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**CABINET FOR HEALTH AND FAMILY SERVICES
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Inspection Report

Provider Name: Children's Treehouse of Knowledge	Provider Information	License No: L356488
Provider Address: 309 Ohio Street, Lexington, KY, 40508	Provider Type: LICENSED TYPE I	Capacity: 67
Owner(s): Children's Treehouse Of Knowledge, Inc.		Director(s): Brazelton, Joyce

Inspection Type: Investigation	Inspection Information	Inspection No: 106085
Visit Start Date: 06/13/2014 10:10 AM	Visit End Date: 06/13/2014 11:30 AM	
	No. of Children Present: 28	

Inspection Report

Supervision

5 - Children Supervised

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

- (3)(a) Each center shall maintain a child-care program that assures each child will be:**
- 1. Provided with adequate supervision at all times by a qualified staff person who:**
 - a. Ensures the child is within scope of vision and range of voice; or**
 - b. For a school-age child, within scope of vision or range of voice;**

Findings:

General: Based on Observation, upon the surveyor's arrival to the facility on 6/13/14, a staff member came to the front door and unlocked it and the surveyor and the staff member walked through the building and then the staff exited the building onto the playground. The surveyor stopped in the preschool room and observed a child in the room alone. The staff member that allowed the surveyor into the building indicated that he was supervising a group of eleven (11) preschool children with another staff outside on the playground. The surveyor observed another individual and child on their way to the back of the preschool room and this individual was asked by the surveyor if the child found in the classroom alone was assigned to her care. The individual denied this and stated that she was dropping off her child. The individual and the surveyor took the child outside to a staff member. The child was identified as being a one-year-old. On 6/13/14 the staff member responsible for the one-year child indicated that this child had began pulling at her ear and acting as if she did not feel well. The staff member then took the one-year-old to the director who said she would supervise the child. This was confirmed in interview by a second staff member on 6/16/14. The staff member responsible for the one (1) year-old class indicated that she then took her group of toddlers outside while the director still had that particular child. The staff member responsible for the one-year-olds said that some parents came and she observed the director talking with them. The staff member supervising the one-year-old class indicated that her class had been on the playground for approximately thirty (30) minutes prior to the surveyor's arrival. Another staff stated her class of children had been on the playground approximately ten (10) to fifteen (15) minutes prior to the surveyor's arrival. It is unknown how long the one-year-old was unsupervised inside the building.

Staffing Requirements

40 - Ratios and Group Size

In Compliance

Inspection Report

922 KAR 2:120. Section 2. Child Care Services.

(2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:

Age of Children Ratio Maximum Group Size*

Infant

1 staff for 5 children 10

Toddler

1 staff for 6 children 12

Preschool-age 2 to 3 years

1 staff for 10 children 20

Preschool-age 3 to 4 years

1 staff for 12 children 24

Preschool-age 4 to 5 years

1 staff for 14 children 28

School-age 5 to 7 years

1 staff for 15 children 30

School-age 7 and older

1 staff for 25 children

(for before and after school) 30

1 staff for 20 children

(full day of care) 30

*Maximum Group Size shall be applicable only to Type I child-care centers.

General Administration

220 - Person Under Investigation by DCBS

In Compliance

922 KAR 2:110. Section 2. General.

(8) If a director, employee, volunteer, or any person with supervisory or disciplinary control over, or having unsupervised contact with a child in care is named as the alleged perpetrator in a child abuse or neglect report accepted by the cabinet in accordance with 922 KAR 1:330, the individual shall be removed from direct contact with a child in care:

(a) For the duration of the family-in-need-of-services assessment or investigation; and

(b) Pending completion of the administrative appeal process for a cabinet substantiation of child abuse or neglect in accordance with 922 KAR 1:320 or 922 KAR 1:480.

Director Requirements

245 - Regulatory Compliance/Staff in Charge

In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(d) Ensure:

1. Compliance with 922 KAR 2:090, 922 KAR 2:120, and this administrative regulation; and

2. The designation of one (1) adult staff person in charge to carry out the director's duties if the director is not present in the child-care center during operating hours;

250 - Staff Management/Policy Development/Supervision

Not Applicable

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(e) Manage the staff in their individual job descriptions;

(f) Develop child-care center plans, policies, and procedures;

(g) Supervise staff conduct to ensure implementation of program policies and procedures;

265 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(l) Provide for the health, safety, and comfort of each child;

Findings:

General: Based on Observation, upon the surveyor's arrival to the facility on 6/13/14, a staff member came to the front door and unlocked it and the surveyor and the staff member walked through the building and then the staff exited the building onto the playground. The surveyor stopped in the preschool room and observed a child in the room alone. The staff member that allowed the surveyor into the building indicated that he was supervising a group of eleven (11) preschool children with another staff outside on the playground. The surveyor observed another individual and child on their way to the back of the preschool room and this individual was asked by the surveyor if the child found in the classroom alone was assigned to her care. The individual denied this and stated that she was dropping off her child. The individual and the surveyor took the child outside to a staff member. The child was identified as being a one-year-old. On 6/13/14 the staff member who was initially caring for the one-year child indicated that this child had began pulling at her ear and acting as if she did not feel well. The staff member then took the one-year-old to the director who said she would supervise the child. This was confirmed in interview by a second staff member on 6/16/14. The staff member responsible for the one (1) year-old class indicated that she then took her group of toddlers outside while the director still had that particular child in her care. The staff member responsible for the one-year-olds said that some parents came and she observed the director talking with them. The staff member supervising the one-year-old class indicated that her class had been on the playground for approximately thirty (30) minutes prior to the surveyor's arrival. Another staff stated her class of children had been on the playground approximately ten (10) to fifteen (15) minutes prior to the surveyor's arrival. At the time the surveyor arrived the director, who was responsible for the supervision of the one-year-old child was outside on the playground. It is unknown how long the one-year-old was unsupervised inside the building.

Inspection Report

Employee Records

315 - Educational Requirements

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

1. High school diploma;
2. GED or qualifying documentation from a comparable educational entity; or
3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on Review of Documentation, one (1) staff member hired in 5/2014 did not have proof of education on file.

Signature of
Provider/Representative

Title

Date