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Inspection Report

Provider Name: Red Oak Elementary Child Care Center	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383146
Provider Address: 921 Union Mill Rd., Nicholasville, KY, 40356		Capacity: 200
Owner(s): Jessamine County Schools		Director(s): Thompson, Michelle Dawn

Inspection Type: Renewal Application	Inspection Information	Inspection No: 246589
Date Initiated: 10/04/2018 3:00 PM	Date Concluded: 10/04/2018 4:40 PM	
	No. of Children Present: 62	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance
155 - Liability Insurance	Not In Compliance
922 KAR 2:090. Section 6. License Issuance. (8) To qualify for a preliminary license, or maintain a regular license, a child-care center shall: (d) Provide written proof of liability insurance coverage of at least \$100,000 per occurrence;	
Findings:	
General: Based on review of documentation, this regulatory requirement was not met. A review of the facility's liability insurance documentation on file found that the coverage dates were 7/1/17 through 8/1/18; therefore, the policy was not current.	
Director Requirements	Not In Compliance
345 - Staff Evaluation	Not In Compliance
922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;	
Findings:	
General: Based on review of documentation, this regulatory requirement was not met. Two (2) staff eligible for an annual performance review did not have documentation of a review on file in the facility.	

Inspection Report

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

1. Name, address, date of birth, and date of employment;
2. Proof of educational qualifications;
3. Record of annual performance evaluation;
4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and
5. The results of background checks conducted in accordance with 922 KAR 2:280;

Findings:

General: Based on review of documentation, observation and interview, the facility failed to maintain staff files. A staff person with a 8/15/18 hire date was observed working with the children on the day of the survey. The staff person in charge stated that this person "floats between several different sites". A review of the facility files during the inspection found that this staff person did not have an employee file available for the surveyor to review. The staff person in charge stated that she thought it was in the file cabinet with the rest of the staff files; however, she was not able to locate it.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

1. High school diploma;
2. GED or qualifying documentation from a comparable educational entity; or
3. Commonwealth Child Care Credential as described in 922 KAR 2:250;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. Three (3) staff (hire dates: 9/5/18, 8/24/18 and 8/15/18) did not have educational documentation on file.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

1. A statement from a health professional that the individual is free of active tuberculosis; or
2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation, this regulatory requirement was not met. Two (2) staff (hire dates: 11/17/17 and 8/15/18) did not have T.B. documentation on file.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.

Findings:

General: Based on review of documentation and the Training Records Information System (TRIS), one (1) staff file (hire date: 8/26/16) did not contain the required fifteen (15) hours of training.

Programming	In Compliance
Premises	In Compliance
Hygienic Practices	In Compliance
First Aid/Medication	In Compliance
Outdoor Play Area	In Compliance
Equipment	In Compliance
Transportation	Not Applicable
Food Service/Food Program	In Compliance
Food Service	In Compliance

Inspection Report

Children's Records

Not In Compliance

1135 - Immunization

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. At the time of the survey a review of ten (10) children's files found that eight (8) children (enrollment dates: 5/23/18, 7/24/18, 8/14/18, 7/23/18, 8/22/18, 8/4/18, 8/3/18 and 5/10/18) had no immunization record on file.

Written Documentation

Not In Compliance

1170 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. The following was found:

1. One (1) staff person (hire date: 10/17/16) did not have evidence of a staff professional development plan on file in the facility.
2. One (1) staff person (hire date: 11/28/16) had a blank staff professional development plan in her file and a plan that was dated 11/2016; therefore, she did not have one that was current.

1175 - Earthquake/Tornado/Fire Drills

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

(i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

Findings:

General: Based on review of documentation, this regulatory requirement was not met. Surveyor review of the emergency drill log revealed that the detailed time of each practiced fire and tornado drill had not been documented.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date