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**Inspection Report**

<b>Provider Name:</b> Hallis School	<b>Provider Information</b> <b>Provider Type:</b> LICENSED TYPE I	<b>CLR No:</b> L352868
<b>Provider Address:</b> 915 Murray Drive, Suites 342 - 354, Lexington, KY, 40505		<b>Capacity:</b> 280
<b>Owner(s):</b> Hallis School, Inc.		<b>Director(s):</b> Ritchie, Tammy; Rayan, Regina

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 320214
<b>Date Initiated:</b> 03/21/2022 9:00 AM	<b>Date Concluded:</b> 03/21/2022 10:40 AM	
	<b>No. of Children Present:</b> 72	

Inspection Report	
Background Checks	Not In Compliance
<b>10 - Submit background check</b>	<b>Not In Compliance</b>
<p><b>922 KAR 2:280 - Section 4. Procedures and Payments.</b></p> <p>(1) To initiate the process for obtaining background checks on a prospective child care staff member, the child care provider shall:</p> <p>(a) Request that the prospective child care staff member provide a copy of his or her driver's license or other government-issued photo identification and verify that the photograph clearly matches the prospective child care staff member;</p> <p>(b) Request that the prospective child care staff member complete and sign the:</p> <ol style="list-style-type: none"> <li>DCC-500, Applicant Child Care Staff Member Waiver Agreement and Statement; and</li> <li>DCC-501, Disclosures to Be Provided to and Signed by the Applicant Child Care Staff Member; and</li> </ol> <p>(c) Log on to the NBCP portal and enter the prospective child care staff member's demographic information for a check of the:</p> <ol style="list-style-type: none"> <li>Child abuse and neglect central registry pursuant to 922 KAR 1:470;</li> <li>National Crime Information Center's National Sex Offender Registry in accordance with 34 U.S.C. 20921; and</li> <li>Sex Offender Registry established in accordance with KRS 17.500 through 17.580.</li> </ol>	
<b>Findings:</b>	
<p>General: Based on review of documentation, this regulatory requirement was not met. A review of staff files during the inspection found that four staff (hire dates: 9/8/20, 10/26/20, 4/19/21, and 3/4/22) had a completed criminal records check (CRC) and a completed child abuse/neglect check (CAN) on file; however, the facility has yet to initiate the process for obtaining a background check for these four staff in the Kentucky National Background Check Service (KARES).</p>	
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>

**Inspection Report**

**General Administration**

**Not In Compliance**

**225 - Licensee Responsibility**

**Not In Compliance**

**922 KAR 2:090. Section 8. General.**

**(1) A licensee shall:**

- (a) Be responsible for the operation of the child-care center pursuant to this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280; and**
- (b) Protect and assure the health, safety, and comfort of each child.**

**Findings:**

General: Based on observation, the following was found:

- 1) There was a large floor heater sitting on top of a storage unit in a One-Year-Old classroom. The heater was on and was very hot to the touch. The heater was not attached in any way to the shelf or wall.
- 2) One electrical outlet was missing a child protective safety cover in a Two-Year-Old classroom.

**Director Requirements**

**Not In Compliance**

**360 - Staff Evaluation**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

- (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;**

**Findings:**

General: Based on review of documentation, one staff (hire date: 12/03/2011) eligible for an annual performance review did not have current documentation of a review on file at the facility. The documentation on file was dated 2019.

**Employee Records**

**Not In Compliance**

**405 - TB Verification**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(1) Child-care center staff:**

- (b) Shall provide, prior to employment and every two (2) years thereafter:**
  - 1. A statement from a health professional that the individual is free of active tuberculosis; or**
  - 2. A copy of negative tuberculin results.**

**Findings:**

General: Based on review of documentation, one staff file (hire date: 3/12/20) contained results of a negative T.B. test that is no longer current. The test was dated 3/9/20, which exceeds the two year time frame.

**435 - Training**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**

- (a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child-care program;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

**(17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.**

**Findings:**

General: Based on review of documentation and the Training Records Information System (TRIS), one staff person (hire date: 4/19/21) did not complete the required Orientation training. This staff person has been employed for more than three months.

**Programming**

**In Compliance**

**Premises**

**Not In Compliance**

**565 - Inaccessible Items**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

**(7) The following shall be inaccessible to a child in care:**

- (a) Toxic cleaning supplies, poisons, and insecticides;**
- (b) Matches, cigarettes, lighters, and flammable liquids; and**
- (c) Personal belongings and medications of staff.**

**Findings:**

General: Based on observation, the following was found:

- 1) There was a bottle of Lysol and Clorox Spray found in an unlocked cabinet in School Age Room #3.
- 2) There was a bottle of Lysol Spray, NI-712 Disinfectant Spray, Clorox wipes, and an unlabeled spray bottle with a yellow substance found in an unlocked closet in the Three-Year-Old classroom.
- 3) A staff purse was also found on the floor of this same unlocked closet. The purse contained a bottle of pain reliever.

All of the above listed items were accessible to the children.

**Inspection Report**

**Hygienic Practices**

**Not In Compliance**

**705 - Staff Hygiene/Handwashing**

**Not In Compliance**

**922 KAR 2:120. Section 3. General Requirements.**

**(5) Staff shall:**

- (a) Maintain personal cleanliness;**
- (b) Conform to hygienic practices while on duty;**
- (c) Except as established in paragraph (d) of this subsection, wash their hands with liquid soap and running water:**

- 1. Upon arrival at the center;**
- 2. After toileting or assisting a child in toileting;**
- 3. Before and after diapering each child;**
- 4. After wiping or blowing a child's or own nose;**
- 5. After handling animals;**
- 6. After caring for a sick child;**
- 7. Before and after feeding a child or eating;**
- 8. Before dispensing medication;**
- 9. After smoking or vaping; and**
- 10. If possible, before administering first aid; and**

**(d) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (c) of this subsection. The staff shall wash the staff's hands as soon as practicable once liquid soap and warm running water are available.**

**Findings:**

General: Based on observation, a staff member did not wash her hands after wiping a child's nose with a tissue.

**First Aid/Medication**

**Not In Compliance**

**775 - Medication**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

**(6) Medication, including refrigerated medication, shall be:**

**(a) Stored in a separate and locked place, out of the reach of a child unless the medication is:**

- 1. A first aid supply and is maintained in accordance with subsection (1) of this section;**
- 2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;**
- 3. An epinephrine auto-injector. A licensed child-care center shall comply with KRS 199.8951 and 311.646, including:**
  - a. An epinephrine auto-injector shall be inaccessible to a child;**
  - b. A child-care center shall have at least one (1) person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;**
  - c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and**
  - d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 13(1)(b) if an epinephrine auto-injector is administered to a child; or**
- 4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;**

**(b) Kept in the original bottle; and**

**(c) Properly labeled.**

**(7) Medication shall not be given to a child if the medication's expiration date has passed.**

**Findings:**

General: Based on observation, there were several tubes of diaper ointment found in an unlocked cabinet below the changing table in the One-Year-Old classroom.

**Outdoor Play Area**

**Not In Compliance**

**790 - Playground Clean**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(20) An outdoor play area shall be:**

**(c) Free from:**

- 1. Litter;**
- 2. Glass;**
- 3. Rubbish; and**
- 4. Flammable materials;**

**Findings:**

General: Based on observation, two small bottle caps and a mask were found on the playground and were accessible to the children in care. A Toddler classroom was on the playground at the time of the inspection.

**Equipment**

**Not In Compliance**

**860 - Toys/Furniture**

**Not In Compliance**

**922 KAR 2:120. Section 13. Toys and Furnishings.**

**(1) All toys and furniture contacted by a child shall be:**

- (a) Kept clean and in good repair; and**
- (b) Free of peeling, flaking, or chalking paint.**

**Findings:**

General: Based on observation, there were numerous black marker marks on a round, red table in a Two-Year-Old classroom.

**Inspection Report**

**Transportation**

**Not In Compliance**

**925 - Vehicle Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 14. Transportation.**

- (4) A vehicle used to transport children shall be equipped with:**
  - (a) A fire extinguisher;**
  - (b) First aid supplies as established in Section 7 of this administrative regulation;**
  - (c) Emergency reflective triangles; and**
  - (d) A device to cut the restraint system, if necessary.**

**Findings:**

General: Based on observation, it was found that two vans that transport children did not have a first aid kit available.

**Kitchen Requirements**

**Not In Compliance**

**1040 - Refrigerator**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

- (4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall:**
  - (a) Have an indicating thermometer or other appropriate temperature measuring device;**
  - (b) Be in a safe environment for preservation; and**
  - (c) Be forty (40) degrees Fahrenheit or below.**

**Findings:**

General: Based on observation, the temperature could not be determined inside the One-Year-Old classroom refrigerator, as there was no thermometer available.

**1065 - Bottles**

**Not In Compliance**

**922 KAR 2:120. Section 8. Kitchen Requirements.**

- (9) Bottles shall be:**
  - (a) Individually labeled;**
  - (b) Promptly refrigerated;**
  - (c) Covered while not in use; and**
  - (d) Consumed within one (1) hour of being heated or removed from the refrigerator.**

**Findings:**

General: Based on observation, there was one bottle found in the One-Year-Old classroom without a label.

**Food Service**

**In Compliance**

**Meal Planning/Center Provides Meals**

**In Compliance**

**Meal Planning/Center Does Not Provide Meals**

**In Compliance**

**Children's Records**

**Not In Compliance**

**1250 - Enrollment Information**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

- (1) A child-care center shall maintain:**
  - (b) A written record for each child:**
    - 1. Completed and signed by the child's parent;**
    - 2. Retained on file on the first day the child attends the child-care center; and**
    - 3. To contain:**
      - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
      - b. Contact information to enable a person in charge to contact the child's:**
        - (i) Parent at the parent's home or place of employment;**
        - (ii) Family physician; and**
        - (iii) Preferred hospital;**
      - c. The name of each person who is designated in writing to pick-up the child;**
      - d. The child's general health status and medical history including, if applicable:**
        - (i) Allergies;**
        - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
        - (iii) Permission from the parent for third-party professional services in the child-care center;**
      - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
      - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

**Findings:**

General: Based on review of documentation, it was found that a child enrolled on 10/18/2021, did not have a preferred hospital listed in their file.

**Written Documentation**

**In Compliance**

**Posted Documentation**

**In Compliance**

**Animals**

**In Compliance**

Signature of Provider/Representative

Title

Date