-4) years old. File review and a review of the Kentucky National Background Service (KARES) found one of the staff hired on 5/13/19 only had an application in KARES that was submitted on 5/15/19. The surveyor noted that this staff person has yet to submit fingerprints and therefore, does not have an eligible for hire status. This staff person was not observed working alone with children during the renewal inspection but was being utilized to meet staff-to child ratios.	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance

Inspection Report	
Director Requirements	Not In Compliance
350 - Health, Safety, Comfort	Not In Compliance
922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (l) Assure the health, safety, and comfort of each child;	
Findings: General: Based on observation, the surveyor found the following: 1. One (1) uncovered outlet in the book area located in the Stallions classroom. 2. One (1) uncovered electrical outlet in the infant classroom in the area of the infant swings. 3. One (1) uncovered electrical outlet in the Donkeys classroom located under the dry erase board.	
Employee Records	Not In Compliance
385 - Personnel File	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (e) A current personnel file for each child-care center staff person to include: 1. Name, address, date of birth, and date of employment; 2. Proof of educational qualifications; 3. Record of annual performance evaluation; 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and 5. The results of background checks conducted in accordance with 922 KAR 2:280;	
Findings: General: Based on observation and review of documentation, staff with hire dates of 07/07/2014 and 07/22/2013 did not have an annual performance evaluation on file.	
390 - Educational Requirements	Not In Compliance
922 KAR 2:090. Section 11. Staff Requirements. (1) Child-care center staff: (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a: 1. High school diploma; 2. GED or qualifying documentation from a comparable educational entity; or 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;	
Findings: General: Based on review of documentation, staff with a hire date of 05/10/2019 did not have proof of education on file.	
410 - Training	Not In Compliance
922 KAR 2:090. Section 11. Staff Requirements. (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following: (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment; (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.	
Findings: General: Based on review of documentation and ECE-TRIS, staff with hire dates of 03/07/2002 and 12/04/2017 did not have fifteen (15) hours of early care and education training. Additionally, staff with hire dates of 05/29/2013, 07/22/2013, and 03/14/2014 did not have current Pediatric Abusive Head Trauma (PAHT) training.	
Programming	In Compliance
Premises	Not In Compliance
520 - Inaccessible Items	Not In Compliance
922 KAR 2:120. Section 3. General Requirements. (7) The following shall be inaccessible to a child in care: (a) Toxic cleaning supplies, poisons, and insecticides; (b) Matches, cigarettes, lighters, and flammable liquids; and (c) Personal belongings and medications of staff.	
Findings: General: Based on observation, the surveyor found a container of Disinfectant Wipes and a can of Febreze Air Freshener inside of an unlocked cabinet underneath the hand-washing sink located in the Mallard's classroom.	

540 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (1) The premises shall be:**
(a) Suitable for the purpose intended;
(b) Kept clean and in good repair;

Findings:

General: Based on observation, it was found that there was a broken vent in the Kittens classroom. This vent was underneath the bulletin board.

580 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

Findings:

General: Based on observation, the surveyor found the following:

1. Two (2) brown stained ceiling tiles located at the entrance of the Mallard's classroom and two (2) brown stained ceiling tiles inside of the Mallards classroom.
2. A section of baseboard by the door not secured to the wall in the Donkeys classroom.
3. A dusty vent by the main door in the Donkeys classroom.
4. A section of chipped paint on the wall under the windows in the Chicks classroom.
5. Chipped paint on the wall by the dramatic play kitchen in the Pups classroom.

650 - Toilet

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (4) Each toilet shall:**
(a) Be kept in clean condition;
(b) Be kept in good repair;
(c) Be in a lighted room; and
(d) Have ventilation to outside air.

Findings:

General: Based on observation, the surveyor found the toilet lid loose in the restroom located in the Barn Owls classroom.

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

Not In Compliance

750 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (20) An outdoor play area shall be:**
(d) Safe from foreseeable hazard;
(e) Well drained;
(f) Well maintained;
(g) In good repair; and
(h) Visible to staff at all times.

Findings:

General: Based on observation, the surveyor noted that the mulch on both playgrounds was sparse and did not provide adequate protective surfacing for the equipment. Furthermore, the surveyor noted a section of exposed weed guard on the playground that causes a tripping hazard.

Equipment

Not In Compliance

815 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (1) All toys and furniture contacted by a child shall be:**
(a) Kept clean and in good repair; and
(b) Free of peeling, flaking, or chalking paint.

Findings:

General: Based on observation, the surveyor found the following:

1. The seat of a red colored chair located in the Cats classroom was cracked in two places.
2. Two (2) folding tables located in the school-age classroom were ripped exposing the plywood.
3. An area rug located in the Dalmatians classroom was stained in many places.
4. A small table located in the school-age classroom was found to be unsteady.
5. A wood climber with blue carpet in the Donkeys classroom was littered with crumbs and other debris.

Transportation

In Compliance

Inspection Report	
Food Service/Food Program	In Compliance
Food Service	Not In Compliance
1025 - Refrigerator	Not In Compliance
<div>922 KAR 2:120. Section 8. Kitchen Requirements. (4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall: (a) Have an indicating thermometer or other appropriate temperature measuring device; (b) Be in a safe environment for preservation; and (c) Be forty (40) degrees Fahrenheit or below.</div>	
Findings: General: Based on observation, it was found that there was not an indicating thermometer in the refrigerator in the Infant classroom.	
Children's Records	Not In Compliance
1140 - Enrollment Information	Not In Compliance
<div>922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (b) A written record for each child: 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;</div>	
Findings: General: Based on review of documentation, two (2) children's files (enrollment dates: 8/21/17 and 5/31/18) did not have a preferred hospital noted.	
Written Documentation	In Compliance
Posted Documentation	In Compliance
Animals	In Compliance