



Andy Beshear  
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES  
OFFICE OF INSPECTOR GENERAL

Eric Friedlander  
SECRETARY

Melissa A. Moore, Director  
Division of Regulated Child Care  
Northern Branch  
908 W. Broadway, 10-W  
Louisville, KY 40203

Adam Mather  
INSPECTOR GENERAL

Phone: (502) 595-5781 Fax: (502) 595-5773  
<https://chfs.ky.gov/agencies/os/oig>

**Inspection Report**

<b>Provider Name:</b> Raisin Factory, Inc.	<b>Provider Information</b>	<b>CLR No:</b> L354138
<b>Provider Address:</b> 201 Beck Street, Owenton, KY, 40359	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 70
<b>Owner(s):</b> Raisin Factory, Inc.		<b>Director(s):</b> Smoot, Deborah Jane

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 218371
<b>Date Initiated:</b> 02/01/2017 6:55 AM	<b>Date Concluded:</b> 02/01/2017 11:35 AM	
	<b>No. of Children Present:</b> 38	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance

275 - Caregiver Alone Not In Compliance

**922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**  
**(1) Effective with the adoption of this administrative regulation, a director shall:**  
**(n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;**

**Findings:**

General: Based on Observation, review of documentation and interview, the child care center director failed to assure compliance with regulatory requirements. Observation of the two-year-old room at 9:13 am revealed a staff member with the hire date 10/3/16 was the sole care giver for four (4) children. Review of presented staff files for the staff member with the hire date 10/3/16 revealed there was not a criminal records check and child abuse or neglect check (CAN) on file for the child care center. Continued review of presented staff files did reveal a criminal records check and child abuse or neglect check (CAN) for a previous employer contained in the file. Interview with the director revealed there was not a criminal record check and child abuse or neglect check (CAN) completed by the child care center. Review of presented staff files for the staff member with the hire date 5/27/16 revealed there was not a criminal records check and child abuse or neglect check (CAN) on file. Interview with the director revealed there was not a criminal record check and child abuse or neglect check (CAN) completed by the child care center. Continued interview with the director revealed the staff member has worked alone with children. Further interview with the director revealed the two (2) staff members with the hire dates 5/27/16 and 10/3/16 transferred from Head Start to the child care center and she was not aware that updated criminal record checks and updated CAN checks should be completed for the two (2) staff members.

**Inspection Report**

**Employee Records**

**Not In Compliance**

**300 - Background checks/left alone**

**Not In Compliance**

**922 KAR 2:090. Section 6. License Issuance.**

**(5) An individual described in subsection (4) of this section shall:**

**(a) Submit to background checks described in paragraph (b) of this subsection;**

**(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:**

**1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;**

**2. Criminal records check required by KRS 199.896(19);**

**3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and**

**4. An address check of the Sex Offender Registry; and**

**(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.**

**Findings:**

General: Based on Observation, review of documentation and interview, the child care center failed to maintain employee records in accordance with regulatory requirements. Observation of the two-year-old room at 9:13 am revealed a staff member with the hire date 10/3/16 was the sole care giver for four (4) children. Review of presented staff files for the staff member with the hire date 10/3/16 revealed there was not a criminal records check and child abuse or neglect check (CAN) on file for the child care center. Continued review of presented staff files did reveal a criminal records check and child abuse or neglect check (CAN) for a previous employer contained in the file. Interview with the director revealed there was not a criminal record check and child abuse or neglect check (CAN) completed by the child care center. Review of presented staff files for the staff member with the hire date 5/27/16 revealed there was not a criminal records check and child abuse or neglect check (CAN) on file. Interview with the director revealed there was not a criminal record check and child abuse or neglect check (CAN) completed by the child care center. Continued interview with the director revealed the staff member has worked alone with children. Further interview with the director revealed the two (2) staff members with the hire dates 5/27/16 and 10/3/16 transferred from Head Start to the child care center and she was not aware that updated criminal record checks and updated CAN checks should be completed for the two (2) staff members.

**Programming**

**In Compliance**

**Premises**

**In Compliance**

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**In Compliance**

**Outdoor Play Area**

**In Compliance**

**Equipment**

**In Compliance**

**Transportation**

**Not Applicable**

**Food Service**

**Not In Compliance**

**920 - Kitchen Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

**(1) The kitchen shall:**

**(a) Be clean;**

**(b) Be equipped for proper food:**

**1. Preservation;**

**2. Storage;**

**3. Preparation; and**

**4. Service;**

**(c) Be adequately ventilated to the outside air; and**

**(d) Except in a Type II child-care center when a meal is not being prepared, not be used for the activity of a child.**

**Findings:**

General: Based on Observation, and interview, the child care center failed to maintain food service in accordance with regulatory requirements. Observation of the kitchen revealed there was no ventilation to outside air. Interview with the director revealed she was unaware that the kitchen was not ventilated to outside air at the time of the survey.

**Children's Records**

**In Compliance**

**Written Documentation**

**In Compliance**

**Posted Documentation**

**In Compliance**

**Animals**

**Not Applicable**

Signature of Provider/Representative

Title

Date