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**Inspection Report**

<b>Provider Name:</b> St. Elizabeth Ann Seton Preschool	<b>Provider Information</b>	<b>CLR No:</b> L354083
<b>Provider Address:</b> 1740 Summerhill Drive, Lexington, KY, 40515	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 100
<b>Owner(s):</b> The Catholic Diocese Of Lexington		<b>Director(s):</b> Graves, Karen Marie

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 322548
<b>Date Initiated:</b> 08/23/2022 10:28 AM	<b>Date Concluded:</b> 08/23/2022 1:55 PM	
	<b>No. of Children Present:</b> 69	

Inspection Report	
<b>Background Checks</b>	<b>Not In Compliance</b>
<b>85 - Employment Status</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:280. Section 11. Status of Employment.</b> <b>(1) A child care provider shall maintain the employment or residential status of each child care staff member who has submitted to a fingerprint-based criminal background check by reporting the status using the NBCP Web-based system.</b></p> <p><b>Findings:</b> General: Based on interview and review of staff files it was found a staff member whose date of hire was identified as 08/18/2021, had a documented determination of eligibility of 09/30/2021 in the file; however, review of the center's Kentucky Application Registry and Employment Screening (KARES) report indicated the aforementioned staff member was not listed on the center's KARES roster. Therefore, the center's KARES report was not updated to reflect the current employment status of each staff member. Additionally, review of the KARES report determined that thirty-two (32) individuals who are no longer active employees with the childcare program listed as current staff. Interview revealed that none of the thirty-two (32) have been employed since the last school year.</p>	
<b>Supervision</b>	<b>Not In Compliance</b>
<b>90 - Children Supervised</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:120. Section 2. Child Care Services.</b> <b>(3)(a) Each center shall maintain a child-care program that assures each child shall be:</b> <b>1. Provided with adequate supervision at all times by a qualified staff person who ensures the child is:</b> <b>a. Within scope of vision and range of voice; or</b> <b>b. For a school-age child, within scope of vision or range of voice;</b></p> <p><b>Findings:</b> General: Based on observation, fourteen (14) four-year-old children were left unsupervised on the playground while the supervising staff member exited the playground and walked to the side door to speak to another staff member; therefore, leaving the children on the playground out of her scope of vision for approximately 30 seconds.</p>	
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>Not In Compliance</b>
<b>225 - Licensee Responsibility</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:090. Section 8. General.</b> <b>(1) A licensee shall:</b> <b>(a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and</b> <b>(b) Protect and assure the health, safety, and comfort of each child.</b></p> <p><b>Findings:</b> General: Based on observation, there were three (3) uncovered electrical outlets in the gross motor room.</p>	

**Inspection Report**

**Director Requirements**

**Not In Compliance**

**335 - Operation instruction/Regulation copy**

**Not In Compliance**

**922 KAR 2:090. Section 8. General.**

**(2) Child-care center staff shall be:**

- (a) Instructed by the child-care center’s director regarding requirements for operation; and**
- (b) Provided with a copy of this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280.**

**Findings:**

General: Based on observation and review of documentation, 922 KAR 2:280 was not presented for review.

**Employee Records**

**Not In Compliance**

**435 - Training**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**

- (a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child-care program;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

**(17) A staff person’s compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.**

**Findings:**

General: Based on interview and review of documentation, the following was found:

- 1.) Two (2) staff members failed to obtain fifteen (15) hours of training during the previous year and by 06/30/2022. A staff member whose date of hire was identified as 08/13/2018, received eleven and a half (11 1/2) hours and a staff member whose date of hire was identified as 07/17/2013, received fourteen (14) hours of training.
- 2.) A staff member whose date of hire was identified as 04/20/2021, has yet to complete state approved Orientation training. This staff member has been employed for more than 90 days.
- 3.) Two (2) staff members with dates of hire identified as 04/10/2018, and 01/08/2018, have not completed the Pediatric Abusive Head Trauma (PAHT) training within the last five (5) years.

**Programming**

**In Compliance**

**Premises**

**Not In Compliance**

**690 - Sink**

**Not In Compliance**

**922 KAR 2:120. Section 12. Toilet, Diapering, and Toiletry Requirements.**

**(3) A sink shall be:**

- (a) Located in or immediately adjacent to toilet rooms;**
- (b) Equipped with hot and cold running water that allows for hand washing;**
- (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;**
- (d) Equipped with liquid soap;**
- (e) Equipped with hand-drying blower or single use disposable hand drying material;**
- (f) Equipped with an easily cleanable waste receptacle; and**
- (g) Immediately adjacent to a changing area used for infants and toddlers.**

**Findings:**

General: Based on observation, the handwashing sink in each room failed to have hot water that reached at least 90 degrees. Interview with a staff member revealed that the handwashing sinks are only equipped with cold water.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**In Compliance**

**Outdoor Play Area**

**In Compliance**

**Equipment**

**Not In Compliance**

**860 - Toys/Furniture**

**Not In Compliance**

**922 KAR 2:120. Section 13. Toys and Furnishings.**

**(1) All toys and furniture contacted by a child shall be:**

- (a) Kept clean and in good repair; and**
- (b) Free of peeling, flaking, or chalking paint.**

**Findings:**

General: Based on observation, there was a foam ball and tennis ball that were torn and not in good condition. These items were located on the large playground area.

**Transportation**

**Not Applicable**

**Inspection Report**

**Kitchen Requirements**

**Not In Compliance**

**1030 - Food Service Permit**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

**(2) A child-care center required to have a food service permit shall be in compliance with 902 KAR 45:005 and this administrative regulation.**

**Findings:**

General: Based on observation and review of documentation, the presented food service permit was dated 12/31/21; therefore, it was not current. A current permit was not presented during the survey.

**Food Service**

**Not In Compliance**

**1080 - Food From Approved Source**

**Not In Compliance**

**922 KAR 2:120. Section 9. Food and Drink Requirements for All Child-Care Centers.**

**(1) Food shall be:**

- (e) Obtained from a source that is in compliance with the Department for Public Health's food safety standards and permits, established in KRS Chapter 217;**
- (f) Acceptable if from an established commercial food store;**

**Findings:**

General: Per interview, food was being provided by parents in rotation for a snack. Parents provide snacks for all children. A staff member stated that parents wash and cut fruit before bringing it in. Therefore, served food is prepared by an unapproved source.

**Meal Planning/Center Provides Meals**

**In Compliance**

**Meal Planning/Center Does Not Provide Meals**

**In Compliance**

**Children's Records**

**Not In Compliance**

**1245 - Immunization**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

**Findings:**

General: Upon review of children's files, one (1) child was missing a current immunization certificate; the immunization certificate had an expiration date of 5/1/22.

**Written Documentation**

**Not In Compliance**

**1270 - Daily Attendance Records**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

- (c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;**

**Findings:**

General: Based on observation, the following was found:

- 1.) In room 133, there were fifteen (15) children present, but only fourteen (14) children were signed in.
- 2.) In room 145, the attendance of each child was noted by a check mark, no time of arrival or departure was documented.
- 3.) Room 141 had thirteen (13) children present, however only twelve (12) children were signed in. Additionally, the time of departure for one child was documented for the next day.

**1285 - Earthquake/Tornado/Fire Drills**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

- (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**
- (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on review of the tornado, fire, earthquake, and lockdown drills on file, it was found that the drills were conducted; however, the start time was not documented.

**Inspection Report**

**Posted Documentation**

**Not In Compliance**

**1310 - Posting Requirements**

**Not In Compliance**

**922 KAR 2:090. Section 8. General.**

**(6) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:**

- (a) The provider's preliminary or regular license;**
- (b) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;**
- (c) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;**
- (d) Information on the Kentucky Consumer Product Safety Program and the program's Web site as specified in KRS 199.897;**
- (e) A description of services provided by the child-care center, including:**
  - 1. Current rates for child care; and**
  - 2. Each service charged separately and in addition to the basic rate for child care;**
- (f) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and**
- (g) Daily planned program.**

**Findings:**

General: Based on observation and interview, the statement of deficiency and plan of correction from the previous inspection were not posted; the staff in charge confirmed this finding.

**Animals**

**In Compliance**

Signature of Provider/Representative

Title

Date