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Inspection Report

Provider Name: Under the Son Early Childhood Education Center	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383423
Provider Address: 201 East Second Street, Augusta, KY, 41002		Capacity: 40
Owner(s): Under the Son, LLC		Director(s): Littleton, Beverly

Inspection Type: Renewal Application	Inspection Information	Inspection No: 216539
Date Initiated: 09/29/2016 10:45 AM	Date Concluded: 09/29/2016 12:32 PM	
	No. of Children Present: 19	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance
75 - Liability Insurance	Not In Compliance
922 KAR 2:090. Section 6. License Issuance. (11) To qualify for a preliminary license, or maintain a regular license, a child-care center shall: (d) Provide written proof of liability insurance coverage of at least \$100,000 per occurrence;	
Findings:	
General: Based on Review of Documentation, the liability insurance presented for review was no longer valid as of 5/21/16. The Director stated that the policy had been paid and was current. She stated that Kentucky Farm Bureau has not sent her the new policy.	
Director Requirements	Not In Compliance
260 - Staff Evaluation	Not In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;	
Findings:	
General: Based on Review of Documentation, two (2) staff eligible for an annual evaluation did not have an evaluation on file.	

Inspection Report

Employee Records

Not In Compliance

300 - Background checks/left alone

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.

(5) An individual described in subsection (4) of this section shall:

- (a) Submit to background checks described in paragraph (b) of this subsection;
- (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:
 - 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;
 - 2. Criminal records check required by KRS 199.896(19);
 - 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and
 - 4. An address check of the Sex Offender Registry; and
- (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

Findings:

Based on record review, a staff person hired as a substitute on 9/1/15 had the results of a criminal records check on file dated 10/13/15. There was no documentation presented in order to verify that the criminal records check was requested before the staff person's date of employment. The staff person that is in charge of the paperwork items for staff stated that she had to re-submit the staff person's criminal records check because she had failed to send a copy of his license. She stated that is the reason the background check was processed late.

315 - Educational Requirements

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:
 - 1. High school diploma;
 - 2. GED or qualifying documentation from a comparable educational entity; or
 - 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on Observation, an individual present with the children on the day of the inspection did not have proof of education on file. The Director stated that the individual was a volunteer. However, the individual was supervising and interacting with the children on the day of the inspection. Furthermore, the Director stated that this person was "called in" to help because another staff person had called in sick.

320 - TB Verification

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(1) Child-care center staff:

- (b) Shall provide, prior to employment and every two (2) years thereafter:
 - 1. A statement from a health professional that the individual is free of active tuberculosis; or
 - 2. A copy of negative tuberculin results.

Findings:

General: Based on Observation, an individual present with the children on the day of the inspection did not have TB skin documentation on file. The Director stated that the individual was a volunteer. However, the individual was supervising and interacting with the children on the day of the inspection. Furthermore, the Director stated that this person was "called in" to help because another staff person had called in sick. Additionally, one (1) staff person hired as a substitute on 9/1/15 did not have a TB skin test assessment completed until 10/16/15.

325 - CPR/First Aid Coverage

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

(3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:

- (a) Infant and child cardiopulmonary resuscitation; and
- (b) Infant and child first aid.

(4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:

- (a) Adult cardiopulmonary resuscitation; and
- (b) First aid.

Findings:

General: Based on Observation, on the day of the inspection the surveyor observed three (3) staff present with nineteen (19) children. File review found that two (2) of the staff present had CPR and First Aid documentation that was no longer valid as of 3/31/16. The third staff person present with the children was not certified in CPR and First Aid. The Director stated that a staff person who is certified in CPR and First Aid had called in sick for the day. File review found that this staff person was certified in CPR and First Aid through 6/17/17. The Director stated that she and her assistant were scheduled to take a CPR and First Aid class that night. Documentation showed that there was a class scheduled for the night of 9/23/16. In short, on the day of the inspection there was not a staff person present with the children currently certified in CPR and First Aid.

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

In Compliance

First Aid/Medication

In Compliance

Inspection Report

Outdoor Play Area

Not In Compliance

690 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(20) An outdoor play area shall be:

- (d) Safe from foreseeable hazard;**
- (e) Well drained;**
- (f) Well maintained;**
- (g) In good repair; and**
- (h) Visible to staff at all times.**

Findings:

General: Based on Observation, the surveyor noted that there were numerous weeds protruding through the mulch and an overgrowth of weeds protruding through the fence row. The Director stated that she was aware that the weeds were coming through the weed block. She stated that she was in the process of having the area redone.

Equipment

Not In Compliance

760 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

(2) Indoor and outdoor equipment shall:

- (a) Be clean, safe, and in good repair;**
- (b) Meet the physical, developmental needs, and interests of children of different age groups;**
- (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and**
- (d) Be designed to guard against entrapment or situations that may cause strangulation.**

Findings:

General: Based on Observation, the surveyor noted that three (3) plastic seats attached to a merry-go-round were cracked. The Director stated that she was going to remove the merry-go-round.

Transportation

Not Applicable

Food Service

Not In Compliance

985 - Milk Requirements

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(3) A serving of milk shall consist of:

- (a) Breast milk or iron-fortified formula for a child age birth to twelve (12) months;**
- (b) Pasteurized whole milk for children ages twelve (12) months to twenty-four (24) months; or**
- (c) Pasteurized low fat one (1) percent or fat-free skim milk for children ages twenty-four (24) months to school-age.**

Findings:

General: Based on Observation, during an inspection of the refrigerator located in the kitchen, the surveyor observed two (2) gallons of 2% milk. There was no skim or 1% milk available for the children.

1065 - Lunch/Dinner Requirements

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

(19) Lunch and dinner shall include:

- (a) Milk;**
- (b) Protein;**
- (c) Bread; and**
- (d) 1. Two (2) vegetables;**
2. Two (2) fruits; or
3. One (1) fruit and one (1) vegetable.

Findings:

General: Based on Interview, the surveyor found that the parents provide lunches for their children and the facility provides an a.m. and p.m. snack. As the staff person was preparing to place each child's lunch box/ container on the table, the surveyor noted that each child was missing a vegetable. The surveyor asked if the facility supplements any food item that a child may be missing from his or her lunch. The staff person stated that they keep extra food for the children. The surveyor noted that the facility had fruit, applesauce, bread, and milk but there were no vegetables. The staff person stated that they had just recently used all of the carrots that they had as extra.

Children's Records

Not In Compliance

1070 - Immunization

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

- (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;**

Findings:

General: Based on Review of Documentation, three (3) children's files contained immunization documentation that was no longer valid as follows: (1) enrollment date: 7/13/15- immunization: 9/30/15, (2) enrollment date: 10/28/15- immunization: 9/19/16, (3) enrollment date: 4/11/16- immunization: 8/28/16.

Inspection Report

1075 - Enrollment Information

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
- 2. Retained on file on the first day the child attends the child-care center; and
- 3. To contain:
 - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;
 - b. Contact information to enable a person in charge to contact the child's:
 - (i) Parent at the parent's home or place of employment;
 - (ii) Family physician; and
 - (iii) Preferred hospital;
 - c. The name of each person who is designated in writing to pick-up the child;
 - d. The child's general health status and medical history including, if applicable:
 - (i) Allergies;
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
 - (iii) Permission from the parent for third-party professional services in the child-care center;
 - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on Review of Documentation, one (1) child's file (enrollment date: 10/28/15) did not contain physician's contact information or preferred hospital information. A second child's file (enrollment date: 4/11/16) did not contain preferred hospital information.

Written Documentation

Not In Compliance

1105 - Professional Development

Not In Compliance

922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

- (f) A written annual plan for child-care staff professional development;

Findings:

General: Based on Review of Documentation, the staff did not have a written annual professional development plan on file.

Posted Documentation

In Compliance

Animals

In Compliance

Signature of Provider/Representative

Title

Date