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Inspection Report

Provider Name: Creation Kingdom McConnells Trace	Provider Information	CLR No: L383584
Provider Address: 2935 Trailwood Lane, Lexington, KY, 40511	Provider Type: LICENSED TYPE I	Capacity: 186(Bldg 1: 292)
Owner(s): Creation Kingdom McConnells Trace, LLC		Director(s): Kloth, Rebecca

Inspection Type: Investigation	Inspection Information	Inspection No: 243574
Date Initiated: 02/05/2018 9:45 AM	Date Concluded: 02/05/2018 11:31 AM	
	No. of Children Present: 62	

Inspection Report	
Supervision	Not In Compliance
5 - Children Supervised	Not In Compliance
922 KAR 2:120. Section 2. Child Care Services. (3)(a) Each center shall maintain a child-care program that assures each child will be: 1. Provided with adequate supervision at all times by a qualified staff person who: a. Ensures the child is within scope of vision and range of voice; or b. For a school-age child, within scope of vision or range of voice;	
Findings: General: Based on Review of Documentation, observation and interview, this regulatory requirement was not met. On 1/29/18 the facility reported via email that on 1/26/18 a two (2) year old child was left alone in the Yellow Room (two (2) year old classroom) when his group left the room to merge together with the Green Room group next door. It was reported that the child was left alone/unsupervised for approximately eight (8) minutes and was found by a staff person walking down the hallway. An interview with staff at the facility on 2/5/18 revealed that two (2) staff that work in the Red Room, which is positioned across the hall from the Yellow Room, observed the child standing at the Yellow Room door crying; however, were not aware that no one else was in the room with the child. Both staff stated during interview that the lights were off in the classroom; however, this was not concerning to them because the teacher in Yellow Room often turns out the lights during classroom activities. Video surveillance footage of the incident was not available for the surveyor to review; however, management staff at the facility stated that they viewed the video footage on 1/29/18. The director explained what she observed on the video footage by stating, "At 4:29PM the staff person working in the Yellow Room lined her group of children up at the classroom door and she did a head count. The staff person led the group out of the Yellow Room and into the Green Room next door. I saw the Yellow Room door close before the child exited out. He stood there at the door alone for approximately eight (8) minutes crying and did not attempt to open the door". The director further explained by stating, "The staff person messed up because she was not at the back of the line and she must not have done a second head count when her group entered the Green Room or she would have known someone was missing".	

40 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.**(2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:****Age of Children Ratio Maximum Group Size*****Infant****1 staff for 5 children 10****Toddler****1 staff for 6 children 12****Preschool-age 2 to 3 years****1 staff for 10 children 20****Preschool-age 3 to 4 years****1 staff for 12 children 24****Preschool-age 4 to 5 years****1 staff for 14 children 28****School-age 5 to 7 years****1 staff for 15 children 30****School-age 7 and older****1 staff for 25 children****(for before and after school) 30****1 staff for 20 children****(full day of care) 30*****Maximum Group Size shall be applicable only to Type I child-care centers.****Findings:**

General: Based on Review of Documentation and interview, this regulatory requirement was not met. On 1/26/18 at 4:29PM the Yellow Room group (two (2) year olds) merged with the Green Room group (three (3) year olds) a review of facility daily attendance reports during the investigation revealed that seven (7) children were present in the Yellow Room and fifteen (15) children were present in the Green Room. Twenty-two (22) children total were present between these two (2) groups; however, one (1) child was left behind alone/unsupervised in the Yellow Room when the groups merged. Since the youngest child in the group was a two (2) year old, the maximum group size would need to be twenty (20).

Director Requirements

Not In Compliance

250 - Staff Management/Policy Development/Supervision

Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**(1) Effective with the adoption of this administrative regulation, a director shall:****(e) Manage the staff in their individual job descriptions;****(f) Develop child-care center plans, policies, and procedures;****(g) Supervise staff conduct to ensure implementation of program policies and procedures;****Findings:**

General: Based on Review of Documentation and interview, this regulatory requirement was not met. On 1/26/18 a two (2) year old child was left alone in the Yellow Room (two (2) year old classroom) when his group left the room to merge together with the Green Room group next door. The child was left alone/unsupervised for approximately eight (8) minutes and was found by a staff person walking down the hallway. The staff person assigned to the child's group was terminated as a result of the incident. A review of the employee termination notice found the following statement, "On 1/26/18 you came to administration to let them know that you had left a child unattended in the Yellow Room when you left to combine classes with the Green Room. You also filled out an incident report to reflect this. It is Creation Kingdom's policy to always utilize the Creation Kingdom line up procedure when leaving one area and going to another. This included being the caboose if you are a one teacher room and physically touching and counting each child as you are departing and arriving to the destination. You signed that you understood Creation Kingdom's policies when you signed receipt of the staff handbook on May 8, 2017. After review of the in-class cameras it was determined that the child in question was left at the door for approximately eight (8) minutes". Therefore, the staff person assigned to the Yellow Room did not implement established policies and procedures.

265 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**(1) Effective with the adoption of this administrative regulation, a director shall:****(l) Provide for the health, safety, and comfort of each child;****Findings:**

General: Based on Review of Documentation, observation and interview, this regulatory requirement was not met. On 1/29/18 the facility reported via email that on 1/26/18 a two (2) year old child was left alone in the Yellow Room (two (2) year old classroom) when his group left the room to merge together with the Green Room group next door. It was reported that the child was left alone/unsupervised for approximately eight (8) minutes and was found by a staff person walking down the hallway. An interview with staff at the facility on 2/5/18 revealed that two (2) staff that work in the Red Room, which is positioned across the hall from the Yellow Room, observed the child standing at the Yellow Room door crying; however, were not aware that no one else was in the room with the child. Both staff stated during interview that the lights were off in the classroom; however, this was not concerning to them because the teacher in Yellow Room often turns out the lights during classroom activities. Video surveillance footage of the incident was not available for the surveyor to review; however, management staff at the facility stated that they viewed the video footage on 1/29/18. The director explained what she observed on the video footage by stating, "At 4:29PM the staff person working in the Yellow Room lined her group of children up at the classroom door and she did a head count. The staff person led the group out of the Yellow Room and into the Green Room next door. I saw the Yellow Room door close before the child exited out. He stood there at the door alone for approximately eight (8) minutes crying and did not attempt to open the door". The director further explained by stating, "The staff person messed up because she was not at the back of the line and she must not have done a second head count when her group entered the Green Room or she would have known someone was missing".

Inspection Report**Children's Records****Not In Compliance****1070 - Immunization****Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:**

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on Review of Documentation, this regulatory requirement was not met. A review of one (1) child's file (enrollment date: 1/2/18) found that it did not contain an immunization certificate.

1075 - Enrollment Information**Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:****(b) A written record for each child:**

1. Completed and signed by the child's parent;

2. Retained on file on the first day the child attends the child-care center; and

3. To contain:

a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;

b. Contact information to enable a person in charge to contact the child's:

(i) Parent at the parent's home or place of employment;

(ii) Family physician; and

(iii) Preferred hospital;

c. The name of each person who is designated in writing to pick-up the child;

d. The child's general health status and medical history including, if applicable:

(i) Allergies;

(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and

(iii) Permission from the parent for third-party professional services in the child-care center;

e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;

f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on Review of Documentation, this regulatory requirement was not met. One (1) child's file/enrollment documentation did not contain information for the family's preferred hospital and did not have physician contact information. The child's enrolled on 1/2/18.

Signature of Provider/Representative

Title

Date