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**CABINET FOR HEALTH AND FAMILY SERVICES**  
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INSPECTOR GENERAL

**Inspection Report**

|                                                                     |                                       |                                    |
|---------------------------------------------------------------------|---------------------------------------|------------------------------------|
| <b>Provider Name:</b> Small Wonders Childcare Center                | <b>Provider Information</b>           | <b>License No:</b> L383361         |
| <b>Provider Address:</b> 1013 Ival James Blvd., Richmond, KY, 40475 | <b>Provider Type:</b> LICENSED TYPE I | <b>Capacity:</b> 120               |
| <b>Owner(s):</b> Romper Room Child Care Center LLC                  |                                       | <b>Director(s):</b> PUCKETT, CINDY |

|                                             |                                           |                              |
|---------------------------------------------|-------------------------------------------|------------------------------|
| <b>Inspection Type:</b> Investigation       | <b>Inspection Information</b>             | <b>Inspection No:</b> 215531 |
| <b>Visit Start Date:</b> 05/06/2016 2:40 PM | <b>Visit End Date:</b> 05/06/2016 5:30 PM |                              |
|                                             | <b>No. of Children Present:</b> 86        |                              |

**Inspection Report**

**Supervision**

**5 - Children Supervised**

**In Compliance**

**922 KAR 2:120. Section 2. Child Care Services.**

- (3)(a) Each center shall maintain a child-care program that assures each child will be:
1. Provided with adequate supervision at all times by a qualified staff person who:
    - a. Ensures the child is within scope of vision and range of voice; or
    - b. For a school-age child, within scope of vision or range of voice;

**Staffing Requirements**

**40 - Ratios and Group Size**

**In Compliance**

**922 KAR 2:120. Section 2. Child Care Services.**

- (2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:

**Age of Children Ratio Maximum Group Size\***

**Infant**

1 staff for 5 children 10

**Toddler**

1 staff for 6 children 12

**Preschool-age 2 to 3 years**

1 staff for 10 children 20

**Preschool-age 3 to 4 years**

1 staff for 12 children 24

**Preschool-age 4 to 5 years**

1 staff for 14 children 28

**School-age 5 to 7 years**

1 staff for 15 children 30

**School-age 7 and older**

1 staff for 25 children

**(for before and after school) 30**

1 staff for 20 children

**(full day of care) 30**

\*Maximum Group Size shall be applicable only to Type I child-care centers.

## Inspection Report

### Director Requirements

#### 265 - Health, Safety, Comfort

Not In Compliance

##### 922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

- (1) Effective with the adoption of this administrative regulation, a director shall:
- (l) Provide for the health, safety, and comfort of each child;

##### Findings:

General: Based on observation and interview, the surveyor found that on 5/5/16 at 5:44 p.m. one (1) staff person (DOH: 8/14/15) inappropriately lifted a two-year-old child by both biceps. Review of video feed showed the staff person to pick the child up from behind by both biceps and carry the child across the room by both arms where she lifted the child over a chair and placed the child in the chair. The director stated they were not aware of the incident until they reviewed the video footage with the surveyor.

#### 275 - Caregiver Alone

Not In Compliance

##### 922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

- (1) Effective with the adoption of this administrative regulation, a director shall:
- (n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in Section 3(1)(e)6 of this administrative regulation;

##### Findings:

General: Based on observation, review of documentation, and interview, the surveyor found that on 5/6/15 at time of inspection there were two staff person's (DOH: 4/25/16, 5/2/16) working alone in the Three's classroom. Surveyor observed twenty (20) children between two (2) and three (3) years of age in the Three's classroom with the two (2) staff persons. Review of staff files revealed the results of the Child Abuse/Neglect Check for either staff had not been received and results of the Criminal Record Check had not been received for one (1) of the staff.

### Employee Records

#### 300 - Background checks/left alone

Not In Compliance

##### 922 KAR 2:090. Section 6. License Issuance.

- (5) An individual described in subsection (4) of this section shall:
- (a) Submit to background checks described in paragraph (b) of this subsection;
- (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:
1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;
  2. Criminal records check required by KRS 199.896(19);
  3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and
  4. An address check of the Sex Offender Registry; and
- (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

##### Findings:

General: Based on observation, review of documentation, and interview, the following were found:

(1) On 5/6/15, at time of inspection there were two staff person's (DOH: 4/25/16, 5/2/16) working alone in the Three's classroom. Surveyor observed twenty (20) children between two (2) and three (3) years of age in the Three's classroom with the two (2) staff persons. Review of staff files revealed the results of the Child Abuse/Neglect Check for either staff had not been received and results of the Criminal Record Check had not been received for one (1) of the staff.

(2) Two staff files presented for review (DOH: 4/25/16, 3/21/16) contained criminal records checks that had been submitted on 5/5/16 and 3/28/16. The criminal records checks were not submitted on or before the staff's hire dates as required.

#### 340 - Training

Not In Compliance

##### 922 KAR 2:110. Section 5. Staff Requirements.

- (14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.

##### Findings:

General: Based on Review of Documentation, review of ECE-TRIS, and interview, the surveyor found that one (1) staff file presented for review (DOH: 8/14/15) did not contain documentation showing the completion of the required six (6) hours of orientation training within three months of hire. Review of ECE-TRIS training website did not show the orientation training had been completed. Upon interview staff confirmed that the orientation training had not yet been completed.

### Programming

#### 385 - Discipline

In Compliance

##### 922 KAR 2:120. Section 2. Child Care Services.

- (10) A child shall not be subjected to:
- (a) Corporal physical discipline pursuant to KRS 199.896(18);
- (b) Loud, profane, threatening, frightening, or abusive language; or
- (c) Discipline that is associated with:
1. Rest;
  2. Toileting; or
  3. Food.

## Inspection Report

### Premises

#### 460 - Inaccessible Items

Not In Compliance

##### 922 KAR 2:120. Section 3. General Requirements.

(7) Except in accordance with subsection (8) of this section, the following shall be inaccessible to a child in care:

- (a) Toxic cleaning supplies, poisons, and insecticides;
- (b) Knives and sharp objects;
- (c) Matches, cigarettes, lighters, and flammable liquids;
- (d) Plastic bags;
- (e) Litter and rubbish;
- (f) Bar soap; and
- (g) Personal belongings and medications of staff.

##### Findings:

General: Based on observation and interview, the surveyor found that on 5/5/16 children had access to a broom in the One's classroom. Review of video footage from 5/5/16 showed that a child in the One's classroom had possession of a broom and was running around the classroom with the broom in hand between 5:15 p.m. and 5:45 p.m. Upon interview the director stated the children are not supposed to have access to the brooms.

### Children's Records

#### 1070 - Immunization

In Compliance

##### 922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

#### 1075 - Enrollment Information

Not In Compliance

##### 922 KAR 2:110. Section 3. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;
- 2. Retained on file on the first day the child attends the child-care center; and
- 3. To contain:
  - a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth;
  - b. Contact information to enable a person in charge to contact the child's:
    - (i) Parent at the parent's home or place of employment;
    - (ii) Family physician; and
    - (iii) Preferred hospital;
  - c. The name of each person who is designated in writing to pick-up the child;
  - d. The child's general health status and medical history including, if applicable:
    - (i) Allergies;
    - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and
    - (iii) Permission from the parent for third-party professional services in the child-care center;
  - e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child;
  - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

##### Findings:

General: Based on review of documentation and interview, the surveyor found that one (1) child's file presented for review (DOE:8/13/15) did not contain the preferred hospital telephone number. Upon interview, staff stated they were not aware the telephone number had not been provided.

Signature of  
Provider/Representative

Title

Date