



Andy Beshear
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511
Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Information		
Provider Name: Little Lexington Academy, LLC	Provider Type: LICENSED TYPE I	CLR No: L383626
Provider Address: 4383 Old Harrodsburg Rd., Ste 145, Lexington, KY, 40513		Capacity: 40(Bldg 1: 49)
Owner(s): Little Lexington Academy LLC		Director(s): Robinson, Sarah A; Cobb, Brianna Michelle

Inspection Information		Inspection No: 244614
Inspection Type: Renewal Application	Date Concluded: 05/25/2018 10:20 AM	
Date Initiated: 05/25/2018 8:35 AM	No. of Children Present: 18	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	In Compliance
Employee Records	Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;**

Findings:

General: Based on Review of Documentation and interview, this regulatory requirement was not met. A review of staff files during the investigation found one (1) staff person that did not have the date of employment listed in their file. The staff person in charge reviewed internal documentation and then identified 5/14/18 as the hire date.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on Review of Documentation, this regulatory requirement was not met. Two (2) staff (hire dates: 4/23/18 and 5/14/18) did not have educational documentation on file.

Inspection Report

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

1. A statement from a health professional that the individual is free of active tuberculosis; or
2. A copy of negative tuberculin results.

Findings:

General: Based on Review of Documentation, this regulatory requirement was not met. Two (2) staff (hire dates: 4/23/18 and 5/14/18) did not have T.B. documentation on file.

400 - CPR/First Aid Coverage

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:

- (a) Infant and child cardiopulmonary resuscitation; and
- (b) Infant and child first aid.

(4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:

- (a) Adult cardiopulmonary resuscitation; and
- (b) First aid.

Findings:

General: Based on Review of Documentation and interview, this regulatory requirement was not met. At the time of the survey it was found that only three (3) staff (all hired on 3/24/17) out of eight (8) were certified in infant/child first aid and only two (2) of these staff were certified in infant/child CPR. One of these staff (co-owner) was identified as a substitute staff person that does not have a regular working schedule. The other two (2) staff (co-directors) were identified as full-time staff; however, one primarily works 7:30AM - 4:30PM and the other primarily works 8:00AM - 6:00PM. The facility hours of operation are 7:00AM - 6:00PM; therefore, no one is present with the children with current CPR and first aid from 7:00AM - 7:30AM and there are often other gaps of time at the beginning of the day and end of the day when no one is present with this training.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on Review of Documentation and the Training Records Information System (TRIS), two (2) staff files (hire dates: 9/19/17 and 12/18/17) did not contain evidence of the six (6) hours of cabinet-approved training being completed within the first three (3) months of employment.

Programming

In Compliance

Premises

Not In Compliance

520 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(7) The following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;
- (b) Matches, cigarettes, lighters, and flammable liquids; and
- (c) Personal belongings and medications of staff.

Findings:

General: Based on Observation, this regulatory requirement was not met. During a tour of the multipurpose classroom the surveyor observed a staff purse sitting on the floor under the counter top.

Inspection Report	
Hygienic Practices	Not In Compliance
655 - Child Personal Care/Handwashing	Not In Compliance
922 KAR 2:120. Section 3. General Requirements. (4) A child shall: (a) Be helped with personal care and cleanliness based upon their developmental skills; and (b) Wash his or her hands with liquid soap and warm running water: 1. a. Upon arrival at the center; or b. Within thirty (30) minutes of arrival for school-age children; 2. Before and after eating or handling food; 3. After toileting or diaper change; 4. After handling animals; 5. After touching an item or an area of the body soiled with body fluids or wastes; and 6. After outdoor or indoor play time; and (c) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (b) of this subsection. The child shall wash the child's hands as soon as practicable once liquid soap and warm running water are available. Findings: General: Based on Observation, this regulatory requirement was not met. The following was found: 1. A child who arrived at the facility at approximately 9:00AM did not have their hands washed with soap and warm running water prior to being allowed to play with the other children. 2. A child who arrived at the facility at 9:08AM did not have their hands washed with soap and warm running water prior to being allowed to play with the other children. 3. At the time of the visit the surveyor observed a group of seven (7) children in the Pre-K Classroom and a group of five (5) children in the transitional classroom playing in the classroom and then go straight to the classroom tables to eat breakfast when it was served without washing their hands.	
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance
Equipment	In Compliance
Transportation	Not Applicable
Food Service/Food Program	In Compliance
Food Service	In Compliance
Children's Records	Not In Compliance
1135 - Immunization	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036; Findings: General: Based on Review of Documentation, this regulatory requirement was not met. Two (2) children (enrollment dates: 5/24/17 and 2/2/18) had immunization certificates that were no longer current as of 5/5/18 and 5/1/18.	
1140 - Enrollment Information	Not In Compliance
922 KAR 2:090. Section 9. Records. (1) A child-care center shall maintain: (b) A written record for each child: 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence; Findings: General: Based on Review of Documentation, this regulatory requirement was not met. One (1) child's file/enrollment documentation did not contain information for the family's preferred hospital.	
Written Documentation	In Compliance

Inspection Report		
	Posted Documentation	Not In Compliance
1200 - Posting Requirements		Not In Compliance
922 KAR 2:090. Section 8. General. (6) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection: (a) The provider's preliminary or regular license; (b) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year; (c) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year; (d) Information on the Kentucky Consumer Product Safety Program and the program's website as specified in KRS 199.897; (e) A description of services provided by the child-care center, including: 1. Current rates for child care; and 2. Each service charged separately and in addition to the basic rate for child care; (f) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and (g) Daily planned program. Findings: General: Based on Review of Documentation, this regulatory requirement was not met. The following was found: 1. One (1) civil penalty notice that was issued to the facility on 3/27/18 was not posted. 2. The statement of deficiencies and plan of correction from a 4/18/18 complaint investigation was not posted.		
1215 - Daily Activities		Not In Compliance
922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom; Findings: General: Based on Review of Documentation, this regulatory requirement was not posted. The transitional classroom and the Pre-K Classroom had a schedule of daily activities posted that was dated April 2018; therefore, it was no longer current.		
	Animals	Not Applicable