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Inspection Report

Provider Name: Southern Hills Early Childhood Program Provider Address: 2356 Harrodsburg Road, Lexington, KY, 40503 Owner(s): Southern Hills Early Childhood Program	Provider Information Provider Type: LICENSED TYPE I	CLR No: L354537 Capacity: 233 Director(s): Fuqua, Ann Griffiths			
<table border="1"> <tr> <td data-bbox="56 787 625 861"> Inspection Type: Renewal Application Date Initiated: 05/18/2017 8:40 AM </td> <td data-bbox="633 766 1039 871"> Inspection Information Date Concluded: 05/18/2017 11:40 AM No. of Children Present: 148 </td> <td data-bbox="1047 787 1583 861"> Inspection No: 219541 </td> </tr> </table>			Inspection Type: Renewal Application Date Initiated: 05/18/2017 8:40 AM	Inspection Information Date Concluded: 05/18/2017 11:40 AM No. of Children Present: 148	Inspection No: 219541
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Inspection Report	
Supervision	Not In Compliance
5 - Children Supervised	Not In Compliance
922 KAR 2:120. Section 2. Child Care Services. (3)(a) Each center shall maintain a child-care program that assures each child will be: 1. Provided with adequate supervision at all times by a qualified staff person who: a. Ensures the child is within scope of vision and range of voice; or b. For a school-age child, within scope of vision or range of voice;	
Findings: General: Based on Observation, as the surveyor was leaving the Mallards room there were two (2) children from the Dalmations room in the hall drinking from the water fountain. There was no staff person present with the children. One (1) child finished drinking from the fountain and went into the Dalmations room; the other child then took a turn having a drink. A staff person came down the hall and went into the Dalmation room with the child. In the classroom one (1) staff was reading a story and the other was putting things away in a cabinet. The staff person who walked up in the hall stated she was returning from taking a child to the gym and getting her sweater.	

Inspection Report	
Staffing Requirements	Not In Compliance
40 - Ratios and Group Size	Not In Compliance
<p>922 KAR 2:120. Section 2. Child Care Services.</p> <p>(2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:</p> <p>Age of Children Ratio Maximum Group Size*</p> <p>Infant</p> <p>1 staff for 5 children 10</p> <p>Toddler</p> <p>1 staff for 6 children 12</p> <p>Preschool-age 2 to 3 years</p> <p>1 staff for 10 children 20</p> <p>Preschool-age 3 to 4 years</p> <p>1 staff for 12 children 24</p> <p>Preschool-age 4 to 5 years</p> <p>1 staff for 14 children 28</p> <p>School-age 5 to 7 years</p> <p>1 staff for 15 children 30</p> <p>School-age 7 and older</p> <p>1 staff for 25 children</p> <p>(for before and after school) 30</p> <p>1 staff for 20 children</p> <p>(full day of care) 30</p> <p>*Maximum Group Size shall be applicable only to Type I child-care centers.</p>	
<p>Findings:</p> <p>General: Based on Observation, at the time of the survey the children in the Donkey's room and the Chicks room were both on the playground. There were fourteen (14) children age two (2) years in the Donkey's room and fifteen (15) children ages two to three (2-3) years in the Chicks room. There were five (5) staff. The children were not in defined groups and were playing as one (1) large group. The maximum group size with the age of the youngest child being a two-year-old is twenty (20). The group size was over by nine (9) children. During an interview with the staff person in charge it was indicated that normally one (1) classroom will play in the gym while the other classroom plays on the playground.</p>	
General Administration	In Compliance
Director Requirements	Not In Compliance
260 - Staff Evaluation	Not In Compliance
<p>922 KAR 2:110. Section 4. Director Requirements and Responsibilities.</p> <p>(1) Effective with the adoption of this administrative regulation, a director shall:</p> <p>(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;</p>	
<p>Findings:</p> <p>General: Based on Review of Documentation, three (3) staff did not have an evaluation completed, the hire dates were 10/28/14, 7/16/14, and 10/1/04.</p>	
Employee Records	Not In Compliance
300 - Background checks/left alone	Not In Compliance
<p>922 KAR 2:090. Section 6. License Issuance.</p> <p>(5) An individual described in subsection (4) of this section shall:</p> <p>(a) Submit to background checks described in paragraph (b) of this subsection;</p> <p>(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:</p> <p>1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;</p> <p>2. Criminal records check required by KRS 199.896(19);</p> <p>3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and</p> <p>4. An address check of the Sex Offender Registry; and</p> <p>(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.</p>	
<p>Findings:</p> <p>General: Based on Review of Documentation, five (5) staff had their criminal records check completed after their hire date. The director stated to her knowledge the teachers did not work with children until the background checks came back. The staff hire dates were 09/26/16, 1/2/17, 1/11/17, 4/14/17, and 10/10/16. One (1) staff resided in Ohio and did not have a completed out of state background check, the hire date was 1/19/17.</p>	
320 - TB Verification	Not In Compliance
<p>922 KAR 2:110. Section 5. Staff Requirements.</p> <p>(1) Child-care center staff:</p> <p>(b) Shall provide, prior to employment and every two (2) years thereafter:</p> <p>1. A statement from a health professional that the individual is free of active tuberculosis; or</p> <p>2. A copy of negative tuberculin results.</p>	
<p>Findings:</p> <p>General: Based on Review of Documentation, four (4) staff had their TB test completed after their hire date. The hire dates were 1/11/17, 3/1/17, 7/26/16, and 11/14/16. Two (2) staff did not have current TB tests completed, their hire dates were 3/14/14 and 10/1/04.</p>	

340 - Training

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
 - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and**
 - (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on Review of Documentation, eleven (11) staff did not have the required fifteen (15) hours of training. This was verified through ECE-TRIS. The hire dates were listed as 10/28/14, 7/16/14, 2/18/16, 5/11/12, 3/23/16, 11/06/15, 12/10/15, 6/24/13, 12/24/13, 3/7/02, and 8/15/11. One (1) staff did not have the required Pediatric Head and Abuse Trauma training, this was verified through ECE-TRIS. The hire date was listed as 7/30/14.

345 - Driver Requirements

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (18) A driver of a vehicle transporting a child for a center shall:**
- (a) Be at least twenty-one (21) years old;**
 - (b) Complete:**
 - 1. The background checks as described in 922 KAR 2:110; and**
 - 2. An annual check of the:**
 - a. Kentucky driver history records in accordance with KRS 186.018; or**
 - b. Driver history records through the state transportation agency that issued the driver's license;**
 - (c) Hold a current driver's license which has not been suspended or revoked during the last five (5) years; and**
 - (d) Not caused an accident which resulted in the death of a person.**

Findings:

General: Based on Review of Documentation, it was found that the three (3) staff who drive the facility vehicles did not have a current driver history record from the state transportation agency that issued the driver's license.

Programming

In Compliance

Premises

Not In Compliance

460 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (7) Except in accordance with subsection (8) of this section, the following shall be inaccessible to a child in care:**
- (a) Toxic cleaning supplies, poisons, and insecticides;**
 - (b) Knives and sharp objects;**
 - (c) Matches, cigarettes, lighters, and flammable liquids;**
 - (d) Plastic bags;**
 - (e) Litter and rubbish;**
 - (f) Bar soap; and**
 - (g) Personal belongings and medications of staff.**

Findings:

General: Based on Observation of the bathroom in the Cats room, there were plastic grocery bags under the sink and two (2) cans of Air Wick spray sitting out on the counter. In the Dalmatians room there were plastic bags under the sink. In the Mallards room there were plastic bags and cleaners under the sink.
General: Based on Observation, a can of Glade air freshener was found sitting on top of the paper towel dispenser in the bathroom in the Donkey's room. The towel dispenser is hung low on the wall beside the sink. There is a step stool at the sink making the air freshener accessible to the children. The label on the can states to Keep out of the reach of children.

520 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

Findings:

General: Based on Observation, there were stained ceiling tiles in the Cats, the Dalmatians, and the Barn Owls rooms. In the Dalmatians room there was also a ceiling tile near the door that was missing.

Hygienic Practices

Not In Compliance

625 - Diaper Changing Area/Surface

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (10) When a child is diapered, the child shall:**
- (b) Be placed on a surface that is:**
 - 1. Clean;**
 - 2. Padded;**
 - 3. Free of holes, rips, tears, or other damage;**
 - 4. Nonabsorbent;**
 - 5. Easily cleaned; and**
 - 6. Free of any items not used for diaper changing.**

Findings:

General: Based on Observation, during a tour of the bathrooms in the Chicks and Donkeys rooms it was found that the pull down changing tables did not have a padded surface.

Inspection Report	
First Aid/Medication	Not In Compliance
670 - Medication	Not In Compliance
922 KAR 2:120. Section 7. First Aid and Medicine. (6) Medication, including refrigerated medication, shall be: (a) Stored in a separate and locked place, out of the reach of a child; (b) Kept in the original bottle; and (c) Properly labeled. (7) Medication shall not be given to a child if the expiration date on the bottle has passed.	
Findings: General: Based on Observation, during an inspection of the Chevy van used to transport children from school and on field trips several individual packets of Motrin, Neosporin antibiotic ointment and various other medications were found in a first aid kit located on a shelf above the seats where the children sit. The medications were not locked up and were not labeled for an individual child. During a tour of the Piglets room there was a bottle of Children's Tylenol in the medication box that was not labeled for an individual child. In the Ducklings room three (3) bottles of Little Remedies saline spray were found in the medication box. None of the bottles were labeled for an individual child. In the Donkeys room there were two (2) boxes of Children Ibuprofen and a bottle of Children's Tylenol in an unlocked box that was stored on its side on a shelf above the counter.	
Outdoor Play Area	In Compliance
Equipment	Not In Compliance
750 - Individual Bedding Storage	Not In Compliance
922 KAR 2:120. Section 6. Sleeping and Napping Requirements. (9) Individual bedding shall be stored in a sanitary manner.	
Findings: General: Based on Observation, in multiple classrooms the sheets were kept on the nap time mats while they were stored stacked on top of each other so that the sheets were touching. Some classrooms had plastic barriers between the mats but the barriers were not large enough to prevent contact.	
755 - Toys/Equipment/Furniture	Not In Compliance
922 KAR 2:120. Section 11. Toys and Furnishings. (1) All toys, equipment, and furniture contacted by a child shall be: (a) Kept clean and in good repair; and (b) Free of peeling, flaking, or chalking paint.	
Findings: General: Based on Observation, during a tour of the Donkeys' room there was a navy blue rug in the block area that had frayed and worn edges. In the Fawns' room there was a large red and white area rug that was soiled and stained.	
Transportation	Not In Compliance
820 - Vehicle Equipment	Not In Compliance
922 KAR 2:120. Section 12. Transportation. (4) A vehicle used to transport children shall be equipped with: (a) A fire extinguisher; (b) First aid supplies as described in Section 7 of this administrative regulation; (c) Emergency reflective triangles; and (d) A device to cut the restraint system, if necessary.	
Findings: General: Based on Observation, during an inspection of both vehicles used to transport children it was found that on the GMC Bus there was not a CPR mouthpiece available as part of the first aid supplies. On the Chevy Bus the cold pack had busted therefore there was not a cold pack accessible as part of the first aid supplies on that vehicle.	
Food Service	Not In Compliance
960 - Bottles	Not In Compliance
922 KAR 2:120. Section 8. Kitchen Requirements. (9) Bottles shall be: (a) Individually labeled; (b) Promptly refrigerated; (c) Covered when not in use; and (d) Consumed within one (1) hour of being heated or removed from the refrigerator.	
Findings: General: Based on Observation, during a tour of the Fawns room a child who was one (1) year old was observed sitting on the floor with a bottle.	

Inspection Report	
Children's Records	Not In Compliance
1075 - Enrollment Information	Not In Compliance
<p>922 KAR 2:110. Section 3. Records.</p> <p>(1) A child-care center shall maintain:</p> <p>(b) A written record for each child:</p> <ol style="list-style-type: none"> 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: <ol style="list-style-type: none"> a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: <ol style="list-style-type: none"> (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: <ol style="list-style-type: none"> (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence; 	
<p>Findings:</p> <p>General: Based on Review of Documentation, two (2) children's files did not contain a phone number to reach the child's physician.</p>	
Written Documentation	Not In Compliance
1085 - Evacuation Plan	Not In Compliance
<p>922 KAR 2:090. Section 5. Evacuation Plan.</p> <p>(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895.</p>	
<p>Findings:</p> <p>General: Based on Review of Documentation, the facility did not have written evidence that the emergency preparedness plan had been updated and submitted to the local emergency management officials in accordance with KRS 199.895. The last updated plan was 7/2013.</p>	
Posted Documentation	Not In Compliance
1155 - Posting Requirements	Not In Compliance
<p>922 KAR 2:110. Section 2. General.</p> <p>(7) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:</p> <ol style="list-style-type: none"> (a) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year; (b) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year; (c) Information on the Kentucky Consumer Product Safety Program and the program's website as specified in KRS 199.897; (d) A description of services provided by the child-care center, including: <ol style="list-style-type: none"> 1. Current rates for child care; and 2. Each service charged separately and in addition to the basic rate for child care; (e) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and (f) Daily schedule. 	
<p>Findings:</p> <p>General: Based on Observation, the facility did not have posted a civil penalty from 4/27/17.</p>	
Animals	In Compliance

Signature of Provider/Representative

Title

Date