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Inspection Report

Provider Name: Kidz Konnection II	Provider Information	CLR No: L356344
Provider Address: 1020 Industry Road, Suite 26, Lexington, KY, 40505	Provider Type: LICENSED TYPE I	Capacity: 112
Owner(s): Kidz Konnection, Inc.		Director(s): Smith, Margra Sherlon

Inspection Type: Renewal Application	Inspection Information	Inspection No: 217385
Date Initiated: 01/11/2017 8:25 AM	Date Concluded: 01/11/2017 11:20 AM	
	No. of Children Present: 36	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance
260 - Staff Evaluation	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;	
Findings: General: Based on Review of Documentation and interview, this regulatory requirement was not met. A review of eight (8) personnel files revealed that evaluations for each employee had been filled out 11/18/16. The evaluations were not signed by the employees. The assistant director stated that she had not yet gone over the results of the evaluations with the employees.	
265 - Health, Safety, Comfort	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (l) Provide for the health, safety, and comfort of each child;	
Findings: General: Based on Observation, this regulatory requirement was not met. There were no provisions to keep the toilet tissue in the bathrooms clean and sanitary. In each of the bathrooms it was found that the rolls of toilet tissue were sitting on the tanks of the toilets, on the diaper changing table, or on the floor. Furthermore, the surveyor observed the roll of paper towels that staff use in the infant classroom is stored in an unsanitary manner, laying on the side of the sink. This method of storage promotes germs due to each person handling the roll to obtain a paper towel.	

Inspection Report**Employee Records****Not In Compliance****300 - Background checks/left alone****Not In Compliance****922 KAR 2:090. Section 6. License Issuance.****(5) An individual described in subsection (4) of this section shall:****(a) Submit to background checks described in paragraph (b) of this subsection;****(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:****1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;****2. Criminal records check required by KRS 199.896(19);****3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and****4. An address check of the Sex Offender Registry; and****(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.****Findings:**

General: Based on Review of Documentation and interview, one (1) staff person (hire date: 11/27/01) did not have a personnel file on site. The staff person in charge stated that the file had been misplaced; however, was able to locate the staff person's child abuse/neglect check (CAN). The staff person did not have evidence or results of a criminal records check (CRC) on file.

310 - Personnel File**Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:****(e) A current personnel file for each child-care center staff person to include:****1. Name, address, date of birth, and date of employment;****2. Proof of educational qualifications;****3. Record of annual performance evaluation;****4. Written record of training participation to include:****a. The training source;****b. Location;****c. Date; and****d. Number of clock hours completed;****5. Every two (2) years, a:****a. Statement from a health professional that the individual is free of active tuberculosis; or****b. Copy of negative tuberculin results; and****6. For a director, employee, volunteer, or any person with supervisory or disciplinary control over, or having unsupervised contact with, a child in care, the results of a:****a. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;****b. Criminal records check required by KRS 199.896(19);****c. Criminal records check from any previous state of residence completed once if:****(i) The individual resided outside the state of Kentucky in the last five (5) years; and****(ii) No criminal records check has been completed for the individual's previous state of residence; and****d. An address check of the Sex Offender Registry;****Findings:**

General: Based on Review of Documentation and interview, the facility failed to maintain staff files. One staff person (hire date: 11/27/01) did not have a personnel file on site. The staff person in charge stated that the file had been misplaced. The only documentation on file for this staff person was a child abuse/neglect check (CAN).

340 - Training**Not In Compliance****922 KAR 2:110. Section 5. Staff Requirements.****(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:****(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;****(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and****(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.****Findings:**

General: Based on Review of Documentation and the Training Records Information System (TRIS), the following was found:

1. Seven (7) staff files (hire dates: 9/19/16, 8/4/16, 9/16/16, 8/22/16, 7/25/16, 8/26/16 and 9/27/16) did not contain evidence of the six (6) hours of cabinet-approved training being completed within the first three (3) months of employment.
2. One (1) staff file (hire date: 11/5/15) did not contain evidence of the pediatric abusive head trauma training class being completed within the first year of employment.
3. Two (2) staff files (hire dates: 1/1/01 and 11/27/01) did not contain the required fifteen (15) hours of training.

Programming**In Compliance**

Inspection Report	
Premises	Not In Compliance
460 - Inaccessible Items	Not In Compliance
922 KAR 2:120. Section 3. General Requirements. (7) Except in accordance with subsection (8) of this section, the following shall be inaccessible to a child in care: (a) Toxic cleaning supplies, poisons, and insecticides; (b) Knives and sharp objects; (c) Matches, cigarettes, lighters, and flammable liquids; (d) Plastic bags; (e) Litter and rubbish; (f) Bar soap; and (g) Personal belongings and medications of staff. Findings: General: Based on Observation, the following was found: 1. During a tour of the children's bathroom in the Pre K Classroom (four (4) - five (5) year olds) the surveyor observed a Renuzit air freshner that was labeled "Keep Out of Reach of Children" sitting on top of the soap dispenser. 2. During a tour of the infant classroom, the surveyor observed a bottle of correction fluid being stored in an unlocked drawer beside the adult rocking chair.	
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance
Equipment	Not In Compliance
760 - Indoor/Outdoor Equipment	Not In Compliance
922 KAR 2:120. Section 11. Toys and Furnishings. (2) Indoor and outdoor equipment shall: (a) Be clean, safe, and in good repair; (b) Meet the physical, developmental needs, and interests of children of different age groups; (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and (d) Be designed to guard against entrapment or situations that may cause strangulation. Findings: General: Based on Observation, this regulatory requirement was not met. An inspection of the facility's 2003 Ford van (fifteen (15) passenger) found numerous candy wrappers, dirt and debris scattered on the floor from the front to the back.	
Transportation	Not In Compliance
820 - Vehicle Equipment	Not In Compliance
922 KAR 2:120. Section 12. Transportation. (4) A vehicle used to transport children shall be equipped with: (a) A fire extinguisher; (b) First aid supplies as described in Section 7 of this administrative regulation; (c) Emergency reflective triangles; and (d) A device to cut the restraint system, if necessary. Findings: General: Based on Observation, this regulatory requirement was not met. The 2003 Ford van used to transport children did not have a cold pack in the first aid kit.	
855 - Pre-trip Inspection	Not In Compliance
922 KAR 2:120. Section 12. Transportation. (8) A daily inspection of the vehicle shall be performed and documented for the following: (a) Tires; (b) Lights, signals, mirrors, gauges, and wiper blades; (c) Safety restraints; (d) Fuel; and (e) Free of debris. Findings: General: Based on Review of Documentation and interview, this regulatory requirement was not met. A review of the transportation logs found no evidence that daily inspections of the 2003 Chevrolet vehicle used to transport children had not been documented. The staff person in charge stated that children are transported on a daily basis in this vehicle and indicated that the daily inspection sheets have either been misplaced or accidentally thrown away.	

Inspection Report**Food Service****Not In Compliance****910 - Bottle Feeding****Not In Compliance****922 KAR 2:120. Section 3. General Requirements.****(11) While bottle feeding an infant, the:**

- (a) Child shall be held; and**
- (b) Bottle shall not be:**
 - 1. Propped;**
 - 2. Left in the mouth of a sleeping infant; or**
 - 3. Heated in a microwave**

Findings:

General: Based on Observation, this regulatory requirement was not met. At approximately 8:40AM the surveyor entered the infant classroom and observed a staff person holding a bottle for a four (4) month old infant in care. The infant was drinking the bottle while sitting in a bouncy seat in a crib.

Children's Records**Not In Compliance****1070 - Immunization****Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:**

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on Review of Documentation, this regulatory requirement was not met. At the time of the survey a review of children's files found that four (4) children (enrollment dates: 5/8/16, 7/12/16, 12/5/16 and 10/10/16) had no immunization record on file and four (4) children (enrollment dates: 1/4/16, 9/13/16, 4/18/16 and 3/15/15) had immunization certificates that were no longer current as of 9/19/16, 10/30/16, 1/7/17 and 2/14/16.

1075 - Enrollment Information**Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:****(b) A written record for each child:**

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**
 - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable a person in charge to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the child-care center;**
 - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;**
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

Findings:

General: Based on Review of Documentation and interview, the following was found:

- 1. One (1) child's file/enrollment paperwork did not have physician contact information or a preferred hospital listed.
- 2. No documentation could be provided for one (1) child enrolled at the facility. The staff person in charge identified the child as a staff person's child and identified the child's start date as 10/10/16. The staff person in charge stated that the child had an enrollment packet; however, indicated that it must have been misplaced.

Written Documentation**Not In Compliance****1105 - Professional Development****Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:**

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on Review of Documentation and interview, this regulatory requirement was not met. One (1) employee eligible for an annual professional development plan did not have documentation of a plan on file in the facility. The staff person in charge stated that the employee file for this staff person had been misplaced.

Inspection Report		
	Posted Documentation	Not In Compliance
1155 - Posting Requirements		Not In Compliance
922 KAR 2:110. Section 2. General. (7) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection: (a) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year; (b) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year; (c) Information on the Kentucky Consumer Product Safety Program and the program's website as specified in KRS 199.897; (d) A description of services provided by the child-care center, including: 1. Current rates for child care; and 2. Each service charged separately and in addition to the basic rate for child care; (e) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and (f) Daily schedule.		
Findings: General: Based on Review of Documentation, the following was found: 1. Two (2) civil penalty notices that were issued to the facility on 12/7/15 and 11/22/16 were not posted. 2. The statement of deficiencies and plan of correction from a 8/23/16 complaint investigation and the 11/24/15 relicensure survey were not posted. These documents were being stored in a binder on the shelf below the staff mailboxes. 3. Information on the Kentucky Consumer Product Safety Program and the program's website was not posted.		
1165 - Daily Activities		Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;		
Findings: General: Based on Review of Documentation, this regulatory requirement was not met. The toddler classroom had a schedule of daily activities posted that was dated December 5 - 9, 2016; therefore, it was no longer current.		
	Animals	Not Applicable