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**Adam Mather**  
INSPECTOR GENERAL

**Inspection Report**

|                                                                     |                                       |                                    |
|---------------------------------------------------------------------|---------------------------------------|------------------------------------|
| <b>Provider Name:</b> Small Wonders Childcare Center                | <b>Provider Information</b>           | <b>CLR No:</b> L383361             |
| <b>Provider Address:</b> 1013 Ival James Blvd., Richmond, KY, 40475 | <b>Provider Type:</b> LICENSED TYPE I | <b>Capacity:</b> 120               |
| <b>Owner(s):</b> Romper Room Child Care Center LLC                  |                                       | <b>Director(s):</b> Puckett, Cindy |

|                                            |                                            |                              |
|--------------------------------------------|--------------------------------------------|------------------------------|
| <b>Inspection Type:</b> Investigation      | <b>Inspection Information</b>              | <b>Inspection No:</b> 217205 |
| <b>Date Initiated:</b> 09/22/2016 10:20 AM | <b>Date Concluded:</b> 09/23/2016 11:45 AM |                              |
|                                            | <b>No. of Children Present:</b> 57         |                              |

| Inspection Report        |                   |
|--------------------------|-------------------|
| Supervision              | In Compliance     |
| Staffing Requirements    | In Compliance     |
| General Administration   | Not In Compliance |
| 115 - Reports to Cabinet | Not In Compliance |

**922 KAR 2:110. Section 6. Reports.**

- (1) The following shall be reported to the cabinet or designee and other agencies specified in this section within twenty-four (24) hours from the time of discovery:
- (a) Communicable disease, which shall also be reported to the local health department pursuant to KRS 214.010;
  - (b) An accident or injury to a child that requires medical care;
  - (c) An incident that results in legal action by or against the child-care center that:
    - 1. Affects a child or staff person; or
    - 2. Includes the center's discontinuation or disqualification from a governmental assistance program due to fraud or abuse;
  - (d) An incident involving fire or other emergency, including a vehicular accident when the center is transporting a child receiving child care services; or
  - (e) A report of child abuse or neglect that:
    - 1. Has been accepted by the cabinet in accordance with 922 KAR 1:330; and
    - 2. Names a director, employee, volunteer, or person with supervisory or disciplinary control over, or having unsupervised contact with a child in care as the alleged perpetrator.

**Findings:**

General: Based on review of documentation and interviews, the child care center failed to report an incident to the Cabinet where the child received an injury that required medical attention.

|                              |                          |
|------------------------------|--------------------------|
| <b>Director Requirements</b> | <b>Not In Compliance</b> |
| <b>275 - Caregiver Alone</b> | <b>Not In Compliance</b> |

**922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**

- (1) Effective with the adoption of this administrative regulation, a director shall:
- (n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;

**Findings:**

General: Based on review of documentation, the surveyor found one (1) staff file (DOH: 5/7/16) did not contain a completed child abuse and neglect (CA/N) background check, i.e., the CA/N forms were present in the file but the results section was not completed. Based on interviews, the staff hired on 5/7/16 has been left alone with children at the child care center and has worked beyond the ninety (90) day probationary period without a completed CA/N check.

**Inspection Report****Employee Records****Not In Compliance****300 - Background checks/left alone****Not In Compliance****922 KAR 2:090. Section 6. License Issuance.****(5) An individual described in subsection (4) of this section shall:****(a) Submit to background checks described in paragraph (b) of this subsection;****(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:****1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;****2. Criminal records check required by KRS 199.896(19);****3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and****4. An address check of the Sex Offender Registry; and****(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.****Findings:**

General: Based on review of documentation, the surveyor found one (1) staff file (DOH: 5/7/16) did not contain a completed child abuse and neglect (CA/N) background check, i.e., the CA/N forms were present in the file but the results section was not completed. Based on interviews, the staff hired on 5/7/16 has been left alone with children at the child care center and has worked beyond the ninety (90) day probationary period without a completed CA/N check.

**310 - Personnel File****Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:****(e) A current personnel file for each child-care center staff person to include:****1. Name, address, date of birth, and date of employment;****2. Proof of educational qualifications;****3. Record of annual performance evaluation;****4. Written record of training participation to include:****a. The training source;****b. Location;****c. Date; and****d. Number of clock hours completed;****5. Every two (2) years, a:****a. Statement from a health professional that the individual is free of active tuberculosis; or****b. Copy of negative tuberculin results; and****6. For a director, employee, volunteer, or any person with supervisory or disciplinary control over, or having unsupervised contact with, a child in care, the results of a:****a. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;****b. Criminal records check required by KRS 199.896(19);****c. Criminal records check from any previous state of residence completed once if:****(i) The individual resided outside the state of Kentucky in the last five (5) years; and****(ii) No criminal records check has been completed for the individual's previous state of residence; and****d. An address check of the Sex Offender Registry;****Findings:**

General: Based on review of documentation, the surveyor found a staff's file (DOH: 5/7/16) did not contain a completed child abuse and neglect (CA/N) background check, i.e., the CA/N forms were present in the file but the results section was not completed.

**320 - TB Verification****Not In Compliance****922 KAR 2:110. Section 5. Staff Requirements.****(1) Child-care center staff:****(b) Shall provide, prior to employment and every two (2) years thereafter:****1. A statement from a health professional that the individual is free of active tuberculosis; or****2. A copy of negative tuberculin results.****Findings:**

General: Based on review of documentation, the surveyor found that a staff's (DOH: 12/23/14) file contained a copy of a negative tuberculin results dated 03/06/14; therefore, the result was no longer complaint with regulatory requirements.

Signature of Provider/Representative

Title

Date