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Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Dayton Independent Youth Center	Provider Information	CLR No: L354001
Provider Address: 999 Vine Street, Dayton, KY, 41074	Provider Type: LICENSED TYPE I	Capacity: 20
Owner(s): Dayton Independent School District Finance Corporation		Director(s): Cook-Kiefer, Heather

Inspection Type: Renewal Application	Inspection Information	Inspection No: 290414
Date Initiated: 08/30/2019 10:00 AM	Date Concluded: 08/30/2019 12:00 PM	
	No. of Children Present: 11	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
922 KAR 2:280. Section 3. Implementation and Enforcement. (1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018. (2) A child care staff member hired on or after April 1, 2018, shall: (a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or (b) 1. Have submitted to the background checks required in accordance with this administrative regulation; 2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and 3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result. Findings: General: Based on review of documentation, a staff member hired 8/1/1990 did not have evidence of submitting fingerprints or background check through National Background check Portal. The staff was not present at the facility during the survey. A staff member hired 8/19/1996 verified that the staff in question is a current substitute. Review of KARES portal supports this finding.	
10 - Submit background check	Not In Compliance
922 KAR 2:280 - Section 4. Procedures and Payments. (1) To initiate the process for obtaining background checks on a prospective child care staff member, the child care provider shall: (a) Request that the prospective child care staff member provide a copy of his or her driver's license or other government-issued photo identification and verify that the photograph clearly matches the prospective child care staff member; (b) Request that the prospective child care staff member complete and sign the: 1. DCC-500, Applicant Child Care Staff Member Waiver Agreement and Statement; and 2. DCC-501, Disclosures to Be Provided to and Signed by the Applicant Child Care Staff Member; and (c) Log on to the NBCP portal and enter the prospective child care staff member's demographic information for a check of the: 1. Child abuse and neglect central registry pursuant to 922 KAR 1:470; 2. National Crime Information Center's National Sex Offender Registry in accordance with 34 U.S.C. 20921; and 3. Sex Offender Registry established in accordance with KRS 17.500 through 17.580. Findings: General: Based on review of documentation, a staff member hired 8/1/1990 did not have evidence of submitting fingerprints or background check through National Background check Portal. There was no evidence that the staff member had signed the DCC-500 or the DCC-501. Review of KARES portal supports this finding.	

Inspection Report

15 - Submit fingerprints

Not In Compliance

922 KAR 2:280. Section 4. Procedures and Payments.

(4)(a) Upon submission of payment in accordance with subsections (2) and (3) of this section, the child care provider shall print a copy of the DCC-504, Applicant Child Care Staff Member Live Scan Fingerprinting Form, from the NBCP portal and provide the form to the child care staff member.

(b) The child care staff member shall:

1. Have no more than ninety (90) calendar days from the date of payment pursuant to subsections (2) and (3) of this section to submit the child care staff member's fingerprints at an authorized collection site for NBCP; and
2. Present the DCC-504 and driver's license or other government-issued photo identification to the designated agent at an authorized collection site prior to fingerprint submission.

Findings:

General: Based on review of documentation, a staff member hired 8/1/1990 did not have evidence of submitting fingerprints or background check through National Background check Portal. Review of KARES portal supports this finding.

Supervision

In Compliance

Staffing Requirements

In Compliance

General Administration

Not In Compliance

145 - Fire Marshal

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

(8) To qualify for a preliminary license, or maintain a regular license, a child-care center shall:

(b) Be approved by the Office of the State Fire Marshal or designee;

Findings:

General: Based on review of documentation, the last record of an inspection from a Fire Marshal was 7/31/15. This record is not recent enough to ensure on-going compliance.

Director Requirements

Not In Compliance

340 - Staff Meeting

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(i) Conduct, manage, and document in writing recurring staff meetings;

Findings:

General: Based on interview and review of documentation, there was no written documentation of staff meetings conducted in 2019.

345 - Staff Evaluation

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;

Findings:

General: Based on review of documentation, a staff member hired 8/1/1990 did not have a current evaluation on file. The last evaluation for this staff member was conducted 5/31/18.

350 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(l) Assure the health, safety, and comfort of each child;

Findings:

General: Based on observation and review of documentation,
 1.) A toilet brush was located above the urinal in the boy's restroom.
 2.) There was an uncovered outlet located behind a rocker in the infant room.

370 - Parental/Family Involvement Activity

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(p) Coordinate at least one (1) annual activity involving parental or family participation;

Findings:

General: Based on interview and review of documentation, there was no documentation of any family involvement activities for 2019.

Inspection Report		
Employee Records		Not In Compliance
410 - Training		Not In Compliance
922 KAR 2:090. Section 11. Staff Requirements. (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following: (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment; (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years. Findings: General: Based on review of documentation, 1.) An employee hired 8/27/14 had evidence of only fourteen (14) out of fifteen (15) hours of cabinet-approved early care and education training for the 2018-2019 year of employment. 2.) An employee hired 2/6/17 only had pediatric Head Trauma training that occurred more than five (5) years ago. The training record evidenced on ECE-TRIS was dated 11/6/2013. 3.) An employee hired 4/10/2014 had evidence of only twelve (12) out of fifteen (15) hours of cabinet-approved early care and education training for the 2018-2019 year of employment. 4.) An employee hired 8/19/1996 had evidence of fourteen (14) out of fifteen (15) hours of cabinet-approved early care and education training for the 2018-2019 year of employment. 5.) An employee hired 8/1/1990 had no evidence of completing any cabinet approved training hours for the 2018-2019 year of employment. Additionally, this staff member had a Pediatric Head Trauma training that occurred more than five (5) years ago. Training documented on ECE-TRIS was dated 4/18/2012.		
Programming		Not In Compliance
420 - Program of Activities Followed		Not In Compliance
922 KAR 2:120. Section 2. Child Care Services. (4) The child-care center shall provide a daily planned program: (a) Posted in writing in a conspicuous location with each age group and followed; (b) Of activities that are individualized and developmentally appropriate for each child served; (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and (d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including: 1. Art or music; 2. Math or numbers; 3. Dramatic play; 4. Stories and books; 5. Science or nature; 6. Block building or stacking; 7. Tactile or sensory activity; 8. Multi-cultural exposure; 9. Indoor or outdoor play in which a child makes use of both small and large muscles; 10. A balance of active and quiet play, including group and individual activity; 11. An opportunity for a child to: a. Have some free choice of activities; b. If desired, play apart from the group at times; Findings: General: Based on review of documentation, the daily planned programming reviewed in the infant classroom and in the Two (2) to Five (5) classroom was not dated. Surveyor observed the classroom during gross motor play and was unable to determine whether the lesson plan was being followed.		
Premises		Not In Compliance
560 - Building Requirements		Not In Compliance
922 KAR 2:120. Section 4. Premises Requirements. (5) The building shall be constructed to ensure the: (a) Building is: 1. Dry; 2. Ventilated; and 3. Well lit, including clean light fixtures that are: a. In good repair in all areas; and b. Shielded or have shatter-proof bulbs installed; and (b) Following are protected: 1. Windows; 2. Doors; 3. Stoves; 4. Heaters; 5. Furnaces; 6. Pipes; and 7. Stairs. Findings: General: Based on observation, There was a fluorescent light cover that was cracked in the two (2) to five (5) year old room.		
Hygienic Practices		In Compliance

Inspection Report	
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance
Equipment	Not In Compliance
815 - Toys/Furniture	Not In Compliance
922 KAR 2:120. Section 11. Toys and Furnishings. (1) All toys and furniture contacted by a child shall be: (a) Kept clean and in good repair; and (b) Free of peeling, flaking, or chalking paint.	
Findings: General: Based on observation, 1.) Several hula-hoops located in the indoor gross motor area were bent and not in good repair. 2.) The blue vinyl couch located in the infant room had a tear on the front preventing the equipment from being properly sanitized.	
Transportation	In Compliance
Food Service/Food Program	In Compliance
Food Service	Not In Compliance
1050 - Bottles	Not In Compliance
922 KAR 2:120. Section 8. Kitchen Requirements. (9) Bottles shall be: (a) Individually labeled; (b) Promptly refrigerated; (c) Covered while not in use; and (d) Consumed within one (1) hour of being heated or removed from the refrigerator.	
Findings: General: Based on observation, there was one (1) unlabeled bottle in the infant fridge and three (3) unlabeled breast milk packets located in the infant freezer.	
Children's Records	Not In Compliance
1135 - Immunization	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;	
Findings: General: Based on review of documentation, a child enrolled 1/23/19 did not have a record of current immunizations on file. The immunization record reviewed expired 5/14/19.	
1140 - Enrollment Information	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (b) A written record for each child: 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;	
Findings: General: Based on review of documentation, a child enrolled 3/5/19 did not have authorization by the parent for the provider to seek emergency medical treatment for the child in the parent's absence. The child's file also did not contain a physician's contact number.	

Inspection Report		
Written Documentation		Not In Compliance
1170 - Professional Development		Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (f) A written annual plan for child-care staff professional development;		
Findings: General: Based on review of documentation, a staff member hired 8/27/14 did not have a current professional development plan on file. The plan reviewed was dated 8/9/18.		
1175 - Earthquake/Tornado/Fire Drills		Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3; (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;		
Findings: General: Based on review of documentation, the fire, earthquake, and tornado drills were documented with the same time. These drills require different actions; therefore, drills should be listed as being practiced at separate times.		
Posted Documentation		In Compliance
Animals		In Compliance

Signature of Provider/Representative

Title

Date