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Inspection Report

Provider Name: St. Elizabeth Ann Seton Preschool	Provider Information	CLR No: L354083
Provider Address: 1740 Summerhill Drive, Lexington, KY, 40515	Provider Type: LICENSED TYPE I	Capacity: 105
Owner(s): Catholic Diocese Of Lexington		Director(s): Graves, Karen Marie

Inspection Type: Renewal Application	Inspection Information	Inspection No: 304500
Date Initiated: 09/23/2020 8:55 AM	Date Concluded: 09/23/2020 11:10 AM	
	No. of Children Present: 45	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	In Compliance
Employee Records	Not In Compliance
385 - Personnel File	Not In Compliance

922 KAR 2:090. Section 9. Records.
(1) A child-care center shall maintain:
(e) A current personnel file for each child-care center staff person to include:
 1. Name, address, date of birth, and date of employment;
 2. Proof of educational qualifications;
 3. Record of annual performance evaluation;
 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and
 5. The results of background checks conducted in accordance with 922 KAR 2:280;

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. A review of staff files during the inspection found one staff person that did not have the date of employment listed in their file.

An administrative staff person reviewed information in the facility database and then verbally identified the date - 7/28/20 as the hire date; however, the date of hire was not maintained in the personnel file.

390 - Educational Requirements	Not In Compliance
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922 KAR 2:090. Section 11. Staff Requirements.
(1) Child-care center staff:
(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:
 1. High school diploma;
 2. GED or qualifying documentation from a comparable educational entity; or
 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on review of documentation, two staff (hire dates: 1/8/20 and 7/28/20) did not have educational documentation on file.

Inspection Report

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on review of documentation and the Training Records Information System (TRIS), one staff file (hire date: 8/28/19) did not contain evidence of the pediatric abusive head trauma training class being completed within the first year of employment.

Programming	In Compliance
Premises	In Compliance
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance
Equipment	In Compliance
Transportation	Not Applicable
Food Service/Food Program	In Compliance
Food Service	In Compliance
Children's Records	Not In Compliance

1135 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:
(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. One child did not have an immunization certificate on file.

Written Documentation	In Compliance
Posted Documentation	In Compliance
Animals	In Compliance
Emergency Regulation	Not In Compliance

1255 - Emergency Regulation

Not In Compliance

922 KAR 2:405E. Emergency Regulation.

Due to the current declared public health emergency caused by the Novel Coronavirus Disease (COVID-19), licensed child care centers must operate under Centers for Disease Control and Prevention and public health guidelines, as mandated by 922 KAR 2:405E, to prevent the spread of COVID-19.

Findings:

General: Based on observation, review of documentation, and a review of the Training Records Information System (TRIS), the following was found:

- Two staff (hire dates: 1/8/18 and 8/28/19) did not have evidence of completing the required ECE 202 training class (Requirements for Reopening Child Care in Kentucky). The staff person with a 1/8/18 hire date was observed working with a group of children in Room #129 during the inspection. The staff person with a 8/28/19 hire date was identified as a current staff person.
- A review of staff files during the inspection found that one staff person had a completed criminal records check (CRC) on file dated 5/13/16 and a completed child abuse/neglect check (CAN) on file dated 1/23/18. An administrative staff person stated that the staff person "retired for a couple of years" and then returned to work at the facility on 7/28/20. This staff person is a re-hire; therefore, new background checks are required. The surveyor observed this staff person working alone with a group of children in Room #140 during the inspection.

Signature of Provider/Representative

Title

Date