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INSPECTOR GENERAL

**Inspection Report**

<b>Provider Name:</b> Hallis School	<b>Provider Information</b> <b>Provider Type:</b> LICENSED TYPE I	<b>CLR No:</b> L352868
<b>Provider Address:</b> 915 Murray Drive, Suites 342 - 354, Lexington, KY, 40505		<b>Capacity:</b> 280
<b>Owner(s):</b> Hallis School, Inc.		<b>Director(s):</b> Ritchie, Tammy; Rayan, Regina

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 278460
<b>Date Initiated:</b> 03/15/2019 9:25 AM	<b>Date Concluded:</b> 03/15/2019 11:30 AM	
	<b>No. of Children Present:</b> 113	

<b>Inspection Report</b>		
<b>Background Checks</b>		<b>In Compliance</b>
<b>Supervision</b>		<b>In Compliance</b>
<b>Staffing Requirements</b>		<b>In Compliance</b>
<b>General Administration</b>		<b>In Compliance</b>

**Inspection Report**

**Director Requirements**

**Not In Compliance**

**350 - Health, Safety, Comfort**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

**(l) Assure the health, safety, and comfort of each child;**

**Findings:**

General: Based on observation, this regulatory requirement was not met. The following was found:

1. During a tour of the three (3) year old classroom, the surveyor observed a lamp positioned on a low corner shelf that was turned on and plugged into a wall outlet. The cord was not securely attached to the wall and it was accessible to the children.
2. During a tour of the middle one (1) year old classroom, the surveyor observed a large commercial size roll of toilet paper positioned on top of the toilet tank in the children's bathroom area. The staff person working in the classroom identified two (2) children present in the room that are currently potty-training. The large roll of toilet paper was the only toilet paper accessible to the children because the toilet paper holder on the wall was found to be empty. Storing toilet paper in this manner is not sanitary and allows for the spread of communicable illnesses.
3. At 9:25AM the surveyor entered the Pre K Classroom (four (4) - five (5) year olds) and observed a staff person (hire date: 6/27/00) braiding a child's hair. The surveyor observed the staff person jerk her left elbow up in the air while braiding the child's hair and yell at a child standing next to her, "Get off my arm". The staff person was not aware that the surveyor had entered the classroom at the time.
4. Two (2) staff were observed changing ceiling tiles in the School Age dining area. It should be noted that this space is an open area that also includes the School Age # 1 classroom which was being used by some of the children from the 4's # 1 room. These same staff were also observed changing ceiling tiles in the hallway in front of the bathrooms located across from the preschool dining area. The staff placed a tall ladder in the hallway while children were using the bathroom. This ladder was accessible to the children.
5. In School Age Room #3, two (2) plastic containers filled with blankets were observed on a shelf in the book area. An interview with staff found that the children use the blankets to cover themselves while in the book area. The staff person also stated that the blankets are washed once a week. Because the blankets are not designated for individual children and are stored in a manner where they are touching, this allows for the cross contamination of germs.
6. In the entry way of the facility located beside the office which also enters into School Age Room #2, there were two (2) wheelbarrows and adult sized gardening tools. Interviews with staff during the exit conference found that these items had been used the day prior to the survey to spread mulch on the playground and were placed inside the facility to keep them from being stolen.
7. In School Age Room #2, a black portable heater was also observed in the book area. Interviews with staff during the exit conference found that the heater had been used once this year when it got very cold. It was stated that there were no children in the area when the heater was in use. However, the heater has remained in the area which is part of the children's play space making the heater accessible to the children.

**Employee Records**

**Not In Compliance**

**395 - TB Verification**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(1) Child-care center staff:**

**(b) Shall provide, prior to employment and every two (2) years thereafter:**

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

**Findings:**

General: Based on review of documentation, it was determined this regulatory requirement was not met. Four (4) staff files presented for review did not contain current TB verification:

1. A staff member with a hire date of 10/19/1998, had a TB verification form which was dated 10/09/2016.
2. A staff member with a hire date of 03/20/2017, had a TB verification form on file which was dated 10/05/2016.
3. A staff member with a hire date of 06/06/2011, had a TB verification form on file which was dated 10/09/2016.
4. A staff member with a hire date of 06/13/2016, had a TB verification form on file which was dated 05/31/2016.

**410 - Training**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

**Findings:**

General: Based on review of documentation, it was determined this regulatory requirement was not met. A staff member with a hire date of 08/29/2016, did not have proof of completing Pediatric Abuse and Head Trauma training within the past five (5) years. The last recorded date listed for this training was 12/15/2013. A review of ECE-TRIS supports this finding.

**Inspection Report**

**Programming**

**Not In Compliance**

**425 - Practice Appropriate Self-Help Procedures**

**Not In Compliance**

**922 KAR 2:120. Section 2. Child Care Services.**

**(4) The child-care center shall provide a daily planned program:**

**(d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including the following:**

**11. An opportunity for a child to:**

**c. Practice developmentally appropriate self-help procedures in respect to:**

- (i) Clothing;**
- (ii) Toileting;**
- (iii) Hand-washing; and**
- (iv) Eating;**

**Findings:**

General: Based on observation and interview, this regulatory requirement was not met. During a tour of the middle one (1) year old classroom, the surveyor observed a large commercial size roll of toilet paper positioned on top of the toilet tank in the children's bathroom area. The staff person working in the classroom identified two (2) children present in the room that are currently potty-training. The large roll of toilet paper was the only toilet paper accessible to the children because the toilet paper holder on the wall was found to be empty. The size and positioning of the toilet paper does not allow children the opportunity to practice developmentally appropriate self-help procedures in regards to toileting.

**Premises**

**Not In Compliance**

**540 - Premises Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(1) The premises shall be:**

- (a) Suitable for the purpose intended;**
- (b) Kept clean and in good repair;**

**Findings:**

General: Based on observation in the School Age room #1 also noted as the 4's #4 room, there was a navy blue door in the dramatic play area that had an area at the bottom of the door that was damaged and the paint had peeled away from the surface.

**580 - Floors, Walls, Ceilings**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

**Findings:**

General: Based on observation and interview, this regulatory requirement was not met. The following was found:

- 1.) During a tour of the facility, the surveyor observed two (2) missing ceiling tiles in the preschool eating area. The staff person in charge stated that they are in process of replacing ceiling tiles and light fixtures throughout the facility.
- 2.) In the 4's #1 room, the wall by the door to the hallway had numerous scuffs on the wall and baseboard.
- 3.) In the School Age #1 room also noted as the 4's #4 room, there was an accumulation of dirt and grime along the baseboards.
- 4.) In the eating area connected to the School Age #1 room also noted as the 4's #4 room, there was a wall vent over the brown cubbies that had an accumulation of dust. In this same area there was a portion of the wall that had numerous nail holes.
- 5.) In the back preschool dining area, there were numerous areas of chipping paint on the back blue wall.
- 6.) In the School Age room #3, the wall where the television is located had several areas of chipping and peeling paint. Several pieces of masking tape were also observed on the wall where a bulletin board is located. The blue wall in the book area had areas of chipping and peeling paint. The orange wall in the block area also had several areas of chipping and peeling paint. A blue wall with hooks also had areas of chipping and peeling paint. Three (3) dusty ceiling vents were also observed in this classroom.
- 7.) In School Age room #4, the wall where dress up clothes were observed hanging and the wall where a raised platform is located has areas of chipping peeling paint. Several ceiling vents with an accumulation of dust were also observed in this classroom. A blue wall located in a small hallway in this classroom had three (3) wall vents that were bent inward. Numerous dirt particles were observed on the carpet in the classroom.
- 8.) In School Age room #2, dried orange particles of dirt were found on the floor and on a burgandy colored rug in an area that contained a coffee table and two (2) chairs. Four (4) dusty ceiling vents were observed in this classroom. Dirt particles were observed on the carpets in several areas in this classroom.

**650 - Toilet**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

**(4) Each toilet shall:**

- (a) Be kept in clean condition;**
- (b) Be kept in good repair;**
- (c) Be in a lighted room; and**
- (d) Have ventilation to outside air.**

**Findings:**

General: Based on observation, in the bathrooms located in the hallway across from the preschool eating area it was found that the exhaust fans were not working properly. In the bathrooms located in the School Age room #3 and #4, there was no ventilation to outside air. During the exit conference, staff interviews found that they have never had ventilation to the outside air in these bathrooms.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**In Compliance**

**750 - Playground Conditions**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (20) An outdoor play area shall be:**  
**(d) Safe from foreseeable hazard;**  
**(e) Well drained;**  
**(f) Well maintained;**  
**(g) In good repair; and**  
**(h) Visible to staff at all times.**

**Findings:**

General: Based on observation and interview, this regulatory requirement was not met. The surveyor toured the playground during the visit and found that the exterior walls of the building contained numerous areas of chipped/peeling paint. One (1) large exterior wall was only accessible to the school age playground and the second exterior wall was accessible to all three (3) outdoor play areas. The staff person in charge stated that they are in the process of working with the property manager to get this repainted.

**755 - Protective Surface**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

- (21) A protective surface shall:**  
**(a) Be provided for outdoor play equipment used to:**  
 1. **Climb;**  
 2. **Swing; and**  
 3. **Slide; and**  
**(b) Have a fall zone equal to the height of the equipment.**

**Findings:**

General: Based on observation and interview, this regulatory requirement was not met. A tour of the playground found three (3) metal climbing structures on the school age playground. The surface under these structures consisted of sparse mulch, which is not sufficient. The surveyor observed new/fresh mulch on the other two (2) outdoor playground areas. The staff person in charge stated that they are in the process of replenishing mulch on all three (3) playgrounds.

**Equipment**

**Not In Compliance**

**795 - Individual Bed/Mat/Cot and Bedding**

**Not In Compliance**

**922 KAR 2:120. Section 6. Sleeping and Napping Requirements.**

- (3) Rest time shall include adequate space specified by the child's age as follows:**  
**(b) For a toddler or preschool-age child:**  
 1. **An individual bed, a two (2) inch thick waterproof mat, or cot in good repair; and**  
 2. **Bedding that is in good repair and is changed:**  
 a. **Weekly; or**  
 b. **Immediately if it is soiled or wet.**

**Findings:**

General: Based on observation, this regulatory requirement was not met. The surveyor toured the three (3) year old classroom at approximately 10:00AM and observed a female child asleep on a yellow floor pillow in the quiet area. The child was not provided an individual cot or mat on which to rest.

**815 - Toys/Furniture**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

- (1) All toys and furniture contacted by a child shall be:**  
**(a) Kept clean and in good repair; and**  
**(b) Free of peeling, flaking, or chalking paint.**

**Findings:**

General: Based on observation, the following was found:

1. In the 3's #3 room, a white plastic basket was being used as the basin in the sink in the dramatic play area. Inside the basket there were strands of hair, red marks made by markers and a dried brown substance. The edge of the blue sensory table in this same room had a piece broken from the edge.
2. In the School Age #1 room also noted as the 4's #1 room, there was a plastic child sized vanity in the dramatic play area that had scribbles and drawings made with markers on the lid. In the crevice of the lid where the hinge to open the top is located, particles of dirt were observed. The white lid on the sensory table in this same room had areas of dirt and grime embedded on the surface.
3. A built-in white bench located in front of the bathrooms in the hallway off of the preschool eating area had dirt and grime on the legs of the bench.
4. In the School Age #4 room, there was a white built-in bookshelf located in the book area. There were several areas on the shelf where the paint had chipped and peeled away from the surface.
5. In the School Age #2 room, two (2) wooden rocking chairs with cushions were observed. The surface of the cushions had areas that were stained and soiled. In the same room a teal colored cloth couch was observed in the book area. There were areas on the surface that were stained and discolored with dirt. A built-in shelf located in the back of the room had an accumulation of dust on the top shelf where three (3) books were displayed. A child sized mirror was also observed in this classroom. The surface of the mirror contained numerous fingerprints and smudges.

Inspection Report

820 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings. (2) Indoor and outdoor equipment shall: (a) Be clean, safe, and in good repair; (b) Meet the physical, developmental needs, and interests of children of different age groups; (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and (d) Be designed to guard against entrapment or situations that may cause strangulation.

Findings:

General: Based on observation, this regulatory requirement was not met as follows:

- 1.) The surveyor walked through the concrete walkway that staff and children use to access the playground and observed three (3) wooden yellow boards that appeared to be from a broken piece of equipment located beside the exterior door. One of the boards contained six (6) sharp nails protruding out.
2.) Van number three (3) had a headlight that was not in proper working order. This headlight was on the driver's side. This van was also dirty with various food/candy wrappers, as well as some outside debris. Also, an arm rest in the second row of the van was torn, which left the foam exposed.
3.) Van number 7 (seven) was dirty with food/candy wrappers, crumbs, and outside debris.

Transportation

Not In Compliance

880 - Vehicle Equipment

Not In Compliance

922 KAR 2:120. Section 12. Transportation. (4) A vehicle used to transport children shall be equipped with: (a) A fire extinguisher; (b) First aid supplies as established in Section 7 of this administrative regulation; (c) Emergency reflective triangles; and (d) A device to cut the restraint system, if necessary.

Findings:

General: Based on observation, it was found that the van labeled as number 4 (four) did not have a first aid kit on board.

Food Service/Food Program

In Compliance

Food Service

Not In Compliance

1025 - Refrigerator

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements. (4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall: (a) Have an indicating thermometer or other appropriate temperature measuring device; (b) Be in a safe environment for preservation; and (c) Be forty (40) degrees Fahrenheit or below.

Findings:

General: Based on observation, this regulatory requirement was not met. During a tour of the facility it was found that the refrigerators in two (2) classrooms (Infant #2 and Infant #3) used for the storage of the bottles did not have an indicating thermometer.

Children's Records

In Compliance

Written Documentation

Not In Compliance

1160 - Daily Attendance Records

Not In Compliance

922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;

Findings:

General: Based on review of documentation and observation, this regulatory requirement was not met. The surveyor toured the facility upon arrival and found that arrival times had not been documented in two (2) classrooms. The surveyor observed six (6) children present in the front one (1) year old classroom; however, only two (2) children had an arrival time documented. The surveyor observed four (4) children present in Infant #1 room; however, only two (2) children had an arrival time documented.

Posted Documentation

In Compliance

Animals

In Compliance

Signature of Provider/Representative

Title

Date