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Inspection Report

Provider Name: Middletown Elementary Childcare Enrichment Program	Provider Information Provider Type: LICENSED TYPE I	CLR No: L354347
Provider Address: 218 North Madison Avenue, Louisville, KY, 40243		Capacity: 250
Owner(s): The Young Mens Christian Association Of Greater Louisville		Director(s): Davis, Karen Michelle

Inspection Type: Renewal Application	Inspection Information	Inspection No: 217803
Date Initiated: 12/07/2016 3:45 PM	Date Concluded: 12/07/2016 6:25 PM	
	No. of Children Present: 59	

Inspection Report																															
Supervision	In Compliance																														
Staffing Requirements	Not In Compliance																														
40 - Ratios and Group Size	Not In Compliance																														
<p>922 KAR 2:120. Section 2. Child Care Services. (2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:</p> <table border="1"> <thead> <tr> <th>Age of Children</th> <th>Ratio</th> <th>Maximum Group Size*</th> </tr> </thead> <tbody> <tr> <td>Infant</td> <td>1 staff for 5 children</td> <td>10</td> </tr> <tr> <td>Toddler</td> <td>1 staff for 6 children</td> <td>12</td> </tr> <tr> <td>Preschool-age 2 to 3 years</td> <td>1 staff for 10 children</td> <td>20</td> </tr> <tr> <td>Preschool-age 3 to 4 years</td> <td>1 staff for 12 children</td> <td>24</td> </tr> <tr> <td>Preschool-age 4 to 5 years</td> <td>1 staff for 14 children</td> <td>28</td> </tr> <tr> <td>School-age 5 to 7 years</td> <td>1 staff for 15 children</td> <td>30</td> </tr> <tr> <td>School-age 7 and older</td> <td>1 staff for 25 children</td> <td></td> </tr> <tr> <td>(for before and after school)</td> <td>1 staff for 20 children</td> <td>30</td> </tr> <tr> <td>(full day of care)</td> <td>1 staff for 20 children</td> <td>30</td> </tr> </tbody> </table> <p>*Maximum Group Size shall be applicable only to Type I child-care centers.</p>		Age of Children	Ratio	Maximum Group Size*	Infant	1 staff for 5 children	10	Toddler	1 staff for 6 children	12	Preschool-age 2 to 3 years	1 staff for 10 children	20	Preschool-age 3 to 4 years	1 staff for 12 children	24	Preschool-age 4 to 5 years	1 staff for 14 children	28	School-age 5 to 7 years	1 staff for 15 children	30	School-age 7 and older	1 staff for 25 children		(for before and after school)	1 staff for 20 children	30	(full day of care)	1 staff for 20 children	30
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<p>General: Based on Observation and Interview, the child care center failed to comply with staffing requirements. Observation of the cafeteria at 4:07 pm revealed there were at least fifty-seven (57) children present at the time of survey; however, the designated groups were not clearly delineated at the time of surveyor's initial observation. Therefore, surveyor interviewed staff-in-charge to obtain an accurate count of the number of children in each group. Interview with staff-in-charge revealed the children were divided into three (3) groups. Continued interview with staff-in-charge revealed one (1) of the three (3) groups failed to maintain minimum staff-to-child ratios as one (1) staff member had sole supervisory authority over a group of twenty-six (26) children ages five-years-old to seven-years-old as of 4:07 pm. Further interview with staff-in-charge revealed the same staff member had sole supervisory authority over twenty-two (22) children ages five-years-old to seven-years-old as of 4:18pm. Continued observation revealed an additional staff member arrived at the center at approximately 4:14pm-4:18pm and several children were picked up by their parents, at which time, observation revealed staff-to-child ratios were maintained.</p>																															
General Administration	In Compliance																														

Inspection Report

Director Requirements

In Compliance

Employee Records

Not In Compliance

300 - Background checks/left alone

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

(5) An individual described in subsection (4) of this section shall:

- (a) Submit to background checks described in paragraph (b) of this subsection;**
- (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:**
 - 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;**
 - 2. Criminal records check required by KRS 199.896(19);**
 - 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and**
 - 4. An address check of the Sex Offender Registry; and**
- (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.**

Findings:

General: Based on Review of Documentation and Interview, the child care center failed to maintain employee records in accordance with regulations. Review of staff files revealed a Child Abuse & Neglect Check (CAN) was not presented for review at the time of survey for a volunteer with a start date of 9/7/11. Review of documentation revealed this volunteer was not listed in DRCC records as a volunteer for this center; therefore, there was no previous record of a CAN on file for this volunteer. Interview with staff-in-charge revealed a CAN was not available for review at the time of survey for the aforementioned volunteer. Continued interview with staff-in-charge revealed the aforementioned volunteer has not worked alone with children in care.

315 - Educational Requirements

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**
 - 1. High school diploma;**
 - 2. GED or qualifying documentation from a comparable educational entity; or**
 - 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on Review of Documentation and Interview, the child care center failed to maintain employee records in accordance with regulations. Review of staff files revealed the GED certificate presented for review at the time of survey was invalid as half of the certificate was blank and the other half of the certificate was not entirely legible. During interview, staff-in-charge acknowledged that half of the certificate was blank; however, she revealed that this was the only documentation available for review at the time of survey for this staff member.

340 - Training

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on Review of Documentation and Interview, the child care center failed to maintain employee records in accordance with regulations. Review of staff files revealed documentation of six (6) hours of cabinet-approved orientation was not presented for review at the time of survey for one (1) staff member hired 1/20/16. Interview with staff-in-charge revealed the aforementioned staff member had not completed orientation. Continued review of staff files and ECE-TRIS report revealed documentation of fifteen (15) hours of cabinet approved training was not presented for review at the time of survey for one (1) staff member hired 4/4/13. Interview with staff-in-charge revealed this documentation was not available for review at the time of survey. Further review of staff files revealed the documentation of pediatric abusive head trauma training (PAHT) presented for review at the time of survey for the staff-in-charge hired 11/16/15 was completed 11/19/11, which is over five (5) years. Interview with staff-in-charge revealed she has not completed PAHT since 11/19/11.

Programming

In Compliance

Premises

Not In Compliance

520 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on Observation and Interview, the child care center failed to maintain the premises in accordance with regulations. Observation of the gym revealed one (1) of the ceiling tiles was protruding downward, half-way out of the ceiling frame. During interview, staff-in-charge acknowledged the ceiling tile was not secured in place.

Hygienic Practices

In Compliance

Inspection Report

First Aid/Medication

Not In Compliance

670 - Medication

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (6) Medication, including refrigerated medication, shall be:**
 - (a) Stored in a separate and locked place, out of the reach of a child;**
 - (b) Kept in the original bottle; and**
 - (c) Properly labeled.**
- (7) Medication shall not be given to a child if the expiration date on the bottle has passed.**

Findings:

General: Based on Observation and Interview, the child care center failed to comply with regulations regarding first aid and/or medication. Observation and interview with staff-in-charge revealed three (3) Epipens were stored in an unlocked storage box and placed on top of a file cabinet, accessible to school-age children.

Outdoor Play Area

In Compliance

Equipment

In Compliance

Transportation

In Compliance

Food Service

In Compliance

Children's Records

In Compliance

Written Documentation

In Compliance

Posted Documentation

Not In Compliance

1155 - Posting Requirements

Not In Compliance

922 KAR 2:110. Section 2. General.

- (7) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:**
 - (a) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;**
 - (b) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;**
 - (c) Information on the Kentucky Consumer Product Safety Program and the program's website as specified in KRS 199.897;**
 - (d) A description of services provided by the child-care center, including:**
 - 1. Current rates for child care; and**
 - 2. Each service charged separately and in addition to the basic rate for child care;**
 - (e) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and**
 - (f) Daily schedule.**

Findings:

General: Based on Review of Documentation and Interview, the child care center failed to post documentation in accordance with regulations. Review of documentation and interview with staff-in-charge revealed the center failed to post in a conspicuous place and make available for public inspection each statement of deficiency and plan of correction issued by the cabinet during the current licensure year.

Animals

Not Applicable

Signature of Provider/Representative

Title

Date