



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511

Adam Mather
INSPECTOR GENERAL

Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Carson, Alicia Denise	Provider Information	CLR No: C71087
Provider Address: 2365 Shandon Drive, Lexington, KY, 40505	Provider Type: CERTIFIED	Capacity: 6

Inspection Type: Annual Inspection	Inspection Information	Inspection No: 305102
Date Initiated: 11/24/2020 11:20 AM	Date Concluded: 11/24/2020 12:46 PM	
No. of Children Enrolled: 6	No. of Children Present: 6	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280 - Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after April 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
<p>General: Based on observation and review of documentation, the provider had an unapproved assistant supervising the children alone. When the surveyor arrived at the home, the certified provider's mother answered the door and reported that the provider had gone to an appointment. It was reported that the provider's mother was an assistant in the home; however, through a review of paperwork, it was found that the staff member did not have a staff file and none of the required background checks (Criminal Records Check or Central Registry Check) had been initiated or completed on this staff member upon hire. The provider's mother was alone with the children for at least 10 to 15 minutes until the provider arrived back to the home.</p>	
Supervision	In Compliance
General Administration	In Compliance
Provider Requirements	In Compliance
Provider Records	Not In Compliance
245 - Adult in home/Assistant	Not In Compliance
<p>922 KAR 2:100 - Section 2. Certification Process.</p> <p>(5) An adult living in the home of the applicant, present during the hours of operation, or having unsupervised contact with a child in care, and the applicant's assistant shall:</p> <p>(a) Complete background checks in accordance with 922 KAR 2:280; and</p>	
Findings:	
<p>General: Based on observation upon arrival at the home, the certified provider's mother answered the door and reported that the provider had gone to an appointment. The provider's mother was watching the children alone. It was reported that the provider's mother was an assistant in the home; however, through a review of paperwork, it was found that the staff member did not have a staff file and the required background checks had been initiated or completed on this assistant. The provider's mother was alone with the children for at least 10 to 15 minutes until the provider arrived.</p>	

Inspection Report

250 - Adult in home/Assistant TB

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

- (5) An adult living in the home of the applicant, present during the hours of operation, or having unsupervised contact with a child in care, and the applicant's assistant shall:**
- (b) Submit a copy of negative tuberculin results or a health professional's statement documenting that the adult is free of active tuberculosis.**

Findings:

General: Based on review of documentation, it was found that the assistant in the certified home did not have evidence of a negative TB skin test on file at the facility.

270 - Assistant CPR/FA, if applicable

Not In Compliance

922 KAR 2:100 - Section 10. Standards for the Provider.

- (6) Prior to being left alone with a child, an assistant shall be certified by a cabinet-approved agency in infant and child:**
- (a) CPR; and**
 - (b) First aid.**

Findings:

General: Based on review of documentation, it was found that the assistant was not certified in CPR/First Aid. This staff member was left alone with the children for a period of time while the provider was at an appointment; therefore, there was no one at the certified home with CPR/First Aid certification during that timeframe.

Programming

In Compliance

Premises

Not In Compliance

345 - Harmful Items Inaccessible

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

- (3) The areas of the home that are accessible to children in care shall be free from items harmful to children including the following items:**
- (a) Cleaning supplies, poisons, paints, and insecticides;**
 - (b) Knives, scissors, and sharp objects;**
 - (c) Power tools, lawn mowers, hand tools, nails, and other equipment;**
 - (d) Matches, cigarettes, lighters, combustibles, and flammable liquids;**
 - (e) Plastic bags; and**
 - (f) Litter and rubbish.**

Findings:

General: Based on observation, the following items were found accessible to the children in care:

1. An internet modem with numerous wires attached to it.
2. An iron/metal fire poker as well as other accessories used for a fireplace.

355 - Covered Outlets

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

- (6) Electrical outlets not in use shall be covered.**

Findings:

General: Based on observation, it was found that there were two uncovered electrical outlets in the daycare room.

390 - Condition of Home

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

- (21) A family child-care home shall:**
- (a) Be clean;**
 - (b) Be uncluttered;**
 - (c) Be free of insects and rodents;**

Findings:

General: Based on observation, it was found that the kitchen was unclean and cluttered. There was a dirty pan, bottles, and cups in the sink, as well as a dirty pan and a baby formula can filled with grease on top of the stove.

Hygienic Practices

Not In Compliance

470 - Diapering Space

Not In Compliance

922 KAR 2:100 - Section 13. Toilet and Diapering Requirements.

- (9) If a child is being diapered, the child shall:**
- (b) Be placed on a surface that is:**
- 1. Clean;**
 - 2. Padded;**
 - 3. Free of holes, rips, tears, or other damage;**
 - 4. Nonabsorbent;**
 - 5. Easily cleaned; and**
 - 6. Free of items not used for diaper changing.**

Findings:

General: Based on observation, it was found that the diaper changing mat was dirty and had some stains on the surface.

First Aid/Medication

In Compliance

Inspection Report

Outdoor Play Area

Not In Compliance

525 - Outdoor Play Area Safe

Not In Compliance

**922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.
(13) An outdoor play area shall be free of unavoidable danger or risk.**

Findings:

General: Based on observation, it was found that the porch area off the back of the house leading into the backyard was dirty with various types of debris. This debris included an orange electrical cord, an empty vacuum cleaner box, a cigarette butt, and paper towels. In addition, it was found that there was an uncovered grill in the backyard, which was accessible to the children in care.

Equipment

In Compliance

Transportation

Not Applicable

Food Service/Food Program

Not In Compliance

635 - Milk requirements

Not In Compliance

922 KAR 2:100 - Section 14. Food Requirements.

(1) A provider and an assistant shall:

(c) Serve:

1. Breast milk or iron-fortified formula to a child:

a. Age birth to twelve (12) months; or

b. Beyond twelve (12) months of age as documented by the parent or the child's physician;

2. Pasteurized whole milk to a child age twelve (12) months to twenty-four (24) months; or

3. Pasteurized skim or low fat one (1) percent milk to a child age twenty-four (24) months to school-age.

Findings:

General: Based on observation, it was found that there was only two percent milk in the refrigerator of the certified home. Therefore, the children were not being served the appropriate milk per the regulations.

Food Service

Not In Compliance

695 - Weekly Menu

Not In Compliance

922 KAR 2:100 - Section 14. Food Requirements.

(10) A weekly menu shall be:

(a) Prepared;

(b) Dated;

(c) Available to a parent of a child in care or the cabinet upon request; and

(d) Kept on file for thirty (30) calendar days.

Findings:

General: Based on interview and review of documentation, it was found that there was no menu on file at the facility. The provider did begin working on a menu while the surveyor was at the home.

710 - Bottles

Not In Compliance

922 KAR 2:100 - Section 14. Food Requirements.

(13) Each child's bottle shall be:

(a) Labeled;

(b) Covered; and

(c) Refrigerated.

Findings:

General: Based on observation, it was found that there were four unlabeled and uncovered bottles in the kitchen during the time of inspection.

715 - Refrigerator

Not In Compliance

922 KAR 2:100 - Section 14. Food Requirements.

(14) The refrigerator shall:

(a) Be in working order; and

(b) Maintain a product temperature at or below forty-five (45) degrees Fahrenheit.

Findings:

General: Based on observation and interview, it was found that the refrigerator in the kitchen was dirty with stains. It was reported by the certified provider that the refrigerator is not currently in proper working order and the part needed should be arriving soon. It should be noted that the refrigerator was still able to keep items cold; however, there was not an indicating thermometer in the refrigerator.

Children's Records

Not In Compliance

730 - Immunization

Not In Compliance

922 KAR 2:100 - Section 18. Records.

(1) A provider shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, it was found that two children's files did not contain a current immunization certificate.

735 - Children's information

Not In Compliance

922 KAR 2:100 - Section 18. Records.

- (1) A provider shall maintain:**
- (b) A written record for each child:**
- 1. Completed and signed by the child's parent;**
 - 2. Retained on file on the first day the child attends the family child-care home; and**
 - 3. To contain:**
 - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable the provider to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the family child-care home;**
 - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;**
 - f. Authorization by the parent for the provider to seek emergency medical care for the child in the parent's absence; and**

Findings:

General: Based on review of documentation, it was found that one child's file did not contain physician contact information. In addition, it was found that another child's file did not list a preferred hospital on the enrollment paperwork.

Written Documentation

Not In Compliance

750 - Liability insurance

Not In Compliance

922 KAR 2:100 - Section 2. Certification Process.

- (2) An applicant for certification shall:**
- (b) Obtain commercial liability insurance of at least \$50,000 per occurrence; and**

Findings:

General: Based on observation, it was found that there was no evidence of liability insurance on file at the home during the time of inspection.

765 - Fire Drill Requirements

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

- (18) A fire drill shall be conducted during hours of operation:**
- (a) At least monthly; and**
 - (b) Documented.**

Findings:

General: Based on review of documentation, it was found that there was no evidence of fire drills being completed at the home since the home became certified in February of 2020.

770 - Earthquake Drill and Tornado Drill Requirements

Not In Compliance

922 KAR 2:100 - Section 11. The General Requirements of the Family Child-Care Home Environment.

- (20) An earthquake drill and a tornado drill shall be:**
- (a) conducted during hours of operation at least quarterly; and**
 - (b) Documented.**

Findings:

General: Based on review of documentation, it was found that there was no evidence of quarterly earthquake or tornado drills being completed at the home since certification in February of 2020.

780 - Documentation of Earthquake Drills and Tornado Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

- (5) A certified family child-care home provider shall maintain a written record of:**
- (a) Quarterly practiced earthquake drills and tornado drills detailing the date, time, and participants in accordance with Section 11(20) of this administrative regulation;**

Findings:

General: Based on review of documentation, it was found that there was no evidence of quarterly earthquake or tornado drills being completed at the home since certification in February of 2020.

785 - Fire Drills

Not In Compliance

922 KAR 2:100 - Section 18. Records.

- (5) A certified family child-care home provider shall maintain a written record of:**
- (b) Monthly practiced fire drills detailing the date, time, and participants in accordance with Section 11(19) of this administrative regulation; and**

Findings:

General: Based on observation, it was found that there was no evidence of fire drills being completed at the home since the home became certified in February of 2020.

Inspection Report

Posted/Available Documentation

Not In Compliance

820 - Diapering/Handwashing Procedures

Not In Compliance

922 KAR 2:100 - Section 13. Toilet and Diapering Requirements.

(8) The proper methods of diapering and hand-washing shall be available at each diaper changing area.

Findings:

General: Based on observation, it was found that the diapering procedures were not posted in the home. In addition, it was found that the handwashing steps were not posted in the bathroom where the children wash their hands.

825 - Posted Documentation

Not In Compliance

922 KAR 2:100 - Section 19. Certified Family Child-Care Home Program.

The certified family child-care home provider shall:

(7) Make available to a parent upon request:

- (a) The staff to child ratios described in Section 10 of this administrative regulation;**
- (b) The planned program of activities;**
- (c) Each statement of deficiency issued by the cabinet during the current certification period;**
- (d) Each plan of correction submitted by the certified family child-care home to the cabinet during the current certification period; and**
- (e) Daily schedule including any trips outside the family child-care home;**

Findings:

General: Based on observation, it was found that the lesson plan that was posted at the facility was not current. It was dated for August of 2020.

Animals

In Compliance

Posted Requirements

In Compliance

Emergency Regulation

Not In Compliance

845 - Emergency Regulation

Not In Compliance

922 KAR 2:405E. Emergency Regulation.

Due to the current declared public health emergency caused by the Novel Coronavirus Disease (COVID-19), certified family child care homes must operate under Centers for Disease Control and Prevention and public health guidelines, as mandated by 922 KAR 2:405E, to prevent the spread of COVID-19.

Findings:

General: Based on observation and review of documentation, the following was found:

- 1.) The certified provider arrived at the home approximately 10-15 minutes into the survey. She was not wearing a mask when she arrived. The provider did not wear a mask for the remainder of the inspection.
- 2.) When the surveyor arrived at the home, the certified provider's mother answered the door and reported that the provider had gone to an appointment. The provider's mother was watching the children alone. It was reported that the provider's mother was an assistant in the home; however, through a review of paperwork, it was found that the staff member did not have a staff file and neither of the required background checks had been initiated or completed on this staff member. This staff member was alone with the children for at least 10 to 15 minutes until the provider arrived back to the home.

Signature of Provider/Representative

Title

Date