



Andy Beshear
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: Creation Kingdom McConnells Trace	Provider Information	CLR No: L383584
Provider Address: 2935 Trailwood Lane, Lexington, KY, 40511	Provider Type: LICENSED TYPE I	Capacity: 186(Bldg 1: 292)
Owner(s): Creation Kingdom McConnells Trace, LLC		Director(s): Adams, Danielle Purcell

Inspection Type: Investigation	Inspection Information	Inspection No: 219669
Date Initiated: 03/30/2017 7:45 AM	Date Concluded: 04/03/2017 11:20 AM	
	No. of Children Present: 37	

Inspection Report		
	Supervision	In Compliance
	Staffing Requirements	In Compliance
	General Administration	Not In Compliance
170 - Notification of Changes		Not In Compliance

922 KAR 2:110. Section 6. Reports.

(4) Written notification of the following shall be made to the cabinet to allow for approval before implementation:

- (a) Change of ownership;**
- (b) Change of location;**
- (c) Increase in capacity;**
- (d) Change in hours of operation;**
- (e) Change of services in the following categories:**
 - 1. Infant;**
 - 2. Toddler;**
 - 3. Preschool-age;**
 - 4. School-age;**
 - 5. Nontraditional hours; or**
 - 6. Transportation; or**
- (f) Addition to the square footage a child-care center's premises.**

Findings:

General: Based on Review of Documentation, the facility was closed March 28th-March 31st due to staffing issues. The facility did not notify the cabinet they would be closed during this time.

Inspection Report	
Director Requirements	Not In Compliance
275 - Caregiver Alone	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;	
Findings: General: Based on Review of Documentation, staff hired on 03/17/17 did not have documentation of a child abuse and neglect check on file. Staff hired on 03/31/17 did not have documentation of a criminal records check and child abuse and neglect check on file. Upon arrival to the facility these two (2) staff were working together in the infant classroom without a qualified staff person present. The qualified staff person in the infant classroom was found in the preschool classroom talking to the director. Staff hired on 03/31/17 and the owner did not have documentation of a criminal records check and child abuse and neglect check on file. This staff person and the owner were in the preschool classroom working together without a qualified staff person present.	
Employee Records	Not In Compliance
300 - Background checks/left alone	Not In Compliance
922 KAR 2:090. Section 6. License Issuance. (5) An individual described in subsection (4) of this section shall: (a) Submit to background checks described in paragraph (b) of this subsection; (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a: 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470; 2. Criminal records check required by KRS 199.896(19); 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and 4. An address check of the Sex Offender Registry; and (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.	
Findings: General: Based on Review of Documentation, staff hired on 03/17/17 did not have documentation of a child abuse and neglect check on file. Staff hired on 03/31/17 did not have documentation of a criminal records check and child abuse and neglect check on file. Upon arrival to the facility these two (2) staff were working together in the infant classroom without a qualified staff person present. The qualified staff person in the infant classroom was found in the preschool classroom talking to the director. Staff hired on 03/27/17 did not have documentation of a child abuse and neglect check on file. She was working in a classroom with a qualified staff person at the time of the investigation. Staff hired on 03/31/17 and the owner did not have documentation of a criminal records check and child abuse and neglect check on file. This staff person and the owner were in the preschool classroom working together without a qualified staff person present.	
315 - Educational Requirements	Not In Compliance
922 KAR 2:110. Section 5. Staff Requirements. (1) Child-care center staff: (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a: 1. High school diploma; 2. GED or qualifying documentation from a comparable educational entity; or 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;	
Findings: General: Based on Review of Documentation, staff hired on 03/17/17, 03/31/17, 04/01/17, 03/10/17 and the owner, who assisted in the preschool classroom, did not have education documentation on file.	
320 - TB Verification	Not In Compliance
922 KAR 2:110. Section 5. Staff Requirements. (1) Child-care center staff: (b) Shall provide, prior to employment and every two (2) years thereafter: 1. A statement from a health professional that the individual is free of active tuberculosis; or 2. A copy of negative tuberculin results.	
Findings: General: Based on Review of Documentation, staff hired on 03/17/17, 04/01/17, 03/10/17 and the owner, who assisted in the preschool classroom, did not have documentation from a health care professional stating they were free of active tuberculosis.	
Programming	Not In Compliance
380 - School Age Care	Not In Compliance
922 KAR 2:120. Section 2. Child Care Services. (9) If school-age care is provided: (a) A separate area or room shall be provided in a Type I child-care center; and (b) Each child shall be provided a snack after school.	
Findings: General: Based on Observation, upon arrival to the facility at 9:05am, there were eleven (11) children present in the preschool classroom. The director stated two (2) of the children present were school age children. She stated they were in the preschool room due to staffing numbers and would most likely be in that room the entire day. Another staff person in charge stated that the owner, who was present, could move the two (2) children over to the school age classroom and be their teacher. DRCC surveyor asked if a completed file was present on the owner and the staff person in charge stated that the paperwork was not on file at the facility but was on file at the corporate office and could be faxed over. The DRCC surveyor left the facility at 11:05am and the school age children were still in the preschool classroom.	

Signature of Provider/Representative

Title

Date