



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511
Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Eric Friedlander
SECRETARY

Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Tots Landing Learning Center	Provider Information	CLR No: L354221
Provider Address: 3501 Beaver Place Road, Lexington, KY, 40503	Provider Type: LICENSED TYPE I	Capacity: 93
Owner(s): Tots' Landing Early Childhood Center, Inc		Director(s): Winchester, Dana

Inspection Type: Renewal Application	Inspection Information	Inspection No: 278887
Date Initiated: 04/05/2019 10:10 AM	Date Concluded: 04/05/2019 1:18 PM	
	No. of Children Present: 56	

Inspection Report		
Background Checks		In Compliance
Supervision		In Compliance
Staffing Requirements		In Compliance
General Administration		In Compliance
Director Requirements		In Compliance
Employee Records		Not In Compliance
385 - Personnel File		Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;**

Findings:

General: Based on review of documentation, a staff member hired on 1/31/19 did not have a personnel file on site. The staff member was seventeen (17) years-old and did not have proof of completing a CAN check. The staff member was not working alone with the children during the renewal survey.

390 - Educational Requirements	Not In Compliance
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922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation, two (2) staff were missing proof of education on file. These staff were hired on 1/31/19 and on 2/27/19.

Inspection Report

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

1. A statement from a health professional that the individual is free of active tuberculosis; or
2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation, a staff hired on 1/31/19 did not have a TB skin test or a statement from a health professional that the individual is free of active TB. Another staff hired on 3/19/02 had a TB skin test on file that was no longer current. The TB skin test was dated 2/22/17. A staff hired on 2/01/19 obtained her TB test late, on 3/05/19 (after her hire date). Another staff hired on 6/08/18 obtained her TB test late, on 6/12/18 (after her hire date).

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on observation and review of documentation, two (2) staff did not complete the fifteen (15) hours of cabinet-approved early care and education training during their completed training year. A staff hired on 8/29/02 completed 12.50 hours of training. A staff hired on 8/28/17 completed 7.0 hours of training. ECE-TRIS and the staff files were both reviewed.

Programming

In Compliance

Premises

Not In Compliance

520 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(7) The following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;
- (b) Matches, cigarettes, lighters, and flammable liquids; and
- (c) Personal belongings and medications of staff.

Findings:

General: Based on observation, the closet in the Dolphin Room contained a brown staff purse sitting on the floor. The closet was not locked and the purse was located in an accessible area to the preschool children.

645 - Sink

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

(3) A sink shall be:

- (a) Located in or immediately adjacent to toilet rooms;
- (b) Equipped with hot and cold running water that allows for hand washing;
- (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;
- (d) Equipped with liquid soap;
- (e) Equipped with hand-drying blower or single use disposable hand drying material;
- (f) Equipped with an easily cleanable waste receptacle; and
- (g) Immediately adjacent to a changing area used for infants and toddlers.

Findings:

General: Based on observation, the water temperature at the hand washing sink in the Tugboats Room was 125 degrees Fahrenheit. The maximum temperature for hot water at a sink is 120 degrees Fahrenheit.

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

Not In Compliance

815 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

(1) All toys and furniture contacted by a child shall be:

- (a) Kept clean and in good repair; and
- (b) Free of peeling, flaking, or chalking paint.

Findings:

General: Based on observation, the diaper changing table in the Sunfish Room contained a shelf near the bottom that had the white surfacing coming off.

Transportation

Not Applicable

Food Service/Food Program

In Compliance

Food Service

In Compliance

Inspection Report		
Children's Records		Not In Compliance
1140 - Enrollment Information		Not In Compliance
<p>922 KAR 2:090. Section 9. Records.</p> <p>(1) A child-care center shall maintain:</p> <p>(b) A written record for each child:</p> <ol style="list-style-type: none"> 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: <ol style="list-style-type: none"> a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: <ol style="list-style-type: none"> (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: <ol style="list-style-type: none"> (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence; 		
<p>Findings:</p> <p>General: Based on observation and review of documentation, one (1) child's file was missing authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence. This child was enrolled in November of 2018. Another child's file was missing the name and phone number of the family physician and a preferred hospital. This child was enrolled on 8/31/18. A third child's file was missing a preferred hospital and this child was enrolled on 12/04/18.</p>		
Written Documentation		Not In Compliance
1150 - Evacuation Plan		Not In Compliance
<p>922 KAR 2:090. Section 5. Evacuation Plan.</p> <p>(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).</p>		
<p>Findings:</p> <p>General: Based on observation and review of documentation, the facility did not have written evidence of the submission of a current emergency preparedness plan to the local emergency management officials. The last emergency preparedness plan submitted was dated 12/13/17.</p>		
Posted Documentation		In Compliance
Animals		In Compliance

Signature of Provider/Representative

Title

Date