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**Inspection Report**

<b>Provider Name:</b> Smith, Elsie Mae	<b>Provider Information</b>	<b>CLR No:</b> C3575
<b>Provider Address:</b> 1990 Sand Springs Road, Mt Vernon, KY, 40456	<b>Provider Type:</b> CERTIFIED	<b>Capacity:</b> 6

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 220430
<b>Date Initiated:</b> 07/21/2017 11:00 AM	<b>Date Concluded:</b> 07/21/2017 12:30 PM	
<b>No. of Children Enrolled:</b> 1	<b>No. of Children Present:</b>	

Inspection Report	
<b>Supervision</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>In Compliance</b>
<b>Provider Requirements</b>	<b>In Compliance</b>
<b>Provider Records</b>	<b>Not In Compliance</b>
<b>135 - Provider CPR/First Aid</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:100 - Section 2. Certification Process.</b>                      (4) An applicant shall be currently certified by an agency approved in accordance with 922 KAR 2:240 in infant and child:                      (a) Cardiopulmonary resuscitation (CPR); and                      (b) First aid.</p> <p><b>Findings:</b>                      General: Based on review of documentation, the surveyor found that the provider's (DOH: 11/11/02) First Aid / CPR certification expired on 7/6/17. During interview, the provider stated she had completed the training to renew her First Aid / CPR certification. The provider could not locate documentation to verify she had an updated First Aid / CPR certification during the surveyor's visit.</p>	
<b>155 - Provider Training Requirements</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:100 - Section 10. Standards for the Provider.</b>                      (1)(a) A provider shall complete annually at least nine (9) hours of cabinet-approved early care and education training beginning with the second year of operation, including one and one-half (1½) hours of cabinet-approved pediatric abusive head trauma training in accordance with KRS 199.8982(2):                      a. Within the second year of employment or operation in child care; and                      b. Every subsequent five (5) years of employment or operation in child care.</p> <p><b>Findings:</b>                      General: Based on review of documentation and ECE - TRIS, the surveyor found that the provider (DOH: 11/11/02) obtained none of the required nine (9) hours of cabinet - approved early care and education training.</p>	
<b>Programming</b>	<b>In Compliance</b>

**Inspection Report**

**Premises**

**Not In Compliance**

**285 - Condition of Home**

**Not In Compliance**

**922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.**

**(21) A family child-care home shall:**

- (a) Be clean;**
- (b) Be uncluttered;**
- (c) Be free of insects and rodents;**

**Findings:**

General: Based on observation, the surveyor found that the living room floor needed to be swept. The surveyor observed dirt and dust around the baseboard of the living room.

**295 - Bathroom sanitary**

**Not In Compliance**

**922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.**

**(21) A family child-care home shall:**

- (e) Have bathrooms, including toilets, sinks, and potty chairs that are:**
  - 1. Sanitary; and**
  - 2. In good working condition.**

**Findings:**

General: Based on observation, the surveyor found that the toilet and sink were not sanitary. The toilet was observed to be dirty with grime inside the toilet bowl. The sink needed to be wiped as it stained with dirt and grime. During interview, the provider stated she had not cleaned the bathroom.

**310 - Toilet Room**

**Not In Compliance**

**922 KAR 2:100 - Section 13. Toilet and Diapering Requirements.**

**(1) A toilet room shall:**

- (a) Have an adequate supply of toilet paper; and**
- (b) Be cleaned and sanitized daily.**

**Findings:**

General: Based on observation, the surveyor found that the toilet room needed to be cleaned and sanitized. The toilet and sink were covered with dirt and grime. During interview, the provider reported that the toilet room had not been cleaned.

**320 - Toilet**

**Not In Compliance**

**922 KAR 2:100 - Section 13. Toilet and Diapering Requirements.**

**(3) Each toilet shall:**

- (a) Be kept in clean condition;**
- (b) Be kept in good repair;**
- (c) Be in a lighted room; and**
- (d) Have ventilation.**

**Findings:**

General: Based on observation, the surveyor found that the toilet contained grime inside the toilet bowl. The toilet was not in clean condition. During interview, the provider reported she had not cleaned the toilet.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**In Compliance**

**Outdoor Play Area**

**In Compliance**

**Equipment**

**In Compliance**

**Transportation**

**Not Applicable**

**Food Service**

**In Compliance**

**Children's Records**

**In Compliance**

**Written Documentation**

**Not In Compliance**

**630 - Tornado Drill Requirements**

**Not In Compliance**

**922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.**

**(20) An earthquake drill and a tornado drill shall be conducted during hours of operation:**

- (a) At least quarterly; and**
- (b) Documented.**

**Findings:**

General: Based on review of documentation, the surveyor found that tornado drills were not documented quarterly. The provider had documentation from tornado drills that were conducted on April, May, and June of 2017. A list of the participants could not be provided. During interview, the provider reported the tornado drills were completed. The documentation of the remaining tornado drills could not be located during the surveyor's visit.

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635 - Attendance Records

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

- (c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13 if a child receives services from the provider through the Child Care Assistance Program;

Findings:

General: Based on review of documentation, the surveyor found that the provider is not maintaining daily attendance records. Through interview, the provider reported she has not been documenting the arrival or departure times of each child on the daily attendance record.

640 - Earthquake Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(5) A certified family child-care home provider shall maintain a written record of:

- (a) Quarterly practiced earthquake drills and tornado drills detailing the date, time, and participants in accordance with Section 11(20) of this administrative regulation;

Findings:

General: Based on review of documentation, the surveyor found that a list of children's names who participated in the drills were not documented during the April, May, and June 2017 earthquake drills. The provider reported the remaining earthquake drills had been completed. The provider could not locate the documentation for the remaining earthquake drills.

645 - Fire Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(5) A certified family child-care home provider shall maintain a written record of:

- (b) Monthly practiced fire drills detailing the date, time, and participants in accordance with Section 11(19) of this administrative regulation; and

Findings:

General: Based on review of documentation, the surveyor found that fire drills were documented for April, May, and June of 2017. The documented fire drills did not contain a list of children's names who participated in the drills. The provider reported that other fire drills were completed, however, the provider could not locate the documentation from the fire drills during the surveyor's visit.

Posted Documentation

Not In Compliance

680 - Posted Documentation

Not In Compliance

922 KAR 2:100 - Section 19. Certified Family Child-Care Home Program.

The certified family child-care home provider shall: (7) Post in a prominent area in the home:

- (a) The staff to child ratios described in Section 10 of this administrative regulation;
- (b) The planned program of activities;
- (c) Each statement of deficiency issued by the cabinet during the current certification period;
- (d) Each plan of correction submitted by the certified family child-care home to the cabinet during the current certification period; and
- (e) Daily schedule including any trips outside the family child-care home;

Findings:

General: Based on review of documentation, the surveyor found that the posted plan of correction was dated 7/17/15. During the surveyor's visit, the provider could not locate the plan of correction dated 6/13/16. During interview, the provider stated she had misplaced the most recent plan of correction.

Animals

In Compliance

Posted Requirements

In Compliance

Signature of Provider/Representative

Title

Date