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Inspection Report

Provider Name: Early Childhood Laboratory	Provider Information	CLR No: L352074
Provider Address: 621 South Limestone Street, Lexington, KY, 40506	Provider Type: LICENSED TYPE I	Capacity: 104
Owner(s): University Of Kentucky College Of Education		Director(s): Mano, Charlotte Gish

Inspection Type: Renewal Application	Inspection Information	Inspection No: 290413
Date Initiated: 09/19/2019 10:27 AM	Date Concluded: 09/19/2019 12:51 PM	
	No. of Children Present: 51	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	In Compliance
Employee Records	Not In Compliance
385 - Personnel File	Not In Compliance

922 KAR 2:090. Section 9. Records.
(1) A child-care center shall maintain:
(e) A current personnel file for each child-care center staff person to include:
 1. Name, address, date of birth, and date of employment;
 2. Proof of educational qualifications;
 3. Record of annual performance evaluation;
 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and
 5. The results of background checks conducted in accordance with 922 KAR 2:280;

Findings:

General: Based on review of documentation and interview, this regulatory requirement was not met. A review of staff files during the inspection found twelve (12) staff that did not have the date of employment listed in their file. The staff person in charge reviewed the background fingerprint date documented on each staff person's form and then verbally identified the dates - 3/6/19, 9/13/19, 5/13/19, 8/19/19, 2 on 8/20/19, 2 on 8/23/19, 8/21/19, 2 on 8/29/19 and 9/3/19 as the hire dates. However, the dates of hire were not maintained in the personnel files. It is important to note that the date identified as the hire date by the staff person in charge was not the same as the date documented on the background fingerprint form in a few instances.

395 - TB Verification	Not In Compliance
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922 KAR 2:090. Section 11. Staff Requirements.
(1) Child-care center staff:
(b) Shall provide, prior to employment and every two (2) years thereafter:
 1. A statement from a health professional that the individual is free of active tuberculosis; or
 2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation, this regulatory requirement was not met. One (1) staff file (hire date: 8/21/17) contained results of a negative T.B. test that is no longer current. The test was dated 5/18/17 which exceeds the two (2) year time frame.

Inspection Report

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:
(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on review of documentation, and the Training Records Information System (TRIS), the following was found:

1. One (1) staff person did not complete the six (6) hours of cabinet-approved training within the first three (3) months of employment. The staff person was hired on 3/6/19; however, the staff did not complete the training until 7/31/19.
2. One (1) staff file (hire date: 5/13/19) did not contain evidence of the six (6) hours of cabinet-approved training being completed within the first three (3) months of employment. The staff person in charge acknowledged during the visit that the staff person had not yet completed this training.

Programming	In Compliance
Premises	In Compliance
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance
Equipment	In Compliance
Transportation	Not Applicable
Food Service/Food Program	In Compliance
Food Service	In Compliance
Children's Records	Not In Compliance

1135 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:
(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. One (1) child's file (enrollment date: 4/10/19) had an immunization certificate that did not have the required information (expiration date); therefore, it could not be determined whether or not the immunization certificate was current.

Written Documentation	In Compliance
Posted Documentation	In Compliance
Animals	In Compliance

Signature of Provider/Representative

Title

Date