



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Eastern Branch
455 Park Place, Suite 120A
Lexington, KY 40511

Adam Mather
INSPECTOR GENERAL

Phone: (859) 246-2301 Fax: (859) 246-2307
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Heartland Academy	Provider Information	CLR No: L383166
Provider Address: 100 Courchelle Dr., Nicholasville, KY, 40356	Provider Type: LICENSED TYPE I	Capacity: 90
Owner(s): Heartland Academy Incorporated		Director(s): Barnes, Tonia E

Inspection Type: Renewal Application	Inspection Information	Inspection No: 217757
Date Initiated: 01/09/2017 9:00 AM	Date Concluded: 01/09/2017 12:00 PM	
	No. of Children Present: 61	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance

260 - Staff Evaluation **Not In Compliance**

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.
(1) Effective with the adoption of this administrative regulation, a director shall:
(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;

Findings:

General: Based on Review of Documentation, one (1) staff eligible for an annual performance review did not have documentation of a review on file in the facility.

Employee Records **Not In Compliance**

300 - Background checks/left alone **Not In Compliance**

922 KAR 2:090. Section 6. License Issuance.
(5) An individual described in subsection (4) of this section shall:
(a) Submit to background checks described in paragraph (b) of this subsection;
(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:
1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;
2. Criminal records check required by KRS 199.896(19);
3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and
4. An address check of the Sex Offender Registry; and
(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.

Findings:

General: Based on Review of Documentation, and interview, the following was found: Staff person with 3/2/16 hire date had a criminal records check (CRC) that was submitted for processing on 5/23/16. Therefore, it was not submitted for processing within the regulatory timeframe. The staff person in charge questioned the hire date that had been documented in this staff person's file on two (2) different documents and stated that she would look into the situation.

Inspection Report

320 - TB Verification

Not In Compliance

922 KAR 2:110. Section 5. Staff Requirements.

- (1) Child-care center staff:**
(b) Shall provide, prior to employment and every two (2) years thereafter:
 1. A statement from a health professional that the individual is free of active tuberculosis; or
 2. A copy of negative tuberculin results.

Findings:

General: Based on Review of Documentation, this regulatory requirement was not met. One (1) staff person did not have evidence of a negative TB skin test or physician's statement documenting he/she was free from tuberculosis prior to employment. The staff person was hired on 3/23/16; however, did not have a TB skin test until 12/12/16.

Programming

In Compliance

Premises

Not In Compliance

460 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (7) Except in accordance with subsection (8) of this section, the following shall be inaccessible to a child in care:**
 (a) Toxic cleaning supplies, poisons, and insecticides;
 (b) Knives and sharp objects;
 (c) Matches, cigarettes, lighters, and flammable liquids;
 (d) Plastic bags;
 (e) Litter and rubbish;
 (f) Bar soap; and
 (g) Personal belongings and medications of staff.

Findings:

General: Based on Observation, this regulatory requirement was not met. During a tour of the preschool classroom (two (2) and three (3) year olds), the surveyor observed a basket of cleaning products (Lysol spray, Clorox disinfecting wipes and Glade air freshner) sitting in a basket on the teacher's desk. The desk is positioned next to the children's circle time area; therefore, these items were accessible to the children.

Hygienic Practices

In Compliance

First Aid/Medication

Not In Compliance

670 - Medication

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (6) Medication, including refrigerated medication, shall be:**
 (a) Stored in a separate and locked place, out of the reach of a child;
 (b) Kept in the original bottle; and
 (c) Properly labeled.
(7) Medication shall not be given to a child if the expiration date on the bottle has passed.

Findings:

General: Based on Observation and interview, this regulatory requirement was not met. During a tour of the infant/toddler classroom, the surveyor observed a tube of Destin diaper cream and a container of baby powder being stored in an unlocked drawer under the diaper changing table. These items were not labeled with a child's name and they were accessible to the toddlers walking around in the classroom. This classroom also had a plastic storage container in an unlocked cabinet above the handwashing sink that contained numerous unlabeled medications (Equate Infants Pain and Fever, Little Remedies Instand Fever and Pain Reliever, Boudreaux's Butt Paste, Balmex diaper cream, Nystatin Ointment, Comfort for Baby Gas Relief Drops, Kroger Gas Drops and Baby Orajel). The staff person in charge stated during interview, "These items are not supposed to be stored this way. I go over this with them in staff meetings all the time".

Outdoor Play Area

In Compliance

Equipment

In Compliance

Transportation

In Compliance

Food Service

In Compliance

Children's Records

In Compliance

Written Documentation

Not In Compliance

1105 - Professional Development

Not In Compliance

922 KAR 2:110. Section 3. Records.

- (1) A child-care center shall maintain:**
 (f) A written annual plan for child-care staff professional development;

Findings:

General: Based on Review of Documentation, three (3) staff (hire dates: 3/19/14, 11/2/13 and 3/7/14) did not have evidence of a current staff professional development plan on file in the facility.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date