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**Inspection Report**

<b>Provider Name:</b> Smith, Elsie Mae	<b>Provider Information</b>	<b>CLR No:</b> C3575
<b>Provider Address:</b> 1990 Sand Springs Road, Mt Vernon, KY, 40456	<b>Provider Type:</b> CERTIFIED	<b>Capacity:</b> 6

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 307856
<b>Date Initiated:</b> 07/08/2021 10:30 AM	<b>Date Concluded:</b> 07/08/2021 11:35 AM	
<b>No. of Children Enrolled:</b> 3	<b>No. of Children Present:</b>	

Inspection Report	
<b>Background Checks</b>	<b>In Compliance</b>
<b>Supervision</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>In Compliance</b>
<b>Provider Requirements</b>	<b>In Compliance</b>
<b>Provider Records</b>	<b>Not In Compliance</b>
<b>240 - Provider CPR/First Aid</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:100 - Section 2. Certification Process.</b>  <b>(4) An applicant shall be currently certified by an agency approved in accordance with 922 KAR 2:240 in infant and child:</b>  <b>(a) Cardiopulmonary resuscitation (CPR); and</b>  <b>(b) First aid.</b></p>	
<b>Findings:</b>	
General: Based on review of documentation, the surveyor found that a staff's (DOH: 11/11/02) First Aid / CPR certification expired on 03/31/21. During interview, the provider stated that she would schedule to complete the training to renew the First Aid / CPR certification.	
<b>260 - Orientation/training plan</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:100 - Section 2. Certification Process.</b>  <b>(9) Within three (3) months of submission to the cabinet of a complete OIG-DRCC-03, an applicant shall:</b>  <b>(a) Demonstrate completion of six (6) hours of cabinet-approved training in accordance with KRS 199.8982(1)(a)6; and</b>  <b>(b) Develop and implement a written plan for obtaining nine (9) hours of annual cabinet-approved training as required in Section 10(1) of this administrative regulation.</b></p>	
<b>Findings:</b>	
General: Based on review of documentation, the surveyor was not presented with a written plan for obtaining nine (9) hours of annual cabinet-approved training for the provider (DOH: 11/11/02). Based on interview, the surveyor learned that the provider did not have a written professional development plan for review.	
<b>Programming</b>	<b>In Compliance</b>

**Inspection Report**

**Premises**

**Not In Compliance**

**345 - Harmful Items Inaccessible**

**Not In Compliance**

**922 KAR 2:100 - Section 12. The General Requirements of the Family Child-Care Home Environment.**

**(3) The areas of the home that are accessible to children in care shall be free from items harmful to children including the following items:**

- (a) Cleaning supplies, poisons, paints, and insecticides;**
- (b) Knives, scissors, and sharp objects;**
- (c) Power tools, lawn mowers, hand tools, nails, and other equipment;**
- (d) Matches, cigarettes, lighters, combustibles, and flammable liquids;**
- (e) Plastic bags; and**
- (f) Litter and rubbish.**

**Findings:**

General: Based on observation, the surveyor found the following:

1. A container of disinfectant wipes placed on the sink in the restroom of the provider's home. The surveyor observed the disinfectant wipes to be within reach and accessible to the children.
2. A container of soap placed on the restroom sink that contained a label stating to "Keep Out of the Reach of Children." The provider stated that she was not aware the soap stated to keep out of the reach of children and she would replace the soap.

**390 - Condition of Home**

**Not In Compliance**

**922 KAR 2:100 - Section 12. The General Requirements of the Family Child-Care Home Environment.**

**(21) A family child-care home shall:**

- (a) Be clean;**
- (b) Be uncluttered;**
- (c) Be free of insects and rodents;**

**Findings:**

General: Based on observation, the surveyor found the following:

1. Built up dust around the baseboards in the living area of the provider's home.
2. The floor in the child care room contained debris; therefore, the floor was not clean.
3. The floor in the kitchen contained debris; therefore, the floor was not clean.
4. The sink contained stains in and around the sink bowl; therefore, the sink was not clean.

**410 - Garbage Stored Appropriately**

**Not In Compliance**

**922 KAR 2:100 - Section 12. The General Requirements of the Family Child-Care Home Environment.**

**(23) Indoor and outdoor garbage shall be stored in a waterproof container with a tight-fitting cover.**

**Findings:**

General: Based on observation of the playground, the surveyor observed soda cans, bottles, and a bag placed on the ground near the swing set.

**425 - Toilet**

**Not In Compliance**

**922 KAR 2:100 - Section 14. Toilet and Diapering Requirements.**

**(3) Each toilet shall:**

- (a) Be kept in clean condition;**
- (b) Be kept in good repair;**
- (c) Be in a lighted room; and**
- (d) Have ventilation.**

**Findings:**

General: Based on observation, the surveyor found that the toilet bowl contained stains; therefore, the toilet was not kept in clean condition.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**In Compliance**

**Outdoor Play Area**

**Not In Compliance**

**525 - Outdoor Play Area Safe**

**Not In Compliance**

**922 KAR 2:100 - Section 12. The General Requirements of the Family Child-Care Home Environment.**

**(13) An outdoor play area shall be free of unavoidable danger or risk.**

**Findings:**

General: Based on observation, the surveyor found the following:

1. Three (3) pieces of plywood leaned against the outside of the playground fence near the entrance.
2. A large rock on the ground near the entrance of the playground. The rock created a tripping hazard for the children.
3. Loose bricks placed on the playground. The bricks created a safety and tripping hazard for the children.

**Equipment**

**In Compliance**

**Transportation**

**In Compliance**

**Inspection Report**

**Food Service/Food Program**

**In Compliance**

**Food Service**

**In Compliance**

**Children's Records**

**Not In Compliance**

**735 - Children's information**

**Not In Compliance**

**922 KAR 2:100 - Section 19. Records.**

**(1) A provider shall maintain:**

**(b) A written record for each child:**

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the family child-care home; and**
- 3. To contain:**
  - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;**
  - b. Contact information to enable the provider to contact the child's:**
    - (i) Parent at the parent's home or place of employment;**
    - (ii) Family physician; and**
    - (iii) Preferred hospital;**
  - c. The name of each person who is designated in writing to pick-up the child;**
  - d. The child's general health status and medical history including, if applicable:**
    - (i) Allergies;**
    - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
    - (iii) Permission from the parent for third-party professional services in the family child-care home;**
  - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;**
  - f. Authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence;**

**Findings:**

General: Based on review of documentation, the surveyor found the following:

1. A child's (DOE: 01/01/21) file did not contain a contact telephone number for the child's preferred hospital.
2. A child's (DOE: 01/01/21) file did not contain a contact telephone number for the child's preferred hospital.
3. A child's (DOE: 01/01/21) file did not contain a contact telephone number for the child's preferred hospital.

**Written Documentation**

**Not In Compliance**

**780 - Documentation of Earthquake Drills and Tornado Drills**

**Not In Compliance**

**922 KAR 2:100 - Section 19. Records.**

**(5) A certified family child-care home provider shall maintain a written record of:**

**(a) Quarterly practiced earthquake drills and tornado drills detailing the date, time, and participants in accordance with Section 12(20) of this administrative regulation;**

**Findings:**

General: Based on review of documentation, the surveyor found no documentation of earthquake and tornado drills documented during the first and second quarters of 2021. During interview, the provider stated that the earthquake and tornado drills were completed; however, she was unable to locate the documentation.

**785 - Fire Drills**

**Not In Compliance**

**922 KAR 2:100 - Section 19. Records.**

**(5) A certified family child-care home provider shall maintain a written record of:**

**(b) Monthly practiced fire drills detailing the date, time, and participants in accordance with Section 12(19) of this administrative regulation;**

**Findings:**

General: Based on review of documentation, the surveyor found no documentation of fire drills documented for the following months in 2021: January, February, March, April, May, and June. During interview, the provider stated that the fire drills were completed; however, she was unable to locate the documentation.

**795 - Evacuation Plan Records**

**Not In Compliance**

**922 KAR 2:100 - Section 19. Records.**

**(7)(a) A certified family child-care home provider shall have a written evacuation plan in the event of fire, natural disaster, or other threatening situation that may pose a health or safety hazard to a child in care in accordance with KRS 199.895.**

**Findings:**

General: Based on review of documentation, the surveyor found that the written evacuation plan was last updated on 09/05/19; therefore, the plan needs to be updated. The provider confirmed through interview that this was the last time the emergency preparedness plan was updated.

**Posted/Available Documentation**

**In Compliance**

**Animals**

**In Compliance**

**Posted Requirements**

**In Compliance**

Signature of Provider/Representative

Title

Date