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**Inspection Report**

<b>Provider Name:</b> Hallis School	<b>Provider Information</b>	<b>CLR No:</b> L352868
<b>Provider Address:</b> 915 Murray Drive, Suites 342 - 354, Lexington, KY, 40505	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 280
<b>Owner(s):</b> Hallis School, Inc.		<b>Director(s):</b> Ritchie, Tammy; Rayan, Regina

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 243555
<b>Date Initiated:</b> 03/05/2018 9:25 AM	<b>Date Concluded:</b> 03/05/2018 12:10 PM	
	<b>No. of Children Present:</b> 92	

Inspection Report	
<b>Background Checks</b>	<b>In Compliance</b>
<b>Supervision</b>	<b>In Compliance</b>
<b>Staffing Requirements</b>	<b>In Compliance</b>
<b>General Administration</b>	<b>In Compliance</b>
<b>Director Requirements</b>	<b>In Compliance</b>
<b>Employee Records</b>	<b>Not In Compliance</b>

**385 - Personnel File** **Not In Compliance**

**922 KAR 2:090. Section 9. Records.**  
**(1) A child-care center shall maintain:**  
**(e) A current personnel file for each child-care center staff person to include:**  
**1. Name, address, date of birth, and date of employment;**  
**2. Proof of educational qualifications;**  
**3. Record of annual performance evaluation;**  
**4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**  
**5. The results of background checks conducted in accordance with 922 KAR 2:280;**

**Findings:**

General: Based on Review of Documentation, twenty (20) out of thirty two (32) staff eligible for an annual evaluation did not have evidence of an evaluation on file in the facility.

**410 - Training** **Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**  
**(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**  
**(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**  
**(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**  
**(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

**Findings:**

General: Based on Review of Documentation, staff person hired 05/01/2017 did not have evidence of completing the required orientation training in the first three (3) months of employment. A review of ECE-TRIS records supports the information found during the survey.

**Inspection Report**

**Programming**

**Not In Compliance**

**495 - Bedding/Toys in Crib**

**Not In Compliance**

**922 KAR 2:120. Section 6. Sleeping and Napping Requirements.**

**(3) Rest time shall include adequate space specified by the child's age as follows:**

**(a) For an infant:**

**3. No loose bedding, such as a bumper or a blanket; and**

**4. No toys or other items except the infant's pacifier;**

**Findings:**

General: Based on Observation, the Infant 2 Room contained two (2) infants asleep in their cribs and both infants had bibs on their necks while sleeping. The infants were both eight (8) months-old.

**Premises**

**Not In Compliance**

**540 - Premises Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(1) The premises shall be:**

**(a) Suitable for the purpose intended;**

**(b) Kept clean and in good repair;**

**Findings:**

General: Based on Observation, a stained ceiling light tile was observed in the girls' bathroom in the front preschool dining area. The ceiling light tile contained a rusty red substance inside the area.

**580 - Floors, Walls, Ceilings**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.**

**Findings:**

General: Based on Observation, the bathroom in the two (2) year-old #1 room contained what appeared to be glue or a yellow substance on the wall behind the toilet.

**650 - Toilet**

**Not In Compliance**

**922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.**

**(4) Each toilet shall:**

**(a) Be kept in clean condition;**

**(b) Be kept in good repair;**

**(c) Be in a lighted room; and**

**(d) Have ventilation to outside air.**

**Findings:**

General: Based on Observation, in the girls' bathroom with the pink framed mirror, there was a black substance on the edge of the toilet seat that had run down the outside of the toilet bowl.

**Hygienic Practices**

**In Compliance**

**First Aid/Medication**

**Not In Compliance**

**730 - Medication**

**Not In Compliance**

**922 KAR 2:120. Section 7. First Aid and Medicine.**

**(6) Medication, including refrigerated medication, shall be:**

**(a) Stored in a separate and locked place, out of the reach of a child unless the medication is:**

**1. A first aid supply and is maintained in accordance with subsection (1) of this section;**

**2. Diaper cream, sunscreen, or toothpaste. Diaper cream, sunscreen, or toothpaste shall be inaccessible to a child;**

**3. An epinephrine auto-injector. In accordance with KRS 199.8951:**

**a. An epinephrine auto-injector shall be inaccessible to a child;**

**b. A child-care center shall have at least one person onsite who has received training on the administration of an epinephrine auto-injector if the child-care center maintains an epinephrine auto-injector;**

**c. A child-care center shall seek emergency medical care for a child if an auto-injector is administered to the child; and**

**d. A child-care center shall report to the child's parent and the cabinet in accordance with 922 KAR 2:090, Section 12 if an epinephrine auto-injector is administered to a child; or**

**4. An emergency or rescue medication for a child in care, such as medication to respond to diabetic or asthmatic condition, as prescribed by the child's physician. Emergency or rescue medication shall be inaccessible to a child in care;**

**(b) Kept in the original bottle; and**

**(c) Properly labeled.**

**(7) Medication shall not be given to a child if the medication's expiration date has passed.**

**Findings:**

General: Based on Observation, medication was found in an unlocked office, in an unlocked box. There were medications on top of the box as well. There were at least two medications that were not labeled with anyone's name. There were not any medications sheets to go along with the medications in the box.

**Inspection Report**

**Outdoor Play Area**

**Not In Compliance**

**760 - Fences**

**Not In Compliance**

**922 KAR 2:120. Section 4. Premises Requirements.**

**(23) Fences shall be:**

- (a) Constructed of safe material;**
- (b) Stable; and**
- (c) In good condition.**

**Findings:**

General: Based on Observation, the end cap of the corner post of the fence that encloses the playground used by the toddlers was missing. The chain link on the gate to the same playground was not secured to the top rail of the gate and had sharp loose pieces of the fence that were accessible to the children. In addition a portion of the fence beside the gate had areas where the chain link was not secured to the top rail of the fence.

**Equipment**

**Not In Compliance**

**795 - Individual Bed/Mat/Cot and Bedding**

**Not In Compliance**

**922 KAR 2:120. Section 6. Sleeping and Napping Requirements.**

**(3) Rest time shall include adequate space specified by the child's age as follows:**

- (b) For a toddler or preschool-age child:**
  - 1. An individual bed, a two (2) inch thick waterproof mat, or cot in good repair; and**
  - 2. Bedding that is in good repair and is changed:**
    - a. Weekly; or**
    - b. Immediately if it is soiled or wet.**

**Findings:**

General: Based on Observation, there were several purple nap mats observed in the Three-Year-Old room # 3 and the Four-Year-Old room # 1 that had worn corners that exposed the foam. This does not allow for the mats to be properly sanitized.

**815 - Toys/Furniture**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

**(1) All toys and furniture contacted by a child shall be:**

- (a) Kept clean and in good repair; and**
- (b) Free of peeling, flaking, or chalking paint.**

**Findings:**

General: Based on Observation, in School Age # 2 there was a white table that had numerous areas of white paint that had peeled away from the surface. In the same room there were two (2) puzzles that contained the face of a child. Each of those puzzles was missing a piece. In the Three-Year-Old room # 3 there was a black crate sitting on a shelf that had numerous books that were torn and missing pages.

**820 - Indoor/Outdoor Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 11. Toys and Furnishings.**

**(2) Indoor and outdoor equipment shall:**

- (a) Be clean, safe, and in good repair;**
- (b) Meet the physical, developmental needs, and interests of children of different age groups;**
- (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**
- (d) Be designed to guard against entrapment or situations that may cause strangulation.**

**Findings:**

General: Based on Observation, a refrigerator located in School Age room # 4 had an accumulation of drit and grime on the bottom shelf.

General: Based on Observation, the Toddler 3 bathroom and the Toddler 1 bathroom contained dusty vents in the ceiling. General: Based on Observation, there was an accumulation of dirt particles and debris on the floor of van # 3 (2006 Ford).

**Transportation**

**Not In Compliance**

**880 - Vehicle Equipment**

**Not In Compliance**

**922 KAR 2:120. Section 12. Transportation.**

**(4) A vehicle used to transport children shall be equipped with:**

- (a) A fire extinguisher;**
- (b) First aid supplies as described in Section 7 of this administrative regulation;**
- (c) Emergency reflective triangles; and**
- (d) A device to cut the restraint system, if necessary.**

**Findings:**

General: Based on Observation, the flashlight on van # 2 (2003 Chevrolet) was not working properly.

Inspection Report

890 - Vehicle Inspection Documentation

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

(6) A vehicle used to transport children shall meet the following requirements:

(a) For a twelve (12) or more passenger vehicle, the child-care center shall maintain a current certification of inspection from the Transportation Cabinet.

Findings:

General: Based on Review of Documentation, van #1 (2014 Ford), #2 (2003 Chevy), #3 (2006 Ford), #4 (2017 Chevy), #5 (2015 Chevy), #6 (2013 Ford), #7 (2014 Chevy), and #8 (2015 Chevy) did not have current vehicle inspections from the Transportation Cabinet.

Food Service/Food Program

In Compliance

Food Service

Not In Compliance

1025 - Refrigerator

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

(4) A cold-storage facility used for storage of perishable food in a nonfrozen state shall:

- (a) Have an indicating thermometer or other appropriate temperature measuring device;
(b) Be in a safe environment for preservation; and
(c) Be forty (40) degrees Fahrenheit or below.

Findings:

General: Based on Observation, in Infant 2 Room and Infant 3 Room the small refrigerators used to store infants bottles did not contain thermometers.

Children's Records

Not In Compliance

1135 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on Observation, child with enrollment date of 10/29/2017 did not have a current immunization record on file.

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
2. Retained on file on the first day the child attends the child-care center; and
3. To contain:
a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;
b. Contact information to enable a person in charge to contact the child's:
(i) Parent at the parent's home or place of employment;
(ii) Family physician; and
(iii) Preferred hospital;
c. The name of each person who is designated in writing to pick-up the child;
d. The child's general health status and medical history including, if applicable:
(i) Allergies;
(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
(iii) Permission from the parent for third-party professional services in the child-care center;
e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;
f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on Observation, children with enrollment dates of 10/06/2017 and 10/11/2017 did not have emergency contact information on file.

Written Documentation

Not In Compliance

1170 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on Review of Documentation, it was determined that twenty (20) of (32) staff members did not have evidence of a professional development plan on file in the facility.

Posted Documentation

In Compliance

Animals

In Compliance

Signature of Provider/Representative

Title

Date