



Andy Beshear
GOVERNOR

**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511
Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: The Children's House of Union	Provider Information	License No: L383195
Provider Address: 8551 Us Highway 42, Florence, KY, 41042	Provider Type: LICENSED TYPE I	Capacity: 178
Owner(s): The Children's House Of Union, LLC		Director(s): Sye, Katie J

Inspection Type: Investigation	Inspection Information	Inspection No: 192316
Visit Start Date: 09/03/2015 1:00 PM	Visit End Date: 09/03/2015 1:40 PM	
	No. of Children Present:	

Inspection Report	
General Administration	
115 - Reports to Cabinet	In Compliance
922 KAR 2:110. Section 6. Reports. (1) The following shall be reported to the cabinet or designee and other agencies specified in this section within twenty-four (24) hours from the time of discovery: (a) Communicable disease, which shall also be reported to the local health department pursuant to KRS 214.010; (b) An accident or injury to a child that requires medical care; (c) An incident that results in legal action by or against the child-care center that: 1. Affects a child or staff person; or 2. Includes the center's discontinuation or disqualification from a governmental assistance program due to fraud or abuse; (d) An incident involving fire or other emergency, including a vehicular accident when the center is transporting a child receiving child care services; or (e) A report of child abuse or neglect that: 1. Has been accepted by the cabinet in accordance with 922 KAR 1:330; and 2. Names a director, employee, volunteer, or person with supervisory or disciplinary control over, or having unsupervised contact with a child in care as the alleged perpetrator.	
Director Requirements	
265 - Health, Safety, Comfort	In Compliance
922 KAR 2:110. Section 4. Director Requirements and Responsibilities. (1) Effective with the adoption of this administrative regulation, a director shall: (l) Provide for the health, safety, and comfort of each child;	

Inspection Report**Employee Records****300 - Background checks/left alone****Not In Compliance****922 KAR 2:090. Section 6. License Issuance.**

- (5) An individual described in subsection (4) of this section shall:
- (a) Submit to background checks described in paragraph (b) of this subsection;
 - (b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:
 - 1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;
 - 2. Criminal records check required by KRS 199.896(19);
 - 3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and
 - 4. An address check of the Sex Offender Registry; and
 - (c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

Findings:

General: Based on Review of Documentation, it was found that a staff person hired 08/24/2015 did not receive a completed criminal records check until 09/04/2015 and did not have evidence that a child abuse and neglect check had been applied for. In an interview with the staff in charge, she stated that she applied for the criminal records check on 09/04/2015 and that she sent the paperwork off for the child abuse and neglect check but that she did not save a copy of the child abuse and neglect check. These instances are not in accordance with 922 KAR 1:470 which states that a request for a central registry check must be submitted within five (5) working days after the date of employment of an individual and KRS 17.165 which requires that application for the criminal record of a probationary employee be made no later than the date probationary employment begins.

305 - Background checks/discharged**In Compliance****922 KAR 2:090. Section 6. License Issuance.**

- (6) Upon completion of background checks described in subsection (4)(b) of this section, a licensee shall discharge immediately:
- (a) An individual whose name is listed on the central registry established by 922 KAR 1:470;
 - (b) An individual who has been convicted of, or has entered an Alford plea or a plea of guilty to, a crime in accordance with KRS 17.165;
 - (c) An individual who is confirmed by an address check of the Sex Offender Registry and supporting documentation as a registered sex offender;
 - (d) An individual who has been convicted of, or entered an Alford plea or plea of guilty to, a drug-related felony, and five (5) years has not elapsed since the person was fully discharged from imprisonment, probation, or parole;
 - (e) A director who has been convicted of, or entered an Alford plea or a plea of guilty to, a felony offense involving fraud, embezzlement, theft, or forgery; and
 - (f) An individual who has been convicted of, or has entered an Alford plea or a plea of guilty to, an offense under a criminal statute of the United States or of another state similar to an offense specified in this subsection.
- (7) An individual who has been convicted of, or entered an Alford plea or a plea of guilty to, a nonviolent felony or misdemeanor not specified in this section shall be handled on a case-by-case basis by the licensee with consideration given to the:
- (a) Nature of the offense;
 - (b) Length of time that has elapsed since the event; and
 - (c) Individual's life experiences after conviction, Alford plea, or guilty plea.

325 - CPR/First Aid Coverage**In Compliance****922 KAR 2:110. Section 5. Staff Requirements.**

- (3) For a child-care center licensed for infant, toddler, or preschool-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:
- (a) Infant and child cardiopulmonary resuscitation; and
 - (b) Infant and child first aid.
- (4) For a child-care center licensed for school-age children, at least one (1) person on duty and present with the children shall be currently certified by a cabinet-approved training agency in the following skills:
- (a) Adult cardiopulmonary resuscitation; and
 - (b) First aid.

Signature of
Provider/Representative

Title

Date