



**Andy Beshear**  
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES**  
**OFFICE OF INSPECTOR GENERAL**

**Eric Friedlander**  
SECRETARY

**Melissa A. Moore, Director**  
Division of Regulated Child Care  
Eastern Branch  
455 Park Place, Suite 120A  
Lexington, KY 40511

**Adam Mather**  
INSPECTOR GENERAL

Phone: (859) 246-2301 Fax: (859) 246-2307  
<https://chfs.ky.gov/agencies/os/oig>

**Inspection Report**

|   |  |                                   |
|---|--|-----------------------------------|
| <b>Provider Name:</b> Extended School Program at Yates Elementary       | <b>Provider Information</b><br><b>Provider Type:</b> LICENSED TYPE I | <b>CLR No:</b> L383862            |
| <b>Provider Address:</b> 695 East New Circle Road, Lexington, KY, 40505 |  | <b>Capacity:</b> 60               |
| <b>Owner(s):</b> Lexington Fayette Urban County Government              |  | <b>Director(s):</b> Kouns, Harold |

|   |   |                              |
|---|---|------------------------------|
| <b>Inspection Type:</b> Renewal Application | <b>Inspection Information</b>             | <b>Inspection No:</b> 318832 |
| <b>Date Initiated:</b> 10/27/2021 2:30 PM   | <b>Date Concluded:</b> 10/27/2021 4:00 PM |                              |
|   | <b>No. of Children Present:</b> 13        |                              |

| Inspection Report  |                          |
|--|--------------------------|
| <b>Background Checks</b>   | <b>In Compliance</b>     |
| <b>Supervision</b>   | <b>Not In Compliance</b> |
| <b>90 - Children Supervised</b>  | <b>Not In Compliance</b> |
| <p><b>922 KAR 2:120. Section 2. Child Care Services.</b><br/> <b>(3)(a) Each center shall maintain a child-care program that assures each child shall be:</b><br/> <b>1. Provided with adequate supervision at all times by a qualified staff person who ensures the child is:</b><br/> <b>a. Within scope of vision and range of voice; or</b><br/> <b>b. For a school-age child, within scope of vision or range of voice;</b></p>   |                          |
| <b>Findings:</b>   |                          |
| <p>General: Based on observation, at the time of the survey staff were observed sending children by themselves from the cafeteria to go to the bathroom and get a drink. The children must walk down a long hallway, up a set of steps and then turn to the left to go to the water fountain or turn to the right to go to the bathroom. The children are not within scope of vision or range of voice. Once the surveyor spoke to the staff person in charge about the concern the staff person in charge started standing in the doorway of the cafeteria and watched the children walk down the hall and up the steps. However, once the child turned to the left or right they could no longer be seen or heard by the staff person.</p> |                          |
| <b>Staffing Requirements</b>   | <b>In Compliance</b>     |
| <b>General Administration</b>  | <b>In Compliance</b>     |
| <b>Director Requirements</b>   | <b>In Compliance</b>     |
| <b>Employee Records</b>  | <b>Not In Compliance</b> |
| <b>395 - Personnel File</b>  | <b>Not In Compliance</b> |
| <p><b>922 KAR 2:090. Section 9. Records.</b><br/> <b>(1) A child-care center shall maintain:</b><br/> <b>(e) A current personnel file for each child-care center staff person to include:</b><br/> <b>1. Name, address, date of birth, and date of employment;</b><br/> <b>2. Proof of educational qualifications;</b><br/> <b>3. Record of annual performance evaluation;</b><br/> <b>4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and</b><br/> <b>5. The results of background checks conducted in accordance with 922 KAR 2:280;</b></p>   |                          |
| <b>Findings:</b>   |                          |
| <p>General: Based on interview and review of documentation, found that the date of hire for one staff person was not documented. An interview with the staff person found they have been employed at the facility for approximately four weeks.</p>  |                          |

Inspection Report

405 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (1) Child-care center staff:
  - (b) Shall provide, prior to employment and every two (2) years thereafter:
    - 1. A statement from a health professional that the individual is free of active tuberculosis; or
    - 2. A copy of negative tuberculin results.

Findings:

General: Based on interview and review of documentation, the following was found:

- 1.) One staff person who did not have the results of a negative T.B. test or a statement from a health professional indicating the individual is free from the symptoms of T.B. There was not a documented date of hire for this staff person. The staff person indicated they had been employed for approximately four weeks.
- 2.) One staff person hired 11/23/2020 had a TB test on file. However, there was no date documented as to when the T.B. test had been read.

435 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
  - (a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child-care program;
  - (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
  - (c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.
- (17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.

Findings:

General: Based on review of documentation, a staff person hired 10/5/2018 did not have evidence of having completed fifteen hours of cabinet approved annual training from July 1, 2020 to June 30, 2021. This was also verified through the ECE-TRIS system.

|                      |                   |
|----------------------|-------------------|
| Programming          | In Compliance     |
| Premises             | In Compliance     |
| Hygienic Practices   | In Compliance     |
| First Aid/Medication | In Compliance     |
| Outdoor Play Area    | In Compliance     |
| Equipment            | Not In Compliance |

825 - Storage Space/Storage Device

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (30) Storage space shall be provided:
  - (a) In the form of:
    - 1. Shelves; or
    - 2. Other storage device accessible to the children; and
  - (b) In sufficient quantity for each child's personal belongings.

Findings:

General: Based on observation, once the children arrived in the cafeteria all of the children placed their backpacks and other belongings on a designated table. The children's belongings were stacked on top of each other and touching. The children were not provided with individual storage for their personal belongings.

|                      |               |
|----------------------|---------------|
| Transportation       | In Compliance |
| Kitchen Requirements | In Compliance |
| Food Service         | In Compliance |

Inspection Report

Meal Planning/Center Provides Meals

Not In Compliance

1190 - Snack Requirements

Not In Compliance

922 KAR 2:120. Section 10. Meal Planning Requirements for a Center that Provides Meals.

(2) A snack shall include two (2) of the following components:

- (a) Milk;
- (b) Meat or meat alternative;
- (c) Bread or grain; or
- (d) 1. Fruit;
- 2. Vegetable; or
- 3. 100 percent juice.

Findings:

General: Based on observation and interview, at the time of the survey the children were presented with a large purple tote that contained an assortment of individual bags of Cheddar Cheese Baked Combos, Kellogg's Crunchmania Graham snacks, Nature Valley Cinnamon Crisps and saltine crackers. There was one individual juice box containing 100% juice which was chosen by one of the children in addition to one of the other snack items in the container. Some of the children had individual containers of water they brought from home. The remainder of the children were not served an additional component. The staff person in charge confirmed there was only one box of juice available. The staff person in charge indicated the programs main office had been notified the day prior to the survey they were out of juice. Only one child's snack met the requirements for snack on the day of the survey. It should be noted that water does not count as a meal component.

Meal Planning/Center Does Not Provide Meals

In Compliance

Children's Records

Not In Compliance

1245 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, out of ten children's file reviewed there were nine children who did not have evidence of a current immunization certificate. All of the children had a date of enrollment of 8/11/2021, which was the first day of school.

Written Documentation

Not In Compliance

1260 - Evacuation Plan

Not In Compliance

922 KAR 2:090. Section 5. Evacuation Plan.

(1) A licensed child-care center shall have a written evacuation plan in the event of a fire, natural disaster, or other threatening situation that may pose a health or safety hazard for a child in care in accordance with KRS 199.895 and 42 U.S.C. 9858c(c)(2)(U).

Findings:

General: Based on review of documentation, there was no documentation available for review to verify the Evacuation Plan for the current year had been submitted to local emergency management for approval. This document is required to be submitted for approval by December 31 of the year prior.

1305 - Fire Drills

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(12) A fire drill shall be:

- (a) Conducted during hours of operation at least monthly; and
- (b) Documented.

(13) An earthquake drill, shelter-in-place or lockdown drill, and tornado drill shall be:

- (a) Conducted during hours of operation at least quarterly; and
- (b) Documented.

Findings:

General: Based on review of documentation, there was no documentation to verify that a monthly fire drill had been conducted for August 2021. It should be noted that school was in session as of 8/11/2021.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date